

SECOND REGULAR SESSION
ONE HUNDRED AND TWELFTH LEGISLATURE
Legislative Document No. 2106
S.P. 832 In Senate, March 3, 1986 Reference to the Committee on State Government suggested and ordered printed.
JOY J. O'BRIEN, Secretary of the Senate Presented by President Pray of Penobscot. Cosponsored by Representative Diamond of Bangor, Senator Gill of Cumberland and Representative Carter of Winslow.
STATE OF MAINE
IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-SIX
AN ACT to Provide More Cost Effective Data Processing in the Executive Branch.
Be it enacted by the People of the State of Maine as follows:
Sec. 1. 2 MRSA §6, sub-§1, as amended by PL 1983, c. 553, §46, is further amended to read:
1. <u>Range 91.</u> The salaries of the following state officials and employees shall be within salary range 91:
Commissioner of Transportation;
Commissioner of Conservation;
Director of State Development Office;
Commissioner of Finance and Administration;
Commissioner of Administration;

Commissioner of Educational and Cultural 1 Ser-2 vices; 3 Commissioner of Environmental Protection: Commissioner of Human Services; 4 Commissioner of Mental Health and Mental Retarda-5 tion; 6 7 Commissioner of Public Safety; 8 Commissioner of Business, Occupational and Pro-9 fessional Regulation; 10 Commissioner of Labor; 11 Commissioner of Personnel; 12 Commissioner of Agriculture, Food and Rural Resources: 13 14 Commissioner of Inland Fisheries and Wildlife; Commissioner of Marine Resources; and 15 Commissioner of Corrections. 16 Sec. 2. 2 MRSA §6, sub-§3, as repealed and replaced by PL 1981, c. 705, Pt. L, §§1-3, is amended 17 18 19 to read: 20 3. Range 89. The salaries of the following state officials and employees shall be within 21 salary 22 range 89: State Director of Public Improvements; 23 24 Director of Personnel; 25 Director of Employee Relations; 26 State Budget Officer; 27 State Controller; 28 Director of the Bureau of Forestry;

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1 Chief of the State Police; 2 Director, State Planning Office; 3 Director, Energy Resources Office; 4 Public Advocate; and 5 Commissioner of Defense and Veterans' Services. 6 Sec. 3. 3 MRSA §507, sub-§9, as repealed and re-7 placed by PL 1983, c. 819, Pt. A, §3, is amended to 8 read: 9 Group E-1 and E-2 departments. 9. 10 The evaluations and analyses of the justifi-Α. 11 cation reports for the programs of the following 12 Group E-1 departments shall be reviewed by the 13 Legislature no later than June 30, 1988: 14 (1) Maine State Retirement System; 15 (2)Department of Attorney General; and 16 Department of Personnel; and (3) 17 (4) Department of Labor. 18 The evaluations and analyses of the justifiв. 19 cation reports for the programs of the following 20 Group E-2 departments shall be reviewed by the 21 Legislature no later than June 30, 1989: 22 (1) Department of Finance and 23 Administration; 24 (2) (Office of) Treasurer of State; and 25 (3) Department of Audit ; and 26 (4) Department of Administration. 27 Sec. 4. 3 MRSA §507, sub-§10, as repealed and replaced by PL 1983, c. 819, Pt. A, §4, is amended to 28 29 read:

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1	10. Group E-1 and E-2 independent agencies.
2 3 4 5	A. Unless continued or modified by law, the fol- lowing Group E-1 independent agencies shall ter- minate, not including the grace period, no later than June 30, 1988:
6 7	(1) Board of Trustees, Group Accident and Sickness or Health Insurance;
8	(2) Maine Commission for Women;
9	(3) Maine Human Rights Commission;
10	(4) Maine Labor Relations Board; and
11 12	(5) Governor's Office of State Employee Re- lations;
13	(6) State Personnel Board;
14	(7) Educational Leave Advisory Board; and
15	(8) Workers' Compensation Commission.
16 17 18 19 20 21 22 23	B. Unless continued or modified by law, the fol- lowing Group E-2 independent agencies shall ter- minate, not including the grace period, no later than June 30, 1989. The Board of Emergency Munic- ipal Finance, the Finance Authority of Maine and the Maine Municipal Bond Bank shall not termi- nate, but shall be reviewed by the Legislature no later than June 30, 1989:
24	(1) Board of Emergency Municipal Finance;
25	(2) Finance Authority of Maine;
26	(3) Maine Municipal Bond Bank;
27	(4) Municipal Valuation Appeals Board;
28	(5) Land Classification Appeals Board;
29	(6) State Liquor Commission;
30	(7) Capitol Planning Commission;

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1	(8) State Board of Assessment Review; and
2	(9) Maine Health Care Finance Commission <del>.</del>
3	(10) State Personnel Board; and
4	(11) Educational Leave Advisory Board.
5 6	Sec. 5. 3 MRSA §551, as amended by PL 1977, c. 564, §11-B, is further amended to read:
7	§551. Analysis of proposed bond issues
8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	The Commissioner of Finance and Administration, together with the Treasurer of State, shall advise the Legislature and the Governor in a timely manner and in written form as to the effect on the state's bonded debt of any bond issue or issues proposed. Specifically, the analysis provided shall indicate the relationship of the issue or issues proposed to the limit on the aggregate level of outstanding state debt service requirements on the State. The analysis shall be comprehensive in nature and shall include consideration of the outstanding debt, bonds autho- rized but unissued, and proposed issues enacted by the Legislature and awaiting ratification or rejec- tion by the electors.
23 24	<b>Sec. 6. 5 MRSA §11,</b> as amended by PL 1979, c. 541, Pt. A, §18, is further amended to read:
25	§11. Certification of payrolls
26 27 28 29 30 31 32 33	No fiscal officer of the State shall draw, sign or issue, or authorize the drawing, signing or issu- ing, of any warrant or check upon the Treasurer of State or other disbursing officer of the State for the payment of a salary or other compensation for personal services, nor shall the Treasurer of State or other disbursing officer of the State pay any sal- ary or other compensation for personal services in

the Executive or Legislative Departments, unless a

payroll or account for such salary or other compensation, containing the names of all persons to be paid

and the amounts to be paid them, has been certified by the Commissioner Director of Personnel or a person

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1 designated by him. In the case of all unclassified 2 employees, certification shall be by their appointing 3 authority.

4 Any payment, made in violation of the compensa-5 tion plan or the rules pertaining thereto or made to 6 a person appointed or established in his position in 7 a manner contrary to chapters 51 to 67 71, may be re-8 the appointing authority, covered from the 9 Commissioner Director of Personnel or any officer or 10 person making such payment, whoever is culpable, or 11 from the sureties on the official bond of such offi-12 cer or person. Action for such recovery may be main-13 tained by the State Personnel Board or any member thereof, any officer or employee of the state service 14 15 or any citizen of the State. All moneys recovered un-16 der this section shall be paid into the State Trea-17 sury and credited to the General Fund.

18 Sec. 7. 5 MRSA §16, sub-§3, as amended by PL 19 1981, c. 28, §1, is further amended to read:

20 З. Regulations. The Commissioner Director of 21 Personnel shall, acting under section 631, prescribe 22 amend rules and regulations to assure that state or 23 personnel policy conforms to the minimums set out in 24 The minimum limits contained in this this section. 25 section shall not be construed to be maximum limits, 26 and the Commissioner Director of Personnel may pre-27 scribe or amend rules and regulations permitting ac-28 cumulation of vacation leave and sick leave beyond the limits set forth here for limited categories 29 of 30 state employees for state employees in special situa-31 tions, or for all classified or unclassified state 32 employees.

33 Sec. 8. 5 MRSA §17, as enacted by PL 1977, c. 34 78, §6, is amended to read:

35 §17. Payroll deduction for Maine Warden Service Relief Association

37 The Commissioner of Finance and Administration is 38 authorized to permit payroll deductions from the sal-39 aries of state employees for membership dues for the 40 Maine Warden Service Relief Association and to trans-41 mit the funds so collected to the Maine Warden Service Relief Association under the appropriate departmental regulations concerning payroll deductions.

3 Sec. 9. 5 MRSA §135, first ¶, as amended by PL 4 1985, c. 501, Pt. B, §14, is further amended to 5 read:

6 The Treasurer of State may deposit the moneys, 7 including trust funds of the State, in any of the 8 banking institutions or trust companies or state or 9 federal savings and loan associations or mutual sav-10 ings banks organized under the laws of this State or 11 any national bank or banks or state or federal in 12 savings and loan associations located therein. When 13 there are excess moneys in the State Treasury which 14 are not needed to meet current obligations he may, 15 with the concurrence of the State Controller or the Commissioner of Finance and Administration and 16 with 17 consent of the Governor, invest such amounts in the 18 bonds, notes, certificates of indebtedness or other 19 obligations of the United States of America which ma-20 ture not more than 24 months from the date of invest-21 or in repurchase agreements secured by obligament 22 tions of the United States of America which mature 23 within the succeeding 24 months, prime commercial pa-24 per or banker's acceptances. The Treasurer of State 25 may participate in the securities loan market by 26 loaning state-owned bonds, notes or certificates of indebtedness of the Federal Government, provided that 27 28 the loans are fully collateralized by treasury bills 29 cash. The Treasurer of State shall seek competior 30 tive bids for investments except when, after a rea-31 sonable investigation, it appears that an investment 32 of the desired maturity is procurable by the State 33 from only one source. Interest earned on such in-34 vestments of moneys shall be credited to the respec-35 tive funds, except that interest earned on invest-36 ments of special revenue funds shall be credited to 37 the General Fund of the State. Interest earned on 38 funds of the Department of Inland Fisheries and Wild-39 life shall be credited to that fund. Interest earned 40 funds of the Baxter State Park Authority shall be on 41 credited to the Baxter State Park Fund. This section 42 shall not prevent the deposit for safekeeping or cus-43 todial care of the securities of the several funds of 44 the State in banks or safe deposit companies in this 45 State or any other state, nor the deposit of such state funds as may be required by the terms of custodial contracts or agreements as may be hereafter negotiated in accordance with the laws of this State. All custodial contracts and agreements shall be subject to the approval of the Governor.

6 Sec. 10. 5 MRSA §138, first ¶, as amended by PL 7 1979, c. 127, §20, is further amended to read:

8 The Treasurer of State, with the approval of the 9 Commissioner of Finance and Administration, the Bank 10 Superintendent and the Attorney General, shall invest 11 all permanent funds held in trust by the State in 12 such securities as are legal investments for savings 13 banks under Title 9-B. This section shall not apply 14 to the fund of the Employees' Retirement System or 15 the fund arising from the lands reserved for public 16 uses.

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 Sec. 11.
 5 MRSA §138, 3rd ¶, as amended by PL

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 1977, c.
 78, §7, is further amended to read:

19 The Treasurer of State, with the approval of the 20 Commissioner of Finance and Administration, the Bank 21 Superintendent and the Attorney General, shall have 22 the power to enter into contracts or agreements ap-23 proved by the Governor with any national bank, trust company or safe deposit company located in New England or New York City for custodial care and ser-24 25 26 vicing of the securities belonging to the permanent 27 trust funds of this State. Such services shall con-28 sist of the safekeeping of said those securities, 29 collection of interest and dividends, periodical 30 checks of the portfolio deposited for safekeeping to 31 determine all calls for redemption, in whole or in 32 part, of any bonds owned by such funds, and any other 33 fiscal service which is normally covered in a custo-34 dial contract or agreement. In performing services 35 under any such contract or agreement, the contracting 36 bank shall have all of the powers and duties pre-37 scribed for trust companies by Title 9-B, section 38 623.

39 Sec. 12. 5 MRSA §138, last ¶, as amended by PL 40 1973, c. 585, §11, is further amended to read:

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1 The Treasurer of State shall be empowered to 2 withdraw or deposit securities from or with the cus-3 todian as circumstances may require, all withdrawal 4 orders or delivery instructions to bear the approval 5 in writing of the Bank Superintendent and that of ei-6 ther or both the Attorney General and the Commission-7 er of Finance and Administration.

8 Sec. 13. 5 MRSA §139, first ¶, as amended by PL 9 1979, c. 127, §21, is further amended to read:

10 The Treasurer of State, with the approval of the 11 Commissioner of Finance and Administration, the Bank 12 Superintendent and the Commissioner of Educational and Cultural Services, shall invest and reinvest the 13 14 principal of all funds derived or that may be derived 15 from the sale and lease of lands reserved for public uses in accordance with the laws of the State govern-16 17 ing the investment of funds of savings banks, as enu-18 merated in Title 9-B.

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 Sec. 14.
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 MRSA §139,
 2nd ¶, as amended by PL

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 1977, c.
 78, §8, is further amended to read:

21 The Treasurer of State, with the approval of the Commissioner of Finance and Administration, the Bank 22 23 Superintendent and the Commissioner of Educational 24 and Cultural Services, shall have the power to enter 25 into a contract or agreement approved by the Governor 26 with any national bank, trust company or safe deposit 27 company located in New England or New York City for custodial care and servicing of the securities be-28 longing to any trust fund created from funds derived 29 or that may be derived from the sale and lease of 30 31 lands reserved for public uses. Such services shall 32 consist of the safekeeping of said those securities, 33 collection of interest and dividends, periodical 34 checks of the portfolio deposited for safekeeping to 35 determine all calls for redemption, in whole or in 36 part, of any bonds owned by such funds, and any other 37 service which is normally covered in a custofiscal 38 dial contract or agreement. In performing services 39 under any such contract or agreement, the contracting bank shall have all of the powers and duties pre-40 scribed for trust companies by Title 9-B, section 41 42 623.

1 Sec. 15. 5 MRSA §139, last ¶, as amended by PL 2 1973, c. 585, §11, is further amended to read:

3 The Treasurer of State shall be empowered to 4 withdraw or deposit securities from or with the cus-5 todian as circumstances may require, all withdrawal 6 orders or delivery instructions to bear the approval 7 in writing of the Bank Superintendent and that of ei-8 ther or both the Commissioner of Educational and Cul-9 tural Services and the Commissioner of Finance and 10 Administration.

11 Sec. 16. 5 MRSA §139-A, first ¶, as amended by 12 PL 1973, c. 585, §11, is further amended to read:

13 The Treasurer of State, with the approval of the 14 Commissioner of Finance and Administration, the Bank 15 Superintendent and the Attorney General, shall have 16 power to enter into contracts or agreements apthe 17 proved by the Governor, with any national bank, trust 18 company or safe deposit company located in New 19 England or New York City, for custodial care and ser-20 vicing of any securities deposited with the treasurer 21 as a guaranty fund required by statutes.

22 Sec. 17. 5 MRSA §142, 4th ¶, as amended by PL 23 1973, c. 585, §11, is further amended to read:

24 The Treasurer of State shall be empowered to 25 withdraw or deposit securities from or with the cus-26 todian as circumstances may require, all withdrawal 27 orders or delivery instructions to bear the approval in writing of the Bank Superintendent and that of ei-28 29 ther or both the Governor and the Commissioner of Fi-30 nance and Administration.

31 Sec. 18. 5 MRSA §144, as amended by PL 1973, c. 32 625, §17, is further amended to read:

33 §144. Form of unregistered bonds

Unregistered bonds issued under the laws of the 34 35 State shall bear the signature, or the facsimile of 36 the signature, of the Governor, and shall be signed 37 by the Treasurer of State or his deputy and attested 38 by the Commissioner of Finance and Administration, or such agent as he may designate. The seal of the State 39 40 may be a facsimile.

Sec. 19. 5 MRSA §145, as amended by PL 1973, c.
 625, §18, is further amended to read:

3 §145. Registered bonds

4 The Treasurer of State may issue registered 5 bonds, transferable by assignment, in pieces of not 6 less than \$1,000, and of any multiple of 1,000, in exchange for, and in place of, any coupon bonds is-7 8 sued under the laws of this State, bearing the same rate of interest and maturing at the same time as the 9 10 which he may receive therefor in exchange. The bonds place of payment prescribed therein shall be the State Treasury. Said bonds shall bear the facsimile 11 12 of the signature of the Governor and shall be signed 13 by the Treasurer of State or his deputy and attested 14 15 by the Commissioner of Finance and Administration, or 16 such agent as he may designate.

17 Sec. 20. 5 MRSA §145-A, 2nd ¶, as enacted by PL 18 1979, c. 560, is amended to read:

19 The minibonds shall bear the facsimile of the 20 signature of the Governor and shall be signed by the 21 Treasurer of State, or his deputy, and attested by 22 the Commissioner of Finance and Administration, or 23 such agent as he may designate.

 24
 Sec. 21. 5 MRSA §145-B, sub-§2, as enacted by PL

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 1983, c. 745, is amended to read:

26 Signatures. Registered bonds shall bear the 2. facsimile signatures of the Governor and the Treasur-27 er of State, or his deputy, and shall be attested by 28 29 the facsimile signature of the Commissioner of Fi-30 nance and Administration or such agents as he may 31 designate. Whenever signatures on registered bonds 32 of other state officials are required, their facsimi-33 le signatures may be used.

34Sec. 22.5 MRSA §148, as repealed and replaced35by PL 1981, c. 100, is amended to read:

36 §148. Cremation of old bonds

37 The Treasurer of State, in the presence of the 38 Commissioner of Finance and Administration and the

State Auditor, or such agents as they may designate, 1 2 may cremate any state bonds and coupons, on the 3 premises of the state bond and coupon paying agent, 4 which have matured and have been paid after the paid 5 certification has been received by the Treasurer of 6 State and the State Auditor. This paid certification 7 shall bear the additional sworn certification of the 8 auditor of the bank paying agent employed by the Treasurer of State. A cremation certificate, 9 signed 10 under oath by the state officers named in this section and the bank paying agent auditor identifying 11 12 the bonds and coupons destroyed, shall be filed in 13 the office of the Treasurer of State.

14 Sec. 23. 5 MRSA §244, as amended by PL 1979, c. 15 541, Pt. A, §22, is further amended to read:

### 16 §244. Records and reports

17 The State Auditor shall keep no accounts in the 18 Department of Audit, but he shall conduct a continous 19 postaudit of the accounts, books, records and other 20 evidences of financial transactions kept in the De-21 partment of Finance and Administration or in the oth-22 departments and agencies of the State Government. er 23 He shall prepare and publish a report for each fiscal 24 year, setting forth the essential facts of such audit in summary form, within the following fiscal year af-25 ter the books of the State Controller have been offi-26 27 cially closed. If he shall find in the course of his 28 audit evidences of improper transactions, or of in-29 competence in keeping accounts or handling funds or 30 of any other improper practice of financial adminis-31 tration, he shall report the same to the Governor and 32 the Legislature immediately. If he shall find evi-33 dences of illegal transactions, he shall forthwith 34 report such transactions both to the Governor and to 35 the Attorney General. All such evidences shall be included in the annual reports of the State Auditor and 36 37 he may, at his discretion, make them public at any 38 time during the fiscal year.

39 Sec. 24. 5 MRSA §281, as amended by PL 1975, c. 40 771, §51, is repealed and the following enacted in 41 its place:

42 §281. Department of Finance; commissioner

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1 The Department of Finance is established as the 2 principal fiscal department of State Government and 3 shall exercise such powers and perform such duties as 4 are provided by law.

5 The department shall be under the supervision and 6 control of the Commissioner of Finance appointed by 7 the Governor, subject to review by the joint stand-8 ing committee of the Legislature having jurisdiction 9 over appropriations and financial affairs and to con-10 firmation by the Legislature. The commissioner shall 11 serve at the pleasure of the Governor.

Sec. 25. 5 MRSA §282, first ¶, as repealed and replaced by PL 1983, c. 489, §1, is amended to read:

14 The commissioner may, with the approval of the 15 Governor, appoint a deputy commissioner, who shall be 16 the chief of one of the department bureaus and shall 17 perform the duties of the commissioner during the commissioner's absence, in addition to his regular 18 19 duties. The compensation and expense of the deputy 20 commissioner shall be paid from any available funds appropriated for the use of the bureau of which he is 21 22 chief. With the exception of the Director of Central 23 Computer Services, the The commissioner may appoint and employ the bureau chiefs and the assistant to the 24 25 commissioner to be under his immediate supervision, direction and control, and to serve at his pleasure 26 27 and perform such duties as he may prescribe, except 28 as otherwise provided by law.

29 Sec. 26. 5 MRSA §282, sub-§§1, 4 and 5 are 30 amended to read:

31 1. <u>Aide to Governor</u>. To serve as the principal 32 administrative and fiscal aide to the Governor;

4. <u>Direct bureaus</u>. To supervise and direct the
activities of the bureaus which may by statute be
designated as being under the Department of Finance
and Administration;

37 5. <u>Other powers and duties</u>. To exercise such
 38 other powers and perform such other duties as may be
 39 designated by statute; and

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1 Sec. 27. 5 MRSA §283, first ¶ is amended to 2 read: 3 The commissioner shall organize the Department of 4 Finance and Administration into bureaus, as follows: 5 Sec. 28. 5 MRSA §283, sub-§3 is repealed. 6 Sec. 29. 5 MRSA §283, sub-§4, as repealed and replaced by PL 1977, c. 674, §4, is repealed. 7 Sec. 30. 5 MRSA §283, sub-§6, as enacted by PL 8 9 1971, c. 615, §3, is amended to read: 10 6. Bureau of Alcoholic Beverages. The Bureau of 11 Alcoholic Beverages, the head of which shall be the 12 State Director of Alcoholic Beverages ; and 13 Sec. 31. 5 MRSA §283, sub-§8, as enacted by PL 14 1975, c. 322, §1, is repealed. Sec. 32. 5 MRSA §283, sub-§9 is enacted to read: 15 9. Bureau of Lottery. The Bureau of Lottery, 16 17 the head of which shall be the State Lottery Direc-18 tor. 19 Sec. 33. 5 MRSA §284 is enacted to read: 20 §284. Division of Administrative Services 21 The Division of Administrative Services is estab-22 lished to provide assistance to the Commissioner of 23 Finance and to the agencies within the department in personnel matters, budgeting and financial matters, purchasing and clerical and support services, and to 24 25 26 perform such other duties as the commissioner may 27 designate. 28 Sec. 34. 5 MRSA §285, sub-§2, as amended by PL 29 1985, c. 295, §2, is further amended to read: 2. Coverage. Each state employee to whom this 30 section applies shall be eligible for group accident 31 32 and sickness or health insurance as provided in Title 24-A, sections 2802 to 2812, including major medical 33

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benefits. The provisions of such group insurance

policy or policies shall be determined, insofar as 1 2 such provisions are not inconsistent with terms and 3 conditions contained in collective bargaining agree-4 ments negotiated pursuant to Title 26, chapter 9-B, by a board of trustees consisting of 5 members, 2 5 of 6 shall be appointed by the employee organization whom 7 certified to represent the majority of employees; one 8 of whom shall be a retired state employee selected by 9 a majority vote of the Presidents of the Chapters of 10 Retired State Employees Association; and 2 state the 11 employees who shall be appointed by the Governor. The 12 board of trustees, as authorized by chapter 379, hold office for 3 years, with initial appoint-13 shall 14 ments to be made as follows: One member appointed by 15 the Governor for 3 years and one member appointed by the Governor for 2 years; one member appointed by the 16 17 Maine State Employees Association for 3 years and one member appointed by the Maine State Employees Associ-18 19 ation for 2 years; and one member, appointed by the 20 Maine State Retirees, for one year. The master policy for such group insurance shall be held by the Commis-21 22 of Finance and Administration who shall be ex sioner 23 officio a member of the board of trustees. Said group 24 insurance shall be administered by the board of 25 trustees herein provided.

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 Sec. 35.
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 MRSA
 §285, sub-§5, as enacted by PL

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 1967, c.
 543, is amended to read:

28 Purchase of policies. The board of trustees 5. 29 shall purchase, by competitive bidding, from one or 30 more insurance companies or nonprofit organizations, 31 both, a policy or policies of group accident and or 32 sickness or health insurance, including major medical 33 insurance, to provide the benefits specified by this 34 section. Notwithstanding the first sentence of this 35 subsection, with the consent of the policyholder and 36 the insurer, and at the sole discretion of the of 37 board of trustees, existing policies of insurance 38 covering at least 1,000 of the employees defined as 39 eligible by this section may be amended to provide the benefits specified by this section and assigned 40 41 to the Commissioner of Finance and Administration for 42 the benefit of all those eligible under this section. 43 Such company or companies or nonprofit organizations 44 be licensed under the laws of the State of must 45 Maine. The policy provisions shall be subject to and

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as provided for by the insurance laws of this State.
 The board of trustees may have the right to retain
 such professional consultants as it deems necessary
 to effect and administer said agreement or contracts.

5 Sec. 36. 5 MRSA §287, as amended by PL 1975, c. 6 771, §52-A, is repealed and the following enacted in 7 its place:

## 8 §287. Department of Administration; commissioner

9 The Department of Administration is established 10 as the principal administrative department of State 11 Government.

12 The department shall be under the supervision and 13 control of the Commissioner of Administration, in 14 this chapter called the "commissioner."

15 The Commissioner of Administration shall be ap-16 pointed by the Governor, subject to review by the 17 joint standing committee of the Legislature having 18 jurisdiction over State Government and to confirma-19 tion by the Legislature, and shall serve at the plea-20 sure of the Governor.

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The commissioner shall appoint a deputy commis-21 sioner, who shall be the chief of the Office of In-22 23 formation Services. The commissioner may appoint and 24 employ the bureau chiefs and assistant deputy commis-25 sioners to be under his immediate supervision, direction and control and to serve at his pleasure and 26 perform such duties as he may prescribe, except as otherwise provided by law. The commissioner may ap-27 28 point and prescribe the duties and powers of such 29 other division heads, assistants and employees as may be necessary, subject to the Personnel Law. 30 31

32 <u>1. Duty and authority. The commissioner shall</u>
 33 <u>have the duty and authority to:</u>

A. Serve as the principal administrative aide to
 the Governor;

36 B. Coordinate administrative planning and pro-37 gram activities of departments and agencies of 38 State Government for review and action by the 39 Governor;

1	C. Supervise and direct the activities of the
2	offices and bureaus which may by law be desig-
3	nated as being under the Department of Adminis-
4	tration; and
5 6	D. Exercise such other powers and perform such other duties as may be designated by law.
9	2. Organization. The commissioner shall or- ganize the Department of Administration into offices, bureaus and divisions as he deems necessary, provided that at all times there shall be the following:
11	A. Office of Information Services, the head of
12	which shall be the Deputy Commissioner for Infor-
13	mation Services;
14	B. Bureau of Information Services Planning, the
15	head of which shall be the Director of Informa-
16	tion Services Planning;
17 18	C. Bureau of Data Processing, the head of which shall be the Director of Data Processing;
19	D. Division of Data Processing Audits and Stan-
20	dards, the head of which shall be the Director of
21	Data Processing Audits and Standards;
22	E. Division of Data Processing Training, the
23	head of which shall be the Director of Data Pro-
24	cessing Training;
25	F. Bureau of Public Improvements, the head of
26	which shall be the Director of Public Improve-
27	ments;
28 29	G. Bureau of Purchases, the head of which shall be the State Purchasing Agent;
30 31	H. Bureau of Personnel, the head of which shall be the Director of Personnel;
32	I. Bureau of Employee Relations, the head of
33	which shall be the Director of Employee Rela-
34	tions;

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- 1J. Division of Risk Management, the head of2which shall be the Director of Risk Management;3and
  - K. Division of Administrative Services, the head of which shall be the Director of Administrative Services.

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7 In the absence of any office, bureau or division 8 chief from the State or from his official duties, or 9 in the event of a vacancy in the position, the com-10 missioner or his authorized agent may exercise the 11 powers and perform the duties prescribed for the 12 chiefs.

13 Sec. 37. 5 MRSA §287-A, as enacted by PL 1977, 14 c. 564, §13-A, is amended to read:

15 §287-A. Department of Administration designated as 16 state agency to receive and distribute fed-17 eral surplus property

18 The Department of Finance and Administration is 19 designated as the state agency to receive and dis-20 tribute federal surplus property which may become available for distribution to eligible recipients 21 22 within this State. The department is authorized and empowered, through the Bureau of Purchases, to ac-quire, warehouse, allocate and distribute surplus 23 24 25 government property to all recipients within Maine who have been or who may later be designated as eli-26 27 gible to receive such surplus property by the Con-28 gress of the United States or any other federal offi-29 cial empowered to make such determination. The com-30 missioner is authorized and empowered to enter into 31 cooperative agreements with any duly authorized fed-32 eral official to carry out the purposes of this sec-33 tion.

34 Upon transfer of surplus property to an eligible recipient, the commissioner shall charge and receive 35 36 from said recipient money sufficient to cover the acquisition, warehousing, handling, administrative and 37 38 delivery costs chargeable to said property. The de-39 partment shall employ and assign such supervisory and 40 clerical personnel as may be necessary to carry out 41 this section, subject to the Personnel Law.

Sec. 38. 5 MRSA §288 is enacted to read:

## 2 §288. Division of Administrative Services

The Division of Administrative Services is established to provide assistance to the Commissioner of Administration and to the agencies within the department in personnel matters, budgeting and financial matters, purchasing, clercial and support services and to perform such other duties as the commissioner may designate.

10 Sec. 39. 5 MRSA §293, as amended by PL 1983, c. 11 812, §14, is further amended to read:

12 §293. Internship committee

1

13 The State Government Internship Program Advisory 14 Committee, established by section 12004, subsection 15 10, shall serve to further the purposes of the pro-16 gram and to provide for broad representation of in-17 stitutions of higher learning within Maine and of 18 State Government. The State Government Internship 19 Program Advisory Committee shall be comprised of the 20 President of the Senate and Speaker of the House or 21 their designated representatives; the Governor or his 22 designated representative; the Commissioner Director 23 of Personnel; and the Director, of the Bureau of Pub-24 lic Administration. In addition, one faculty member 25 from each of 4 accredited, degree-granting institutions of higher learning in the State shall be 26 ap-27 pointed by the Director of the Bureau of Public Administration for 4-year terms, providing that the initial appointments under this chapter shall be for 28 29 30 one, 2, 3 and 4-year terms. No faculty member shall be eligible to succeed himself if he has served a full 4-year term, nor shall a faculty member be suc-31 32 33 ceeded by another from the same institution. Vacan-34 cies shall be filled by the director for the unex-35 pired term. The members of the internship committee 36 shall organize by electing a chairman and vice-37 and shall be compensated as provided in chairman 38 chapter 379 and as authorized by the Bureau of Public 39 Administration.

 40
 Sec. 40.
 5 MRSA §307, as amended by PL 1979, c.

 41
 734, §3, is further amended to read:

1 §307. Interest in contracts prohibited

2 In addition to the limitations of section 18, no 3 employee of the Department of Finance and Administra-4 tion or member of the commission shall be interested 5 directly or indirectly in any contract or contracts 6 calling for the construction or improvements of fa-7 cilities, buildings and grounds in the Capitol Area 8 in the City of Augusta as described in Title 1, sec-9 tion 814.

10 Sec. 41. 5 MRSA §350, as amended by PL 1983, c. 11 812, §17, is further amended to read:

12 §350. Statement of purpose; Advisory Committee on 13 State Telecommunications

14 The Department of Finance and Administration, as 15 the principal administrative and fiscal department of 16 the State Government, has responsibilities for the 17 general administration of state telecommunications 18 services, including, but not limited to, telephone services, radio, teletype, microwave and data trans-19 mission links. It is recognized that the department 20 21 should serve to provide needed coordination between 22 state agencies utilizing telecommunications services 23 in such areas as engineering assistance, systems maintenance, frequency allocation, systems planning, 24 25 and the purchase of services and equipment. The Ad-26 visory Committee on State Telecommunications, established by section 12004, subsection 10, shall assist 27 28 the Department of Finance and Administration in pro-29 viding for the coordination of state telecommunica-30 tions services.

31 Sec. 42. 5 MRSA §351, as amended by PL 1975, c. 32 497, §3, is further amended to read:

33 §351. Committee membership; organization

The commissioners of the departments of Conservation; Finance and Administration; Inland Fisheries and Wildlife; Marine Resources; Public Safety; and Transportation shall each designate a member of their departments to be a member of the Advisory Committee on State Telecommunications. The Adjutant General shall designate a member of the Bureau of the Mili1 tary and a member of the Bureau of Civil Emergency 2 Preparedness to be members of the committee. The Ex-3 eeutive Director of the Maine Law Enforcement Plan-4 ning and Assistance Agency or his designee shall be a 5 member of the committee.

6 The designee of the Commissioner of Finance and 7 Administration shall be the chairman of the commit-8 tee. The committee shall meet at the call of the 9 chairman or upon the request of a majority of the 10 committee members. The committee shall meet at least 11 quarterly.

12 Sec. 43. 5 MRSA §352, as enacted by PL 1975, c. 13 281, is amended to read:

# 14 §352. Duties

15 The Advisory Committee on State Telecommunica-16 tions shall advise the Department of Finance and Ad-17 ministration in regard to the carrying out of the de-18 partment's general administrative responsibilities 19 for state telecommunications services. The committee 20 shall advise and assist the department in providing 21 for the coordination of engineering assistance, sys-2.2 tems maintenance, frequency allocation, systems planand the purchase of services and equipment re-23 ning, 24 lated to state telecommunications services.

25 Sec. 44. 5 MRSA §551-A, as enacted by PL 1981, 26 c. 289, §1, is amended to read:

27 §551-A. Purpose

Every employee of the Department Bureau of Per-28 sonnel and any other affected state agency shall act 29 30 to assure that the provisions of the Personnel Law 31 are carried out in an open, fair and expeditious manner, with the objective of hiring and retaining the 32 33 best person for a position as quickly as possible. To 34 this end, all state agencies shall take steps to 35 speed up handling of matters subject to, and to re-36 duce and simplify the procedures and paperwork re-37 quired by, the Personnel Law.

38 Sec. 45. 5 MRSA §552, sub-§3, as amended by PL 39 1979, c. 541, Pt. B, §2, is further amended to read: 1 3. <u>Classified service</u>. "Classified service" 2 means all offices and positions of trust and employ-3 ment in the state service except those placed in the 4 unclassified service by chapters 51 to 67 71.

5 Sec. 46. 5 MRSA §552, sub-§4, as repealed and 6 replaced by PL 1979, c. 127, §28, is amended to read:

7 4. <u>Commissioner</u>. "Commissioner" means the State
 8 Commissioner of Persennel Administration.

9 Sec. 47. 5 MRSA §552, sub-§4-A is enacted to 10 read:

11 <u>4-A. Director. "Director" means the Director of</u> 12 <u>Personnel.</u>

13 Sec. 48. 5 MRSA §554, first ¶, as amended by PL 14 1979, c. 137, is further amended to read:

15 Every appointment, transfer, promotion, demotion, 16 dismissal, vacancy, change of salary rate, leave of 17 absence, absence from duty and other temporary or 18 permanent change in status of employees in both the 19 classified service and the unclassified service of 20 the Executive and Legislative Departments shall be 21 reported to the commissioner director at such time, 22 in such form and together with such supportive or 23 pertinent information as he shall by rule prescribe.

 24
 Sec. 49.
 5
 MRSA §554,
 2nd
 and
 3rd
 ¶¶, as
 re 

 25
 pealed and replaced by PL
 1977,
 c.
 564,
 §14,
 are

 26
 amended to read:

 364,
 §14,
 are

The commissioner <u>director</u> shall maintain a perpetual roster of all officers and employees in the classified and unclassified services, showing for each person such data as he deems pertinent.

31 Records of the Department <u>Bureau</u> of Personnel 32 shall be public records and open to inspection of the 33 public during regular office hours at reasonable 34 times and in accordance with such procedure as the 35 commissioner director may provide.

36 Sec. 50. 5 MRSA §556, as repealed and replaced 37 by PL 1981, c. 289, §2, is amended to read:

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### 1 §556. Citizenship

Employees holding technical or professional positions involving formulation, execution or review of broad public policy shall be citizens of the United States of America. This requirement may be waived by the commissioner director on an individual basis when there exist compelling reasons for the waiver.

8 Sec. 51. 5 MRSA §557, as repealed and replaced 9 by PL 1981, c. 289, §3, is amended to read:

## 10 §557. Compulsory consideration of experience

11 No application for a position in State Government 12 may be rejected solely because the applicant lacks 13 educational qualifications. Acceptable equivalent 14 combinations of appropriate experience, including 15 verifiable uncompensated experience, or education may 16 be substituted for formal educational qualifications, 17 except where the educational qualifications are re-18 flected in necessary registrations such as to prac-19 tice law, medicine or engineering or where the educa-20 tional requirements are set as standards by federal 21 agencies making grants-in-aid or otherwise contribut-22 ing to state programs. The commissioner director 23 shall by rule adopt standards and procedures to as-24 sure implementation of this paragraph.

25 Sec. 52. 5 MRSA §559, 2nd ¶, as enacted by PL 26 1977, c. 667, §2, is amended to read:

The Commissioner <u>Director</u> of Personnel shall, not later than the effective date of this section, adopt rules to assure the attainment of this policy for intermittent employees. At a minimum, the rules shall:

31 Sec. 53. 5 MRSA 592, first ¶, as repealed and 32 replaced by PL 1977, c. 78, §12, is amended to read:

33 The State Personnel Board shall review the per-34 sonnel policies and personnel administration of the 35 State and make such recommendations and render advice 36 relative to the operation of the state's personnel 37 administration, as they deem advisable, to the Commissioner Director of Personnel. A record of these 38 39 recommendations and advice shall be maintained by the

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1 eemmissioner director. Within a reasonable time af-2 ter the filing of such recommendation or advice, the 3 eemmissioner director shall make a written response 4 to the board and file a copy of that response with 5 the Governor.

6 Sec. 54. 5 MRSA §593, as amended by PL 1981, c. 7 313, is further amended to read:

# 8 §593. Appeals to the board

9 Except where otherwise provided by a governing 10 bargaining agreement, any employee or appointing au-11 thority aggrieved by the determination of the 12 Commissioner Director of Personnel concerning the classification of positions, the allocation of new 13 14 positions or the reallocation of existing positions 15 in the classified service may appeal from such deter-16 mination to the State Personnel Board. Such appeal 17 must be made within 30 days after receipt of written 18 notice of such determination from the commissioner 19 Such employee or appointing authority, or director. 20 his representative, shall be afforded a public hear-21 ing before the board. The board shall examine and 22 review such appeal and, upon the vote of at least 3 23 of its members, make such changes in classification, 24 allocation or reallocation as may be just and equita-25 ble. Determinations of the board shall be transmitted 26 to the State Budget Officer, the Commissioner Director of Personnel and the employees and depart-27 28 ment heads affected thereby.

29 Any classification of a position and any alloca-30 tion or reallocation of a position made by the 31 commissioner director or the State Personnel Board 32 pursuant to this section shall become effective on 33 the first day of the fiscal year following approval 34 by the State Budget Officer and the appropriation of 35 funds therefor, except that the State Budget Officer 36 if he determines that sufficient funds exist, may, 37 authorize an effective date prior to the first day of 38 the ensuing fiscal year.

Any request for classification of positions, the allocation of new positions or the reallocation of existing positions in the classified service or the unclassified service, shall be processed by the

Commissioner Director 1 of Personnel and the 2 commissioner's director's determination made within 3 45 days from the date of filing the request with the 4 Department Bureau of Personnel. Any employee or ap-5 pointing authority that is a party to the request may 6 appeal to the State Personnel Board within 10 days 7 after the expiration of the 45 days allotted for the 8 process of such requests for hearing and review. The 9 board shall examine and review such appeal and make 10 such changes as provided in this section. The board's 11 decision in the appeal shall be given within 30 days 12 after the hearing on the appeal, has been concluded.

13 A hearing before the State Personnel Board is an 14 adjudicatory proceeding under the Maine Administra-15 tive Procedure Act, chapter 375, and shall be held in 16 accordance with subchapter IV, section 9051, et seq.

17 Sec. 55. 5 MRSA c. 55, first 2 lines are re-18 pealed and the following enacted in their place:

CHAPTER 55

#### DIRECTOR OF PERSONNEL

21 Sec. 56. 5 MRSA §631, as amended by PL 1983, c.
22 489, §§2 and 3, is further amended to read:

23 § 631. Qualifications; tenure; powers and duties

19

20

24 The Commissioner Director of Personnel shall be, 25 at the time of his appointment, a person thoroughly 26 familiar with the principles and experienced in the 27 methods and techniques of personnel administration 28 and management. The Governor commissioner shall ap-29 point, subject to review by the Joint Standing Committee on State Government and to confirmation by the 30 31 Legislature, the Commissioner Director of Personnel 32 who shall serve at the pleasure of the Governor θ¥ 33 until his successor has been appointed and qualified 34 commissioner.

The commissioner <u>director</u> shall have the following powers and duties:

37 1. <u>Rules.</u> After a public hearing, <u>with the ap-</u> 38 proval of the commissioner and in accordance with the

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1 Maine Administrative Procedure Act, chapter 375, sub-2 chapter II, and subject to the requirements of chap-3 ters 51 to 67 <u>71</u>, to prescribe or amend rules and 4 regulations relative to:

- 5 A. Eligible registers;
- 6 B. Classification of positions in the classified 7 service;
- 8 C. Compensation plan;
- 9 D. Examination for admission to the classified 10 service;
- 11 E. Promotion in the classified service;
- 12 F. Provisional, emergency, exceptional and tem-13 porary appointments;
- 14 G. Probationary period;
- 15 H. Transfer;
- 16 I. Reinstatement;
- 17 J. Demotion;
- 18 K. Suspension, layoff and dismissal;

## 19 L. Leave of absence, resignation, hours of ser-20 vice, vacation and sick leave;

- 21 M. Personnel records;
- 22 N. In-service training;
- 23 0. Service ratings;
- 24 P. Certification of payrolls; and
- 25 Q. Alternative working hours, consistent with 26 chapter 69;

27 which rules and regulations shall be in effect and 28 have the force of law upon the approval of the Gover-29 nor;

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Investigations. To make investigations either 1 2. 2 at the direction of the Governor, the commissioner or 3 the Legislature, or upon the petition of an employee 4 or a citizen, or on his own motion concerning the en-5 forcement and effect of chapters 51 to 67 71, which 6 investigations are not adjudicatory proceedings under 7 the Maine Administrative Procedure Act, chapter 375;

8 3. Enforcement. To enforce the observance of 9 chapters 51 to 67 71 and the rules and regulations 10 made thereunder;

11 Report. To transmit an annual report to the 4. 12 commissioner and the Governor. The report of the 13 commissioner director may be supplemented by any ad-14 ditional comment, criticism or suggestions for the 15 more effectual accomplishment of the purposes of chapters 51 to 67 71 that the board may care to sub-16 17 mit;

18 Records. To keep a full and complete record 5. 19 adjudicatory proceedings, including hearings on of matters of classification, reclassification or allo-20 21 in accordance with the Maine Administrative cation, 22 Procedure Act, sections 9059 and 9061, and to keep a 23 record of votes taken in rule-making proceedings in 24 accordance with the Maine Administrative Procedure 25 Act, section 8056 and to keep full and complete minutes of investigatory hearings. 26 These records and 27 minutes shall be open to public inspection unless 28 otherwise provided by statute;

29 Hearings. In the course of any investigations 6. under chapters 51 to 67 71, to hold hearings for the purpose of gathering information. The hearings are 30 31 32 not adjudicatory proceedings under the Maine Administrative Procedure Act, chapter 375. In conjunction with the hearings, he shall have the power to admin-33 34 35 ister oaths and to subpoena and require the attend-36 ance of witnesses and the production thereby of books, papers, public records and other documentary 37 38 evidence pertinent to the investigation.

In case of the refusal of any person to comply with any subpoena issued hereunder or to testify to any matter regarding which he may be lawfully interrogated, the Superior Court in any county on applica1 tion of the commissioner director may issue an order 2 requiring such person to comply with such subpoena 3 and to testify; and any failure to obey such order of 4 the court may be punished by the court as a contempt 5 thereof; and

6 Organization and decentralization. To or-7. 7 ganize the department bureau and separate it into 8 such divisions and along such functional lines as the commissioner director may deem most efficient and to 9 10 decentralize the functions of personnel management among the various departments and agencies of the State consistent with the requirements of section 11 12 13 551-A and deemed in the best interest of efficient 14 administration, and to perform those functions which 15 are not decentralized; and

16 8. <u>Personnel</u>. The commissioner <u>director</u> may ap-17 point a Director of Planning and Operations and the 18 Assistant to the Commissioner to serve at his plea-19 sure and may employ, subject to the Personnel Law, 20 such other employees who may be necessary to carry 21 out the work of the department.

22 Sec. 57. 5 MRSA §632, first ¶, as amended by PL 23 1979, c. 541, Pt. B, §3, is further amended to read:

24 The commissioner director shall prepare for each 25 class of positions in the classified service regis-26 ters of persons eligible for appointment to positions 27 in each such class. Each eligible register shall consist of a list of all of the persons, who have 28 29 shown by competitive tests, as provided for in section 673, that they possess the qualifications which 30 entitle them to be considered eligible for appoint-31 32 ment to any position in the class for which the eli-33 gible register is to be prepared, and of employees who have resigned or been dismissed, laid off or 34 granted leaves of absence and whose names have been 35 36 restored to the eligible register in accordance with 37 chapters 51 to 67 71.

 38
 Sec. 58.
 5 MRSA §632, 2nd ¶, as amended by PL

 39
 1981, c. 47, §1, is further amended to read:

40 The names of all persons attaining the minimum 41 earned ratings established by the commissioner

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1 <u>director</u> shall be placed upon the register in order 2 of their ratings.

3 Sec. 59. 5 MRSA §633, as amended by PL 1977, c. 4 564, §17, is further amended to read:

# 5 §633. Classification plan

6 It shall be the duty of the commissioner director 7 ascertain and record the duties and responsibilito 8 ties of all positions in the service and to establish classes for such positions, in conformity with regu-9 10 lations adopted therefor by the commissioner director as provided in section 631. The titles so classified 11 and so established shall be used in all personnel, 12 13 accounting, budget, appropriation and financial 14 records of all state departments, commissions and in-15 stitutions.

16 Sec. 60. 5 MRSA §634, as amended by PL 1977, c. 17 564, §§18, 19 and 20, is further amended to read:

18 §634. Compensation plan

19 The commissioner <u>director</u> shall, as soon as prac-20 ticable after the adoption of the classification 21 plan, submit to the Legislature a proposed plan of 22 compensation showing for each class of position in 23 the classified service minimum and maximum salary 24 rates and such intermediate rates as he deems desir-25 able.

26 When the compensation plan has become effective 27 through its adoption by the Legislature, it shall constitute the official schedule of salaries for all 28 29 classes of positions in the classified service, ex-30 cept that if the adoption of a compensation plan results in the reduction of salary of an employee, the 31 32 Commissioner Director of Personnel shall certify to 33 the proper fiscal officer of the State that the employee's salary shall not be subject to any reduc-34 35 tion for a period of one year from the effective date 36 of adoption of said the plan. No position shall may 37 be assigned a salary greater than the maximum or less 38 than the minimum rates fixed in the compensation plan. Salaries of persons holding positions in the 39 40 classified service shall not be approved by paying

1 authorities unless such salaries conform to the 2 adopted compensation plan.

3 Salary advancements within an established range shall not be automatic, but shall be dependent upon 4 5 specific recommendation of the appointing officer and 6 approval of the commissioner director. Such recom-7 mendation shall be based upon standards of perform-8 ance as indicated by merit ratings or other pertinent data. No advancements in salary shall may be made un-9 10 til the employee has completed the probationary peri-11 od.

12 Sec. 61. 5 MRSA §636, as repealed and replaced 13 by PL 1983, c. 260, §1, is amended to read:

### 14 §636. Training and apprenticeship programs

15 The commissioner director shall devise plans for 16 and cooperate with appointing authorities and other 17 supervising officials in the development and conduct 18 of employee training and registered apprenticeship 19 programs to recruit and develop well qualified em-20 aid in meeting affirmative action reployees, to 21 quirements and to otherwise carry out the state's 22 role as a responsible and effective employer.

The Bureau of Labor Standards shall assist the commissioner in determining which classifications are apprenticeable and in encouraging and assisting state agencies to utilize the benefits of apprenticeship programs or other training programs.

28 Consistent with the Code of Fair Practices and 29 other merit system and affirmative action requirements, the State, through the Department Bureau of 30 31 Personnel, the Bureau of Labor Standards and its oth-32 er departments and agencies, shall support the poli-33 cies of the United States Job Opportunity Act of 34 The State shall seek to meet a target of fill-1981. ing 10% of registered state apprenticeships estab-35 36 lished with qualified candidates who are recipients 37 of Aid to Families with Dependent Children. By De-1985, the State shall make a good faith 38 31. cember 39 effort to establish at least the first 15 of these 40 apprenticeships.

1. Listing of apprenticeable classifications. 1 2 With the assistance of the Bureau of Labor Standards 3 and other state agencies, the commissioner director 4 shall develop a list of apprenticeable classifica-5 tions. The first list shall be developed by December 6 31, 1983, and shall be revised annually thereafter. 7 2. Agency review. The Bureau of Labor Standards and each agency utilizing apprenticeable classifica-8 9 tions shall determine where apprenticeships should be established, subject to the authorization of the Commissioner Director of Personnel and the require-10 11 12 ments of the State Apprenticeship and Training Coun-13 cil. 14 3. Annual report. The commissioner director include in the annual report of the Department 15 shall Bureau of Personnel the following information: 16 17 Α. A review of the development and operation of 18 training and apprenticeship programs; 19 Β. The list of apprenticeable classifications 20 pursuant to subsection 1; 21 C. A summary of the agencies and types of posi-22 tions involved: 23 D. A summary of registered apprenticeships; 24 Ε. The number of persons who applied for appren-25 ticeship positions under this chapter; 26 F. The number of persons who were accepted into 27 the apprenticeship program under this chapter; The number of persons, under this chapter, 28 G. who successfully completed and the number of per-29 30 sons who failed to complete the program estab-31 lished under this chapter; 32 Η. The number of persons who, following the suc-33 cessful completion of the program, remain employed; 34 35 I. A summary of other training programs established; and 36

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1 J. A breakdown of the total number of persons, 2 defined in paragraphs E, F and G, by sex, race 3 and any other characteristics deemed by the com-4 missioner to be pertinent to the intent of this 5 chapter.

6 4. <u>Bargaining agreements</u>. Nothing in this sec-7 tion may operate to invalidate or supersede the pro-8 visions of a collective bargaining agreement between 9 an employee organization and the State.

10 Sec. 62. 5 MRSA §637, as amended by PL 1977, c. 11 564, §21, is further amended to read:

12 §637. Service ratings

13 The commissioner <u>director</u> shall establish stan-14 dards of performance for each class of position and a 15 system of service ratings based upon such standards, 16 which shall be in effect upon their approval as pro-17 vided in section 631.

18 Sec. 63. 5 MRSA §638, as amended by PL 1975, c. 19 766, §4, is further amended to read:

20 §638. Employee right to review personnel file

21 The commissioner director shall, upon written re-22 quest from an employee or former employee, provide the employee, former employee or his duly authorized 23 24 representative with an opportunity to review his per-25 sonnel file. Such reviews shall take place in the Department Bureau of Personnel and during its normal 26 27 office hours. Time spent by an employee in reviewing his personnel file shall not be considered as time 28 worked. For the purposes of this section, a personnel 29 30 file shall include, but not be limited to, any formal 31 or informal employee evaluations and reports relating employee's character, credit, work habits, 32 to the compensation and benefits which the commissioner 33 director has in his possession. 34

35Sec. 64. 5MRSA §642, sub-§§2, 3 and 7, as en-36acted by PL 1981, c. 289, §8, are amended to read:

37 2. Employee Suggestion System Board. The Employ-38 ee Suggestion System Board shall be composed of the

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Commissioner of Finance and Administration, the Com missioner of Personnel Administration and one other
 commissioner of a state department to be appointed by
 the Governor.

5 The Commissioner of Persennel Administration shall be 6 responsible for administering the program, and shall 7 assign one capable, highly-experienced employee of 8 the department to manage the program on a day-to-day 9 basis. That employee may also have assignments not 10 related to this program.

11 The board shall elect a chairman and shall adopt 12 rules governing the proceedings, including criteria 13 for making awards. The board shall approve each award 14 made.

No later than March 1st of each year, the board shall submit to the joint standing committee having jurisdiction over State Government a report of its activities for the preceding calendar year, including information on the number and nature of suggestions received and awards made.

3. <u>Employee positions excluded</u>. In establishing criteria for making awards, the board may exclude certain levels of positions from participation in the program, but in no event may persons in positions enumerated in Title 2, section 6, or Title 5, section 711 chapter 71, be eligible to receive cash awards under the program.

28 Administration. Notwithstanding 7. any other law, whenever an award is made from a fund, an equal 29 30 amount shall be transferred from the same fund to а 31 revenue fund available to the Department of special 32 Persennel Administration to be used to administer the 33 program.

 34
 Sec. 65.
 5 MRSA §673, 2nd ¶, as amended by PL

 35
 1981, c. 47, §2, is further amended to read:

36 The commissioner <u>director</u> shall determine the 37 character, type and content of examination for admis-38 sion to the classified service; the time and place 39 for holding examinations; the form of application 40 blanks for admission to the examination to be filed

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1 by applicants; the minimum requirements for admission 2 to the examination and the value of each phase of the 3 tests used in determining the average rating of the 4 applicant. The commissioner director may adjust initial test results only to eliminate questions that 5 6 are proven not relevant to the purposes of the test 7 or which have a bias that is prohibited by state or 8 federal law. Once a minimum final earned rating is 9 established for a particular test, it shall not be 10 changed.

11 Sec. 66. 5 MRSA §673, last ¶, as amended by PL 12 1977, c. 564, §25, is further amended to read:

Public notice of every examination or test shall be given in the manner prescribed by rules and regulations drawn up by the commissioner director.

 16
 Sec. 67.
 5 MRSA §674, 2nd ¶, as amended by PL

 17
 1975, c.
 766, §4, is further amended to read:

18 In determining qualifications for examination and 19 appointment with respect to veteran preference eligibles under this section, the commissioner director or 20 21 other examining agency may waive requirements as to 22 age, height and weight, provided any such requirement 23 is not essential to the performance of the duties of 24 the position for which examination is given. The 25 commissioner director or other examining agency, af-26 ter giving due consideration to the recommendation of 27 any accredited physician, may waive the physical re-28 quirements in the case of any veteran, provided such 29 veteran is, in the opinion of the commissioner director or other examining agency, physically 30 able 31 to discharge efficiently the duties of the position 32 for which the examination is given.

33 Sec. 68. 5 MRSA §675, as amended by PL 1975, c. 34 766, §4, is further amended to read:

# 35 §675. -- reopening of examinations

Veterans with the present existence of a serviceconnected disability to a compensable degree, wives and husbands of disabled veterans who qualify for lo-point preference under section 674, subsection 2, paragraph B, unmarried widows or widowers of deceased

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veterans who qualify for 10-point preference under 1 2 section 674, subsection 2, paragraph C, mothers and 3 fathers, who are widowed, divorced, separated or whose wives or husbands are permanently and totally 4 5 disabled, of veterans who died while in the active 6 service of the Armed Forces during any war, or who 7 died as the result of service-connected disabilities, 8 may file an application for and reopen an open com-9 petitive examination during the life of an eligible 10 register resulting from a published announcement. Ιf 11 eligible register resulted from a published anno 12 nouncement, applicants as above qualified may file an 13 application for and reopen an open competitive exami-14 nation within 3 years of the closing date of the pub-15 lished announcement, provided in all instances that 16 the applicant had not previously made application for 17 the examination under conditions of the published announcement. In the event positions in the classified 18 19 service are held other than by permanent or proba-20 tionary employees, applicants as above qualified may 21 file application for an examination to be announced 22 and opened. Examinations shall be conducted for such applicants not later than the quarterly period suc-23 24 ceeding that in which the application has been filed 25 with the Commissioner Director of Personnel.

 26
 Sec. 69.
 5 MRSA §676, as amended by PL 1975, c.

 27
 766, §4, is further amended to read:

## 28 §676. Probationary period; permanent appointment

29 All original appointments to the classified ser-30 vice and all subsequent promotional appointments 31 within the classified service shall be for a probationary period. The duration of such probationary pe-32 33 riod shall be determined by the eemmissiener 34 director, but in no case shall it be for less than 6 35 months.

36 Sec. 70. 5 MRSA §677, as amended by PL 1975, c. 37 766, §4, is further amended to read:

# 38 §677. Temporary and provisional appointments

39 Whenever it is impossible to certify eligible 40 persons for appointment to a vacancy in the classi-41 fied service, the appointing authority may nominate a

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person to the commissioner director. If such nominee 1 2 is found by the commissioner director to have had ex-3 perience and training which appear to qualify him for 4 the position, he may be temporarily appointed to fill 5 such vacancy but only until an appropriate eligible 6 register can be established and an appointment made 7 therefrom. The commissioner director may make a provisional appointment to fill a technical or profes-8 9 sional position, which requires a specialized knowl-10 edge or training to carry out the duties of the posi-11 tion, and cannot be filled from the eligible register. In no event shall a temporary 12 appointment be 13 continued for more than 3 months. Successive tempo-14 rary appointment of the same person shall not be 15 made. Not more than one temporary appointment shall 16 be made to any position within any 12-month period. 17 The commissioner director may authorize, without re-18 quiring competitive tests, the appointment of 19 unskilled laborers or persons engaged in custodial 20 and domestic work in state institutions or depart-21 ments.

22 Sec. 71. 5 MRSA §723, as amended by PL 1983, c.
 23 812, §21, is further amended to read:

#### 24 §723. Educational Leave Advisory Board

25 The Educational Leave Advisory Board, established 26 by section 12004, subsection 10, shall advise and 27 consult with the Department Bureau of Personnel to 28 review and authorize all educational leave requests 29 from classified and unclassified state employees for 30 durations of more than one week. The board shall conas follows: The 31 sist of 3 members Commissioner Director of Personnel who shall serve as chairman of 32 33 the board, the Commissioner of Educational and Cul-Services or his designee; and one member who 34 tural 35 shall be a state employee appointed by the Governor 36 serve for a term of 3 years. Members of the board to 37 shall be compensated as provided in chapter 379.

38 Sec. 72. 5 MRSA §753, sub-§§4 and 5, as amended 39 by PL 1975, c. 766, §4, are further amended to read:

40 4. <u>Appeal to Director of Personnel.</u> If the clas-41 sified employee is dissatisfied with the written de-42 cision following the meeting with the department 1 head, he may appeal in writing to the Commissioner 2 of Personnel within 7 working days of meet-Director 3 ing with the department head. The Commissioner 4 Director of Personnel shall within 10 working days 5 reply in writing to the aggrieved employee, his representative and the department head involved, stating 6 7 his decision, based on the State's Personnel Law and 8 rules.

9 5. Submission to board. In the event the griev-10 ance shall not have been satisfactorily adjusted under subsections 1 to 4, within the time limits there-11 12 in, the dispute may be submitted to the board within 13 10 working days following receipt of the Commissioner 14 of Personnel's written decision. The board Director 15 shall investigate the matters in controversy, shall hear all interested persons who come before it, and 16 17 make a written decision thereof, which shall be bind-18 ing on the parties involved. The board's written de-19 shall be issued within 30 working days after cision 20 the hearing on the dispute is concluded, unless both 21 that an extension of the time limit parties agree should be allowed. 22

23 Sec. 73. 5 MRSA §783, as amended by PL 1985, c. 24 388, §2, is further amended to read:

25 §783. Appointment, assignment and promotion of per-26 sonnel

27 Officials and supervisory employees shall ap-28 point, assign and promote personnel on the basis of 29 merit and fitness, without regard to race, color, re-30 ligious creed, national origin, sex, ancestry, age, 31 physical handicap or mental handicap, unless related 32 to a bona fide occupational qualification. Each ap-33 pointing authority shall designate an affirmative ac-34 tion officer. The officer must be so placed within 35 the agency's organizational structure that he or she 36 shall have direct access to the appointing authority. 37 Each department or agency shall prepare an affirma-38 tive action program for that department or agency in 39 accordance with criteria set forth by the State 40 Department Bureau of Personnel.

41 Sec. 74. 5 MRSA §788, as amended by PL 1985, c. 42 388, §3, is further amended to read:

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## 1 §788. Bureau of Personnel

2 The State Department Bureau of Personnel shall 3 take positive steps to insure that the entire civil 4 service examination and testing process, including 5 the development of job specifications and employment 6 qualifications, is free from either conscious or in-advertent bias. Furthermore, the Department <u>Bureau</u> of 7 8 Personnel will have the initial responsibility of re-9 solving civil service conflicts and complaints, 10 changing administrative procedures when necessary and 11 providing assistance for preparing affirmative action 12 It is the responsibility of the State Afprograms. 13 firmative Action Coordinator in the Department Bureau 14 of Personnel to monitor the civil service affirmative 15 action program and insure compliance with all federal 16 and state regulations.

17 Sec. 75. 5 MRSA §883, as enacted by PL 1973, c. 491, is amended to read:

# 19 §883. Administration

20 Administration of a deferred compensation program 21 within state agencies, departments, boards, commis-22 sions or institutions shall be under the direction of 23 the Department of Finance and Administration. Each 24 county, city, town or other political subdivision may 25 designate an officer to administer a deferred compen-26 sation program. Payroll deductions shall be made in 27 each instance by the appropriate payroll officer.

28 Sec. 76. 5 MRSA §884, as amended by PL 1983, c. 29 812, §22, is further amended to read:

## 30 §884. Advisory Council on Deferred Compensation

31 An Advisory Council on Deferred Compensation Plans, established by section 12004, subsection 10, 32 33 shall consist of 7 members, who shall be the Commis-34 sioner of Finance and Administration, ex officio, or 35 his designee; the Insurance Superintendent, ex offi-36 cio, or his designee; the Superintendent of Banking, 37 ex officio, or his designee; and 4 state employees to 38 be appointed by the Governor, who shall be appointed 39 for terms of 3 years, except that of the first ap-40 pointments one shall be for one year, 2 for 2 years

and one for 3 years. Members of the advisory council 1 shall be compensated as provided in chapter 379. The 2 3 council shall meet at least once a year and shall re-4 view the operations of the deferred compensation pro-5 and advise the Department of Finance and gram 6 Administration on matters of policy relating to the 7 activities thereunder. The Commissioner of Finance 8 and Administration, or his designee, shall be the 9 chairman of the advisory council.

10 Sec. 77. 5 MRSA §893, as enacted by PL 1983, c. 11 791, §2, is amended to read:

12 §893. Administration

13 Payroll deductions shall be made by the appropri-14 ate payroll officer of each county, municipality or other political subdivision. The Commissioner of Fi-15 16 nance and Administration shall be responsible for the 17 administration of this chapter as it applies to state employees. Any costs incurred by the Commissioner of 18 19 Finance and Administration to administer the state 20 program shall be borne equally by state employee par-21 ticipants, and these costs may be compensated by 22 means of payroll deductions.

23 Sec. 78. 5 MRSA §903, sub-§§2, 4 and 5, as en-24 acted by PL 1981, c. 270, §4, are amended to read:

25 Employees not in collective bargaining units. 2. 26 The Commissioner Director of Personnel shall adopt 27 rules to implement alternative working hours employment for persons who are not in collective bargaining 28 29 units. Notwithstanding any other state law, any such rules shall provide for the proration of any bene-fits, including retirement benefits, made available 30 31 32 to a person employed for job-sharing and part-time 33 employment, provided that such proration is not prohibited by federal law. 34

35 4. <u>Prohibition</u>. Positions listed in section 7117
36 subsection 27 chapter 71 and in Title 2, section 6,
37 may not be filled by persons employed under any
38 job-sharing authority.

39 5. <u>Report</u>. The commissioner <u>director</u> shall re-40 port to the Joint Standing Committee on State Govern-

1 ment the state's progress in establishing alternative 2 working hours. The report shall at a minimum contain 3 a specific breakdown of the number of employees seek-4 ing and the number of employees working alternative working hours employment by each category of such employment, the increase or decrease in the number of 5 6 7 employees from the preceding year by each category, the number of persons over the age of 60 by each cat-8 9 egory of alternative working hours employment, an estimate of savings achieved or costs imposed and a narrative summary of the efforts taken by the State 10 11 12 encourage the development of alternative working to 13 hours employment.

14 Sec. 79. 5 MRSA §939, as enacted by PL 1983, c. 15 729, §4, is amended to read:

16 §939. Department of Finance

17 Major policy-influencing positions. The fol-1. 18 lowing positions are major policy-influencing posi-19 Department tions within the of Finance and 20 Administration. Notwithstanding any other provision of law, these positions and their successor positions 21 22 shall be subject to this chapter:

- 23 A. State Controller;
- 24 B- State Purchasing Agent;
- 25 C. State Tax Assessor;
- 26 D. Director, Bureau of Public Improvements;
- 27 E. Director, Bureau of Alcoholic Beverages;
- 28 F. State Budget Officer;
- 29 G. Assistant to the Commissioner; and
- 30 H- Director, Risk Management-
- 31 I. Director of State Lottery; and
- 32 J. Director of Compliance.
- 33 Sec. 80. 5 MRSA §947, as enacted by PL 1983, c. 34 729, §4, is repealed.

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l	Sec. 81. 5 MRSA §947-A is enacted to read:
2	§947-A. Department of Administration
3 4 5 6 7 8	1. Major policy-influencing positions. The fol- lowing positions are major policy-influencing posi- tions within the Department of Administration. Not- withstanding any other provision of law, these posi- tions and their successor positions shall be subject to this chapter:
9	A. Deputy Commissioner for Information Services;
10	B. State Purchasing Agent;
11	C. Director of Public Improvements;
12	D. Director of Personnel;
13	E. Director of Employee Relations;
14	F. Assistant Deputy Commissioners;
15	G. Director of Risk Management;
16 17	H. Director of Planning and Operations, Bureau of Personnel;
18	I. Director of Information Services Planning;
19	J. Director of Data Processing;
20 21	K. Director of Data Processing Audits and Stan- dards; and
22	L. Director of Data Processing Training.
23 24	Sec. 82. 5 MRSA §1005, sub-§1, as amended by PL 1975, c. 766, §4, is further amended to read:
25 26 27 28 29 30 31	1. <u>Review of statutory amendments</u> . All amend- ments to this chapter that are proposed to be enacted by the Legislature shall be reviewed by the board of trustees, which shall report to the proper legisla- tive committee or authority on the impact of each such amendment on the retirement system. Such report shall state the impact on all aspects of the system,

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1 including the amendment's purposes, the resulting eq-2 uitable or inequitable treatment of members, the of the costs of benefits, its consistency 3 funding 4 with other provisions of this chapter, and the value 5 of such amendments to the system. Such report shall also include a separate evaluation of each 6 amendment 7 by the actuary and by the Commissioner Director of 8 Personnel, which shall be requested by the board of 9 trustees and included with their report.

10 11 Sec. 83. 5 MRSA §1504, as amended by PL 1975, c. 771, §65, is further amended to read:

#### 12 §1504. Charging off accounts due State

13 State Controller shall charge off the books The 14 of account of the State or any department, institu-15 tion or agency thereof, such accounts receivable, in-16 cluding all taxes for the assessment or collection of 17 which the State is responsible, and all impounded 18 bank accounts, as shall be certified to him as im-19 practical of realization by or for said the State, 20 department, institution or agency. Such certifica-21 tion shall be by the Attorney General, the Commis-22 sioner of Finance and Administration and the head of 23 the department, institution or agency responsible for 24 account, subject to the approval of the Goversuch 25 nor. In each such case, the charging off of such ac-26 counts shall be recommended by the head of the de-27 partment, institution or agency originally responsi-28 ble for such account.

29

Sec. 84. 5 MRSA §1505 is amended to read:

30 §1505. Petty Cash Funds

A Petty Cash Fund shall be allowed by the Commissioner of Finance and Administration to each state department or agency, which shall in his opinion require such a fund. Said The fund so established shall be reimbursed only upon statements and bills audited by the State Controller.

37 Sec. 85. 5 MRSA §1506, as amended by PL 1975, c.
 38 771, §66, is further amended to read:

39 §1506. Return of working capital advances

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1 Whenever a working capital advance, or any part 2 thereof, is no longer required for the purpose for which it was made, such amount shall be returned to 3 the state fund from which the advance was made. Such 4 5 return shall be made only on the recommendation of 6 the department or agency head having jurisdiction over the advance and with the approval of the Commis-7 sioner of Finance and Administration and the Gover-8 9 nor.

10 Sec. 86. 5 MRSA §1508, as amended by PL 1975, c. 11 771, §68, is further amended to read:

12 §1508. State funds eliminated

13 Unless the Legislature shall otherwise direct, 14 the Commissioner of Finance and Administration, with 15 the approval of the Governor, shall have authority to 16 discontinue any or all special expendable state funds 17 with the exception of the sinking funds and trust funds, and to merge the balance or balances of 18 such 19 fund or funds so discontinued with the General Fund.

20 Sec. 87. 5 MRSA §1541, first ¶ is amended to 21 read:

22 The Department of Finance and Administration, 23 through the Bureau of Accounts and Control, shall 24 have authority:

 25
 Sec. 88.
 5 MRSA §1541, sub-§13, as amended by PL

 26
 1983, c. 209, is further amended to read:

27 Travel expense reimbursement. The State Con-13. troller, with the approval of the Commissioner of Fi-28 29 nance and Administration, may establish policies for 30 travel expense reimbursement and carrying out this 31 chapter. Those policies determining which expenses 32 shall be reimbursable and levels of reimbursement 33 shall be deemed rules, and shall be adopted, modified and repealed, only in accordance with procedures set 34 35 forth in the Maine Administrative Procedure Act, Ti-36 tle 5, chapter 375.

A. Notwithstanding any other provision of law, a
state agency, as defined in section 8002, subsection 2, may not authorize reimbursement for

- 1 travel by any person at a rate greater than the 2 rate established in section 8 for state employ-3 ees.
- 4 Sec. 89. 5 MRSA §1552, sub-§2, as enacted by PL 5 1983, c. 655, is amended to read:
- 6 2. <u>Commissioner</u>. "Commissioner" means the Com-7 missioner of Finance and Administration.
- 8 Sec. 90. 5 MRSA §1653, sub-§2, as enacted by PL 9 1983, c. 716, §2, is amended to read:
- 10 2. <u>Commissioner</u>. "Commissioner" means the Com-11 missioner of the Department of Finance and 12 Administration.
- 13 Sec. 91. 5 MRSA §1657, sub-§1, as enacted by PL 14 1983, c. 716, §2, is amended to read:
- 15 1. Department of Audit. Nothing in this chapter 16 may be construed to affect or limit any previously 17 existing power or duty of the Department of Audit or 18 the Department of Finance and Administration.
- 19 Sec. 92. 5 MRSA §1662, first ¶ is amended to 20 read:
- 21 The Department of Finance and Administration, 22 through the Bureau of the Budget, shall have the duty 23 and authority:
- 24
   Sec. 93.
   5
   MRSA §1662, sub-§5, as amended by PL

   25
   1985, c.
   174, Pt. J, §1, is further amended to read:
- 26 5. <u>Rules.</u> To make rules, subject to the approval
   27 of the Commissioner of Finance and Administration,
   28 for the carrying out of chapters 145 and 149; and
- 29 Sec. 94. 5 MRSA §1668, first ¶, as enacted by PL 30 1975, c. 771, §77-A, is amended to read:
- 31 Whenever it appears to the Commissioner of Fi-32 nance and Administration that the anticipated income 33 and other available funds of the State will not be 34 sufficient to meet the expenditures authorized by the 35 Legislature, he shall so report in writing to the

Governor, and shall send a copy of the report to the 1 2 President of the Senate and the Speaker of the House and the majority and minority leaders of the Senate 3 4 and House. After receiving the report, the Governor 5 may temporarily curtail allotments equitably so that 6 expenditures will not exceed the anticipated income 7 and other available funds. No allotment shall may be 8 terminated pursuant to this section. Any curtailment of allotments shall, insofar as practicable, be made 9 10 consistent with the intent of the Legislature in au-11 thorizing these expenditures.

12 Sec. 95. 5 MRS §1674, first ¶, as enacted by PL 13 1985, c. 195, §§1 and 2, is amended to read:

14 Each department or agency of State Government, 15 which is authorized to expend more than \$25,000 on а single project in any fiscal year on the construc-16 tion, repair or improvement of state-owned real prop-17 18 erty, shall prepare and submit a departmental indi-19 rect cost allocation proposal to the Commissioner of 20 Finance and Administration prior to that expenditure. 21 These proposals shall be on file in the Department of 22 Finance and Administration.

 23
 Sec. 96.
 5 MRSA §1725-A, sub-§1, as enacted by

 24
 PL 1983, c. 349, §4, is amended to read:

1. <u>Creation and authority</u>. The Department of Finance and Administration is designated as the agency through which this chapter shall be administered and the Commissioner of Finance and Administration, in this chapter called the "commissioner," is empowered with such authority as may be necessary to carry out its purposes.

32 There is created within the Department of Finance and 33 Administration a Risk Management Division, in this 34 chapter called the "division." The division shall be 35 under the administrative control of the commissioner 36 and under the direct supervision of the Director of 37 Risk Management.

- 38 Sec. 97. 5 MRSA §1732, as amended by PL 1983, c. 39 349, §14, is further amended to read:
- 40 §1732. Administration

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1 The fund shall be administered by the Commission-2 er of Finance and Administration. The commissioner 3 shall deposit the fund with the Treasurer of State 4 for investment. All proceeds of such investment shall 5 accrue to the fund.

6 Sec. 98. 5 MRSA §1742, first ¶ is amended to 7 read:

8 The Department of Finance and Administration, 9 through the Bureau of Public Improvements, shall have 10 authority:

11 Sec. 99. 5 MRSA §1742, sub-§2, as amended by PL 12 1975, c. 771, §78, is further amended to read:

Inspection. To regularly inspect state-owned 13 2. 14 and leased buildings in the State and report to the 15 controlling department head whatever construction, 16 repairs, alterations and improvements are deemed nec-17 essary. If the Commissioner of Finance and Adminis-18 tration considers it advisable, he shall make a simi-19 lar report to the Governor;

20 Sec. 100. 5 MRSA §1742, sub-§4 is amended to 21 read:

4. <u>Review.</u> To review the operation and maintenance of state owned and leased buildings and property and to make recommendations with respect thereto to the Commissioner of Finance and Administration and controlling department or agency head concerned;

27Sec. 101.5 MRSA §1742, sub-§20, as amended by28PL 1985, c. 501, Pt. B, §§15 and 16, is repealed.

29 Sec. 102. 5 MRSA §1742, sub-§20-A is enacted to 30 read:

31 20-A. Utility services. To purchase or contract 32 or approve the purchasing or contracting for tele-33 phone, telegraph, electric, water, sewage and gas 34 services for any and all departments and agencies of 35 State Government and:

36 A. To employ or engage outside technical and 37 professional services that may be necessary for 38 telecommunications purposes; 1 To establish, through the Bureau of Accounts в. and Control, an Internal Telecommunications Fund 2 Account. This fund shall include, but not be lim-3 4 ited to, appropriations made to the program, 5 funds transferred to the program from within the 6 Department of Administration and funds received 7 for telecommunications services rendered to sys-8 tem users;

9C. To levy charges, according to a rate schedule10approved by the Commissioner of Administration,11against all units utilizing telecommunications12services; and

13D. To submit a budget of estimated revenues and14costs to be incurred by the program in the same15manner as required for the General Fund in chap-16ters 145 and 149;

17Sec. 103.5 MRSA §1742, sub-§21, as amended by18PL 1985, c.481, Pt. A, §11, is further amended to19read:

20 21. <u>Rules.</u> To make rules, subject to the approv-21 al of the Commissioner of Finance and Administration 22 for the purposes of carrying out this subchapter7: 23 and

 24
 Sec. 104.
 5 MRSA §1743, as repealed and replaced

 25
 by PL 1977, c. 303, §1, is amended to read:

26 §1743. Competitive bids

27 Any contract for any public improvement in which 28 the State or any of its agencies hold in fee or by 29 lease hold interest, except contracts for profession-30 al, architectural and engineering services, shall be 31 awarded by the Department of Finance and Administra-32 tion through the Bureau of Public Improvements, under 33 a system of competitive bidding in accordance with 34 chapters 141 to 155 and such other conditions and restrictions as the Governor may from time to time pre-35 36 scribe.

37 Sec. 105. 5 MRSA §1811, first ¶ is amended to 38 read:

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1 The Department of Finance and Administration, 2 through the Bureau of Purchases, shall have authori-3 ty:

4 Sec. 106. 5 MRSA §1811, sub-§§5 and 7 are 5 amended to read:

5. 6 Storerooms. To establish and operate, with 7 the approval of the Commissioner of Finance and Ad-8 ministration, such storerooms which, in the judgment 9 of the State Purchasing Agent, are deemed necessary for the storage and distribution of supplies, materi-10 11 als and equipment required for use by the State Gov-12 ernment or any department or agency thereof;

13 7. <u>Central mailing room</u>. To establish and conduct a central mailing room for the state departments and agencies at the seat of government<del>, and</del>

16 Sec. 107. 5 MRSA §1812, as amended by PL 1975, 17 c. 739, §1, is further amended to read:

## 18 §1812. Scope of purchasing authority

The terms "services," "supplies," "materials" and "equipment" as used in this chapter, shall be held to 19 20 21 mean any and all services, articles or things which 22 shall be used by or furnished to the State or any department or agency thereof, and any and all printing, 23 binding, publication of laws, journals and reports. 24 25 Except as provided in chapters 141 to 155, any and 26 services, supplies, materials and equipment all 27 needed by one or more departments or agencies of the 28 State Government shall be directly purchased or con-29 tracted for by the State Purchasing Agent, as may be 30 determined from time to time by rules adopted pursu-31 ant to chapters 141 to 155, which rules the Depart-32 ment of Finance and Administration is authorized and 33 empowered to make. It is the intent and purpose of 34 this chapter, that the State Purchasing Agent shall 35 purchase collectively all services, supplies, materi-36 als and equipment for the State or any department or 37 agency thereof in a manner that will best secure the 38 greatest possible economy consistent with the grade 39 or quality of the services, supplies, materials and equipment best adapted for the purposes for which they are needed. Whenever supplies and materials are 40 41

1 available for purchase which are composed in whole or 2 in part of recycled materials and are shown by the seller, supplier or manufacturer to be equal in qual-ity and are competitively priced, the State Purchas-3 4 5 ing Agent shall purchase such recycled supplies and 6 materials. For the purposes of this section, 7 recycled materials means materials that are composed 8 in whole or in part of elements that are reused or 9 reclaimed.

10 The trustees of the University of Maine may au-11 thorize the Department of Finance and Administration 12 to act for them in any purchases.

13 word "services," when used in this chapter, The shall be held to mean any and all window cleaning services, elevator repair and maintenance services, 14 15 laundry service, linen supply service, 16 dry cleaning service, janitor service, floor maintenance service, 17 18 rubbish and garbage disposal service, tree surgeon 19 service, all types of office machine repair and main-20 service, exterminator service, refrigerator tenance 21 repair and maintenance service and oil burner repair 22 and maintenance service when any such service is per-23 formed by an independent contractor. The State Pur-24 chasing Agent may, with the approval of the Commis-25 sioner of Finance and Administration add to or elimi-26 nate from the various types of service set forth in 27 this paragraph such services performed by independent 28 contractors as may be deemed by him to be in the best 29 interests of the State.

30 Sec. 108. 5 MRSA §1813, first ¶ is amended to 31 read:

32 The State Purchasing Agent, with the approval of 33 the Commissioner of Finance and Administration, may 34 adopt, modify or abrogate rules and regulations for 35 the following purposes:

36Sec. 109.5 MRSA §1813-A, sub-§2, as enacted by37PL 1985.c. 158, §3, is amended to read:

38 2. Surplus property; private sale to educational
 39 institutions. Pursuant to this chapter and rules
 40 promulgated under section 1813, the Department of Fi 41 nance and Administration through the Bureau of Pur-

1 chases shall allow private sales of surplus property 2 to educational institutions.

3 Sec. 110. 5 MRSA §1814, last 3 ¶¶, as repealed 4 and replaced by PL 1977, c. 332, are amended to read:

5 A majority of the members shall elect a recording 6 secretary of the Standardization Committee, who shall 7 maintain a complete record of the minutes of every 8 committee meeting. Upon the request of the committee, 9 the State Purchasing Agent shall furnish such assist-10 ance as the committee requests. The committee shall 11 meet at least semiannually and at such other times as 12 the Commissioner of Finance and Administration may request or at such other times as may be deemed nec-13 14 essary by at least 3 members of the committee. Com-15 mittee meetings shall be held at such locations as 16 may be agreed upon by a majority of the members of 17 the committee.

18 It shall be the duty of the Standardization Com-19 mittee to advise the State Purchasing Agent and the 20 Commissioner of Finance and Administration in the formulation, 21 adoption and modification of the rules 22 and regulations which shall prescribe the purchasing 23 policy of the State and to assist the State Purchas-24 ing Agent in the formulation, adoption and modifica-25 tion of the specifications as may be deemed necessary for the procurement of services, supplies, materials 26 27 and equipment required for use by the State or any 28 department or agency thereof.

29 In addition, whenever the State Purchasing Agent, 30 after having received bids on a contract, awards the 31 contract to anyone other than the lowest responsible 32 shall notify the Standardization Committee. bidder, 33 The Standardization Committee shall evaluate the reasons for that award in a written report. That report 34 35 shall be made available for public inspection in the office of the Department of Finance and Administra-36 37 tion during normal business hours.

 38
 Sec. 111.
 5
 MRSA §1831, sub-§§1 and 3, as en 

 39
 acted by PL 1983, c. 188, are amended to read:

40 1. Adoption of rules. Every department or agen-41 cy of State Government, subject to chapters 141 to

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1 152, purchasing services or awarding grants or con-2 tracts which are not subject to the authority of the Department of Finance and Administration, as defined 3 4 in chapters 153 and 155, shall establish a procedure 5 by which these services shall be purchased or by 6 which grants or contracts shall be awarded. This 7 procedure shall be adopted in accordance with the 8 Maine Administrative Procedure Act, chapter 375, and shall be approved by the State Purchasing Agent prior 9 10 their adoption. The procedure shall include, to 11 among any other provisions deemed necessary by the State Purchasing Agent: 12

- 13 A. A notification process to inform prospective 14 bidders about contracts for which bids are being 15 requested;
- 16 B. A process by which bid applications shall be 17 reviewed;
- 18 C. Criteria for the selection of bids for any 19 additional review prior to any award of con-20 tracts;
- 21 D. Criteria for the award of a contract;
- E. A definition of the procedure by which a person, aggrieved by the decision of a department or
  agency under this chapter, may appeal the decision; and
- F. Criteria for the review of any decision thathas been appealed under this chapter.

28 Application. The procedure adopted by a de-З. partment or agency in this section may be used by the 29 department or agency for any qualifying purchase or 30 31 award of a contract or grant. Nothing in this sec-32 tion may be construed to require the adoption of new 33 procedures for every new purchase, contract or award. 34 Nothing in this section may be construed to require 35 the State Purchasing Agent or the Department of Fi-36 nance and Administration to approve any contract, 37 grant or award that is not presently approved by the 38 State Purchasing Agent or the Department of Finance and Administration under chapters 153 and 155. 39

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1	Sec. 112. 5 MRSA c. 157, as amended, is re-
2	pealed.
3	Sec. 113. 5 MRSA c. 158 is enacted to read:
4	CHAPTER 158
5	OFFICE OF INFORMATION SERVICES
6	§1871. Office of Information Services
7	The Office of Information Services is established
8	within the Department of Administration to plan and
9	to provide information services in data processing
10	and to plan for telecommunications throughout State
11	Government.
12	1. Deputy commissioner. The Commissioner of Ad-
13	ministration shall appoint the Deputy Commissioner of
14	Information Services who shall direct the Office of
15	Information Services. The deputy commissioner shall
16	be a person with demonstrated knowledge, skills and
17	abilities in information services management and
18	shall meet the position qualifications established by
19	the Bureau of Personnel. The compensation of the
20	deputy commissioner shall be fixed by the commission-
21	er with the approval of the Governor.
22	The deputy commissioner may be removed by the commis-
23	sioner after the review and comment of the Informa-
24	tion Services Policy Board and with the approval of
25	the Governor. If removed, the former deputy commis-
26	sioner shall receive a sum of 1/2 of his annual com-
27	pensation or may accept, if offered, a transfer or
28	demotion to another position in the classified or un-
29	classified services. Notwithstanding this subsec-
30	tion, the commissioner may remove a deputy commis-
31	sioner for just cause with no requirement for sever-
32	ance pay or an offer of transfer or demotion.
33	2. Office organization; staff. The deputy com-
34	missioner shall organize the office into such bu-
35	reaus, divisions and units as he deems necessary,
36	provided that at all times there shall be the follow-
37	ing: Bureau of Data Processing; Division of Data
38	Processing Audits and Standards; Bureau of Informa-
39	tion Services Planning; and Division of Data Process-

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ing Training. The directors of these units shall be 1 2 appointed by the deputy commissioner with the approval of the commissioner. The directors shall be per-3 4 sons with demonstrated knowledge, skills and abilities in their areas of responsibility and shall meet 5 position qualifications established by the Bureau of 6 7 Personnel. The compensation of each director shall be fixed by the deputy commissioner with the approval 8 9 of the commissioner.

10 A director may be removed by the deputy commissioner 11 after review and comment of the Information Services Policy Board and with the approval of the commission-er. If removed, a former director shall receive a 12 13 14 sum of 1/3 of his annual compensation or may accept, 15 if offered, a transfer or demotion to another posi-16 tion in the classified or unclassified service. Not-17 withstanding this subsection, the deputy commissioner 18 may remove a director for just cause with no obliga-19 tion to provide a transfer, demotion or severance 20 pay.

21 §1872. Powers and duties

22 The Office of Information Services shall have au-23 thority to:

1. Central computer services. Establish, maintain and operate central data processing services and develop and administer standards subject to the approval of the commissioner governing data processing systems and programming methods;

29 2. Centralization. Effect the centralization 30 and consolidation of existing electronic data pro-31 cessing systems and equipment in order to obtain max-32 imum utilization and efficiency or approve the con-33 tinuation of departmental facilities if in the best 34 interests of the State;

35 <u>3. Approve acquisition and use. Approve acqui-</u> 36 sition and use of all data processing and telecommu-37 nications services, personnel, equipment and systems 38 by state agencies;

39 4. Training. Develop and conduct training pro-40 grams for state data processing personnel and data 41 processing users; 1 <u>5. Staff and technical assistance. Furnish</u> 2 <u>staff and technical assistance to other state agen-</u> 3 <u>cies;</u>

4 6. Comprehensive state master plans. Formulate, 5 develop and maintain comprehensive state master plans 6 for data processing and telecommunications and report 7 bi-annually on those plans to the Governor and the 8 Legislature at the beginning of each Legislature. 9 The plan shall include a report on the current status 10 of state data processing and telecommunications, in-11 cluding information on major items of equipment, ma-12 jor applications, personnel, costs and sources of 13 funding for state data processing and telecommunications. In addition, the plan shall include specific agency plans for the future development of state sys-14 15 16 tems, including comments on the major staffing and 17 acquisition activities;

18 7. Charges. Levy appropriate charges against 19 all state agencies utilizing services provided by the 20 office. The charges shall be those fixed in a sched-21 ule or schedules prepared and revised as necessary by 22 the deputy commissioner and approved by the Informa-23 tion Services Policy Board and the commissioner. 24 The schedule of charges shall be supported and ex-25 plained by accompanying information;

26 <u>8. Budget.</u> Submit a budget of estimated reve-27 <u>nues and costs to be incurred by the office;</u>

9. Professional and technical services. Employ or engage, within funds available, such outside technical or professional personnel and services as may be necessary for carrying out the purposes of this chapter, subject to the approval of the commissioner; and

34 <u>10 Other powers and duties.</u> Make rules, subject
 35 <u>to the approval of the Information Services Policy</u>
 36 <u>Board and the commissioner, for carrying out the pur-</u>
 37 <u>pose of this chapter.</u>

38 §1873. Intergovernmental cooperation and assistance

39The deputy commissioner, with the approval of the40commissioner, may enter into such agreements with the

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1 Federal Government, the University of Maine and other 2 agencies and organizations as will promote the objec-3 tives of this chapter, and to accept funds from the 4 Federal Government, municipal and county agencies or 5 from any individual or corporation to be expended for 6 purposes consistent with this chapter.

## 7 §1874. Internal services fund accounts

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The Office of Information Services may establish internal services fund accounts. These funds include, but are not limited to, appropriations made to the office, funds transferred to the office from within the department and funds received for data processing and telecommunications planning services rendered to state agencies.

## 15 §1875. Information Services Policy Board

16 Information Services Policy Board shall con-The 17 sist of 9 members, who shall be the Deputy Commissioner of Information Services and the chief officer 18 19 or a policy influencing designee of the following: The Department of Finance; Department of Human Ser-vices; Department of Labor; the Department of Trans-20 21 22 portation; Department of the Secretary of State, Di-23 vision of Motor Vehicles; and the office of the Gov-The Governor shall designate 2 additional 24 ernor. 25 members from, and to represent, the remaining depart-26 ments and independent agencies of State Government. 27 These last 3 members shall serve at the pleasure of 28 the Governor.

29 <u>The board shall be chaired by the Deputy Commis-</u> 30 sioner of Information Services.

31 The board shall meet at the call of the chairman 32 or at the request of a majority of its members. The 33 board shall meet at least quarterly.

#### 34 On matters relating to the job performance of the 35 deputy commissioner, the designee from the office of 36 the Governor shall chair the meeting and the deputy 37 commissioner shall not vote.

1	The board shall, with the concurrence of the Com-
2	missioner of Administration, set policy, determine
3	priorities, assist in the allocation of data process-
4	ing resources and otherwise assure that data process-
5	ing staff and other resources are consistent with
6	service demands and priorities.
7 8	The board shall assist in the development of and give its approval to the commissioner for:
9	1. Standards. Standards governing data process-
10	ing systems and methods;
11	2. Rules and policies. Rules and policies re-
12	lating to data processing;
13 14	3. Schedule of charges. The schedule of charges; and
15	4. Comprehensive state master plan. The compre-
16	hensive state master plan.
17 18 19	Any issue in dispute between the board and the commissioner shall be presented to the Governor for final resolution.
20	The deputy commissioner and the board shall pro-
21	vide for a regular review of information processing
22	operations in State Government and make recommenda-
23	tions to the Governor, commissioner and other af-
24	fected agency heads for improving service and effi-
25	ciency and for reducing costs.
26	The office shall provide assistance to the board
27	in the performance of its duties.
28	By a majority vote, the board may require that
29	the office carry out an analysis and make recommenda-
30	tions to it regarding any information services activ-
31	ities covered by this chapter.
32	With the assistance of the board, the deputy com-
33	missioner may establish such technical committees as
34	necessary to assist the office.
35	<u>A summary of audits carried out by the Division</u>
36	of Data Processing Audits and Standards shall be pro-

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1 vided to the board on or before its regular meetings.

## 2 §1876. Appeal process

Any state agency disagreeing with an action or decision of the office as it affects that agency may appeal to the commissioner to alter the action or decision. If the agency is not satisfied with the decision of the commissioner, the agency may appeal to the Governor to alter the action or decision of the office. The decision of the Governor is final.

10 §1877. Review of appeal

11 In the event of an appeal by an agency to the 12 commissioner or the Governor, as provided for in sec-13 tion 1876, the Information Services Policy Board 14 shall review the basis for the appeal and present its 15 recommendations to the commissioner or the Governor, 16 as appropriate.

## 17 §1878. Protection of information files

18 The office shall develop rules regarding the safeguarding, maintenance and use of information 19 20 files relating to data processing required by law to be kept confidential, with the assistant of the In-21 22 formation Services Policy Board and subject to the 23 approval of the commissioner. The office shall be responsible for the enforcement of those rules. All data files shall be the property of the agency or 24 25 or 26 agencies responsible for their collection and utili-27 zation.

28 §1879. Bureau of Information Services Planning

29 The Bureau of Information Services Planning is 30 responsible for telecommunications and data processing planning for State Government. Long-range plan-31 32 ning, review and evaluation shall provide for the in-33 volvement of principle managers in state departments 34 and agencies in developing their departmental plans, 35 utilizing planning periods of at least 5 years with 36 annual updates. Departmental plans shall be the ba-37 sis for input to the comprehensive state master plans. The bureau shall provide for the coordination 38 39 of related planning efforts and shall work in cooper-

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1 ation with departments and agencies to review 2 progress against the plans and to provide for ongoing 3 planning.

4 The bureau shall establish performance standards 5 and criteria for the purchase of data processing 6 equipment and software and telecommunications equipment and software. The bureau shall assess new 7 8 products in these areas and in other areas of office 9 automation, integrating these products into project 10 plans and serve as an information resource to user 11 agencies.

12 §1880. Bureau of Data Processing

13 The Bureau of Data Processing shall provide the major data processing services in State Government, 14 including computer operations, systems programming and applications programming. The bureau shall work 15 16 17 to assure consistency in programming services, sta-18 bility in data processing functions, reliability in 19 the operation and maintenance of systems and 20 responsiveness and flexibility to react to changing situations and needs. The bureau's primary mission is to meet the needs of client agencies in a timely, 21 22 23 efficient and cost effective manner. In addition to providing dedicated staff support to major system us-24 25 ers, the bureau shall also assure a high quality of 26 service to all users and shall allocate resources as 27 necessary to meet peak demands and to best use avail-28 able resources. In coordination with other units in 29 the office and state departments and agencies, the 30 bureau shall assure adequate backup for all informa-31 tion services activities and provide cross training 32 of programming staff.

## 33 §1881. Division of Data Processing Training

34 The Division of Data Processing Training shall 35 establish data processing training procedures, train 36 data processing personnel directly and through con-37 sultant services as may be appropriate and develop and implement programmer and analyst quality and pro-38 ductivity measurements. Training shall include com-39 40 munication skills to better understand and meet user 41 needs. Training programs shall be provided to enable 42 principle staff in departments and agencies to par1 ticipate effectively in planning for and utilizing 2 data processing services. The division shall provide 3 a training and service center to assist state depart-4 ments and agencies in the effective use of the tech-5 nologies supported by the Office of Information Ser-6 vices.

7 <u>§1882.</u> Division of Data Processing Audits and Stan-8 dards

9 The Division of Data Processing Audits and Stan-10 dards shall assist the deputy commissioner and the Information Services Policy Board in developing 11 and 12 maintaining documentation and programming standards to assure the security and continuity of systems. 13 14 division shall assure that state data processing The 15 activities are undertaken only with established project planning and control systems, including the 16 17 projection of time and cost estimates supported by 18 project steps, critical review points, management controls and project goals and deliverables. The di-19 20 vision shall monitor project development and shall carry out post-installation reviews to determine if 21 2.2 time, budget and user requirements have been met and 23 to identify the reasons for any variance from the 24 plan.

25 The division shall carry out operational audits 26 to assure that information systems comply with stan-27 dards established, are operationally efficient, are 28 still required as structured and meet user service 29 requirements.

The division's reports shall be provided through
 the deputy commissioner to appropriate units in the
 Office of Information Services, the user agencies and
 to the Information Services Policy Board.

 34
 Sec. 114.
 5 MRSA §1903, 3rd ¶, as amended by PL

 35
 1983, c. 580, §1, is further amended to read:

Appropriate department, agency or institution heads may request the employment of cooperative education students on a form provided by the Department Bureau of Personnel. Approval by the State Director of Personnel Commissioner and the State Budget Offiter will constitute approval for a student to be em-

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1 ployed. Only cooperative education programs that re-2 quire full-time employment for a period of not less 3 than 10 weeks shall be included. Cooperative educa-4 tion students will be classified as project employees 5 and will be governed by all policies and entitled to 6 all rights and privileges afforded such employees, 7 except that they shall be paid at the prevailing min-8 imum wage.

9 Sec. 115. 5 MRSA §7006, sub-§5, ¶A, as enacted 10 by PL 1983, c. 477, Pt. E, Sub-Pt. 26, §5, is 11 amended to read:

A. The State Development Office, with the advice of the Department of Finance and Administration, shall establish a standard accounting procedure to be used by any organization receiving moneys under this section.

17 Sec. 116. 5 MRSA §12002-C, as enacted by PL
18 1985, c. 295, §10, is amended to read:

19 §12002-C. Per diem and expense vouchers

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20 order to receive per diem compensation or re-In 21 imbursement for expenses as authorized by this chap-22 ter, a member of a board, which is required to submit 23 expense vouchers to the Department of Finance and 24 Administration for reimbursement, shall complete and sign an expense voucher form to the satisfaction of 25 26 the Commissioner of Finance and Administration. Ev-27 ery board member shall certify in writing on the 28 voucher form whether the per diem or expenses in-29 curred for each item is an official meeting or hear-30 ing activity required by statute that has been called 31 by the chairman of the board or by a person authorized by statute to call the meeting or hearing. 32 In 33 the event that the requested per diem or expense reimbursement is not the result of an official meeting 34 35 or hearing of the board, the board member shall ex-36 plain on the voucher the reason for the claim. The Commissioner of Finance and Administration may disap-37 prove those expenses or portion of expenses that do 38 39 not comply with this chapter.

 40
 Sec. 117.
 5
 MRSA
 §12004,
 sub-§8,
 ¶A,

 41
 sub-¶(12-A), as enacted by PL 1985, c.
 359,
 §4,
 is

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 amended to read:
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1 12-A Finance Work Center Expenses only for Nonstate 2 Adminis- Purchases 3 tration Committee employee 4 members to be paid from funds 5 6 of departments 7 represented on 8 the committee MRSA §12004, sub-§8, ¶Α, 5 9 Sec. 118. sub-¶(12-A), as enacted by PL 1985, 441, §2, is re-10 pealed and the following enacted in its place: 11 Board of the Experimentary Maine's Chil-Expenses 22 MRSA §4083 12**-**B Human Ser-12 vices: 13 dren's Trust Child Pro-14 Fund tection 15 16 Sec. 119. 5 MRSA §12004, sub-§10, ¶A, sub-¶(26) 17 is amended to read: 18 (26) Finance Standardization \$25/Day 5 MRSA §1814 19 Adminis- Committee Public 20 Member tration 21 5 MRSA §12004, sub-§10, ¶A, sub-¶(68) Sec. 120. 22 is repealed. 23 Sec. 121. 5 MRSA §12006, as amended by PL 1985, 24 c. 295, §22, is further amended to read: 25 §12006. Penalty for failure to report 26 Members of any board which fail to report to the 27 Secretary of State, as required by section 12005, 28 shall not be eligible to receive any daily rate or annual rate of compensation or any money for expenses 29 incurred in the work of the board until the report to 30 31 the Secretary of State is complete to the satisfaction of the Secretary of State. The Commissioner of 32 33 Finance and Administration, the Secretary of State or 34 the authorized person to pay compensation or expenses 35 to members of the boards, shall not pay any rate of 36 compensation or expenses to any member of a board 37 which has failed to report to the Secretary of State. 38 Sec. 122. 8 MRSA §350 is enacted to read:

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1 §350. State Lottery Bureau

2 The State Lottery Bureau is established within 3 the Department of Finance to carry out the purposes 4 of this chapter. 5 Sec. 123. 10 MRSA §918, sub-§3, as amended by PL 6 1983, c. 636, is further amended to read: 7 3. Ex officio corporators. Ex officio corpora-8 tors shall consist of the heads of the major state 9 departments and agencies and the Chancellor of the 10 University of Maine. State department and agency heads shall include the following: 11 12 Treasurer of State; 13 Director of the State Planning Office; 14 Director of the State Development Office; 15 Commissioner of Agriculture, Food and Rural Re-16 sources; 17 Commissioner of Business, Occupational and Pro-18 fessional Regulation; 19 Commissioner of Conservation; 20 Commissioner of Educational and Cultural Ser-21 vices: 22 Commissioner of Environmental Protection; 23 Commissioner of Finance and Administration; Commissioner of Administration; 24 25 Commissioner of Human Services: 26 Commissioner of Inland Fisheries and Wildlife; 27 Commissioner of Labor; Commissioner of Marine Resources; 28 Commissioner of Mental Health and Mental Retarda-29

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tion;

Commissioner of Transportation; 1 2 Chief Executive Officer of the Finance Authority 3 of Maine; 4 Executive Director of the Maine Municipal Bond 5 Bank; and 6 Executive Director of the Maine State Housing Au-7 thority. 8 Sec. 124. 12 MRSA §6022, sub-§4, as amended by 9 PL 1979, c. 541, Pt. B, §73, is further amended to 10 read: 11 4. Warden code. The commissioner shall prepare a 12 written code governing the operating procedures of 13 the Bureau of Marine Patrol services for submission 14 to the Commissioner Director of Personnel. The code 15 become effective when approved by the shall 16 Commissioner Director of Personnel. 17 Sec. 125. 12 MRSA §6025, sub-§1, as amended by 18 PL 1979, c. 541, Pt. B, §14, is further amended to 19 read: 20 1. Appointment. Applicants for the position of a marine patrol officer who qualify under the officer's 21 22 code and pass the examination administered by the 23 Department Bureau of Personnel, may be appointed by the commissioner to hold office under Title 5, chap-24 ters 51 to 67 and under the officer's code. 25 26 97051, sub-1, as amended by Sec. 126. 12 MRSA 27 PL 1983, c. 440, §2, is further amended to read: 28 1. Qualifications. The commissioner shall ap-29 point as game wardens persons who have qualified un-30 der the written code prepared by the commissioner and 31 approved by the Commissioner Director of Personnel. 32 Sec. 127. 14 MRSA §8109, sub-§1, ¶A, as amended 33 by PL 1985, c. 81, is further amended to read: 34 A. Any agency may settle any claim for an amount 35 of \$1,500 or less when such settlement is ap-36 proved by the appropriate department or agency

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- head in accordance with regulations promulgated
   by the Commissioner of Finance and
   Administration.
- 4 Sec. 128. 14 MRSA §8109, sub-§1, ¶B, as enacted 5 by PL 1977, c. 2, §2, is amended to read:
- 6 B. Any other claim may be settled when such set-7 tlement is approved by the head of the department 8 or agency against which the claim is filed, the 9 Commissioner of Finance and Administration and 10 the Attorney General.
- 11 Sec. 129. 14 MRSA §8115, sub-§1, as enacted by 12 PL 1977, c. 2, §2, is further amended to read:

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13 Payment from next appropriation. In the event 1. 14 no insurance has been procured by the State to pay a 15 claim or judgment arising under this chapter, and no 16 appropriated funds are reasonably available, as de-17 termined by the Commissioner of Finance and 18 Administration, the claim or judgment shall be paid 19 from the next appropriation to the state instrumen-20 tality whose action or omission, or the action or 21 omission of whose employee, gave rise to the claim.

22 Sec. 130. 20-A MRSA §11054, as enacted by PL 23 1981, c. 693, §§5 and 8, is amended to read:

24 §11054. Duties of board

25 The board on the part of the State shall obtain accurate accounts of all the board's receipts 26 and 27 disbursements and shall report to the Governor and 28 the Commissioner of Finance and Administration annu-29 ally on or before the 15th day of September, setting 30 forth in such detail as the commissioner may require 31 the transactions of the board for the fiscal year 32 ending on the preceding June 30th. They shall in-33 clude in such report recommendations for any legislation as may be necessary or desirable to carry out the intent and purposes of the New England Higher Ed-34 35 36 ucation Compact among the states joining.

37 Sec. 131. 20-A MRSA §13506, as enacted by PL 38 1983, c. 859, Pt. J, §2, is amended to read:

Transmittal of information. The commissioner 1 2. transmit the necessary filing data to the De-2 shall 3 partment of Finance and Administration in sufficient 4 time to allow the issuance of payments on February 5 15th and August 15th of each year. Sec. 132. 20-A MRSA §15903, sub-§3, ¶A, as en-6 7 acted by PL 1981, c. 693, §§5 and 8, is amended to 8 read: 9 The Bureau of Public Improvements, Department Α. 10 of Finance and Administration; 11 Sec. 133. 22 MRSA §254, last ¶, as amended by PL 12 1983, c. 290, is further amended to read: 13 The Commissioner of Finance and Administration 14 may create a dedicated revenue account to receive 15 moneys pursuant to this section from whatever source 16 is available which money may be disbursed solely for 17 the purposes of implementing this section. 18 Sec. 134. 22 MRSA §1471-B, sub-§7, as enacted by 19 PL 1981, c. 632, §2, is amended to read: 7. State contracts. Notwithstanding any other 20 21 provisions of law, members of the board shall be eligible to contract with the State where the contracts 22 are awarded consistent with normal bidding procedures 23 24 of the Department of Finance and Administration. 25 also be eligible to receive grants Members shall where grants are awarded consistent with normal state 26 27 procedures. In no case may any member vote on the 28 award of a contract or grant for which he has submit-29 ted a bid or proposal. 30 Sec. 135. 22 MRSA §2701, sub-§1, as amended by 31 PL 1975, c. 293, §4, is further amended to read: 32 1. Registrar. The Commissioner of Human Services 33 shall appoint a State Registrar of Vital Statistics, 34 who shall be qualified in accordance with the stan-35 dards of education and experience prescribed by the 36 State Department Bureau of Personnel. Sec. 136. 23 MRSA §152, 5th  $\P$ , as amended by PL 37 38 1983, c. 553, §23, is further amended to read:

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The board shall maintain an office in Kennebec 1 2 Commissioner of County. The Finance end 3 Administration shall appoint, subject to the Person-4 nel Law, a clerk of the board to keep its records and 5 to perform such other duties as the board shall pre-6 scribe. The clerk shall have authority to certify to 7 all official acts of the board, administer oaths, is-8 sue subpoenas, and issue all processes, notices, or-9 ders or other documents necessary to the performance 10 of the duties of the board.

11 Sec. 137. 23 MRSA §152, 6th ¶, as amended by PL 12 1983, c. 553, §24, is further amended to read:

13 The Commissioner of Finance and Administration 14 shall appoint and fix the compensation of a reporter 15 to the board, and shall review and approve all charges made by such reporter for transcripts of the 16 record of hearings before the board. The Commissioner 17 18 of Finance and Administration may appoint, subject 19 to the Personnel Law, such clerical assistants for 20 the board as he may deem necessary.

21 Sec. 138. 26 MRSA §979-A, sub-§5, as amended by 22 PL 1981, c. 289, §11, is further amended to read:

5. <u>Public employer.</u> "Public employer" means all the departments, agencies and commissions of the ex-23 24 25 ecutive branch of the State of Maine, represented by 26 the Governor or his designee. In the furtherance of this chapter, the State shall be considered as a sin-27 employer and employment relations, policies and 28 gle 29 practices throughout the state service shall be as 30 consistent as practicable. It is the responsibility 31 of the executive branch to negotiate collective bar-32 gaining agreements and to administer such agreements. 33 To coordinate the employer position in the negotia-34 tion of agreements, the Legislative Council or its designee shall maintain close liaison with the Gover-35 36 nor or his designee representing the executive branch 37 relative to the negotiation of cost items in any pro-38 agreement. The Governor's office posed θ¥ its designee Governor is responsible for the employer 39 40 functions of the executive branch under this chapter, 41 and shall coordinate its collective bargaining activities with operating agencies on matters of agency 42 concern. It is the responsibility of the legislative 43

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1 branch to act upon those portions of tentative agree-2 ments negotiated by the executive branch which re-3 quire legislative action.

4 The Governor's office, or its designee, the location 5 of which within the organization of State Government 6 shall be designated by the Governor, which shall be 7 known as the Governor's Office of Employee Relations, 8 may The Bureau of Employee Relations, through the Commissioner of Administration, shall act as directed 9 10 by the Governor to:

- A. Develop and execute employee relations' poli cies, objectives and strategies consistent with
   the overall objectives of the Governor;
- 14 B. Conduct negotiations with certified and rec-15 ognized bargaining agents under applicable stat-16 utes;
- 17 C. Administer and interpret collective bargain-18 ing agreements, and coordinate and direct agency 19 activities as necessary to promote consistent po-20 licies and practices;
- D. Represent the State in all bargaining unit
  determinations, elections, prohibited practice
  complaints and any other proceedings growing out
  of employee relations and collective bargaining
  activities;
- 26 E. Coordinate the compilation of all data and
  27 information needed for the development and evalu28 ation of employee relations' programs and in the
  29 conduct of negotiations;
- F. Coordinate the state's resources as needed to
   represent the State in negotiations, mediation,
   fact finding, arbitration and other proceedings;
   and
- G. Provide staff advice on employee relations to
  the various departments and agencies of State
  Government, including providing for necessary supervisory and managerial training.

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1 All state departments and agencies shall provide such 2 assistance, services and information as required by 3 the Governor's office, or its designee the Bureau of Employee Relations, and shall take such administra-4 5 tive or other action as may be necessary to implement 6 and administer the provisions of any binding agree-7 ment between the State and employee organizations en-8 tered into under law.

9 Sec. 139. 26 MRSA §979-A, sub-§6, ¶I, as enacted 10 by PL 1981, c. 381, §3, is amended to read:

11I. Who is appointed to a major12policy-influencing position as designated by Ti-13tle 57 section 7117 subsection 2; or

14 Sec. 140. 28 MRSA §2, sub-§2, as repealed and 15 replaced by PL 1975, c. 741, §1, is amended to read:

Bureau. "Bureau" shall mean means the Bureau
 of Alcoholic Beverages within the Department of Fi nance and Administration.

19 Sec. 141. 28 MRSA §56, as amended by PL 1983, c. 20 865, Pt. B, §§1 and 2, is further amended to read:

21 §56. Working capital

The net profits of the commission shall be gener-22 al revenue of the State. The commission is autho-23 rized to keep and have on hand a stock of wines, ex-24 25 cept table wines, and spirits for sale, the value of 26 which, when priced for resale, shall be computed on 27 less carload price quotations f.o.b. warehouse filed 28 by liquor and wine vendors. The inventory value shall 29 based upon actual cost for which payment may be be 30 due and shall not at any time exceed the amount of 31 working capital authorized. Wines and spirits shall not be considered in the inventory until payment has 32 33 been made therefor. The maximum permanent working 34 capital of the commission is established at \$3,500,000 and permanent advances up to this amount 35 may be authorized by the Governor upon recommendation 36 37 of the commission with the approval of the Commissioner of Finance and Administration. The permanent 38 working capital of the commission may be supplemented 39 by temporary loans from other state funds upon recom-40

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1 mendation of the commission and by approval of the 2 Commissioner of Finance and Administration and the 3 Governor.

4 Sec. 142. 28 MRSA §57, first ¶, as amended by PL 5 1977, c. 674, §26, is further amended to read:

6 The Commissioner of Finance and Administration, 7 the advice and consent of a majority of the with 8 State Liquor Commission, shall appoint a Director of 9 the Bureau of Alcoholic Beverages whose term of of-10 fice shall be continuous, subject only to removal by 11 majority of the commission and the Commissioner of а 12 Finance and Administration. The salary of the direc-13 tor shall be fixed by the Commissioner of Finance and 14 Administration with the advice and consent of a ma-15 jority of the State Liquor Commission.

16 Sec. 143. 28 MRSA §58, as enacted by PL 1975, c. 17 741, §4, is amended to read:

18 §58. Director of the Bureau of Alcoholic Beverages; 19 powers and duties

20 The Director of the Bureau of Alcoholic Beverages 21 within the Department of Finance and Administration 22 shall be the chief administrative officer of the bu-23 reau. The Director of the Bureau of Alcoholic Bever-24 ages shall be subject to the direction of the State 25 Liquor Commission as defined in this chapter. The director of the bureau shall administer the policies, 26 27 rules and regulations of the State Liquor Commission 28 under the supervision of the commission. The direc-29 tor of the bureau shall operate the bureau and imple-30 ment the liquor laws according to the procedures es-31 tablished by the State Liquor Commission.

The Director of the Bureau of Alcoholic Beverages shall have general charge of the office and records and shall employ, with the approval of the Commissioner of Finance and Administration and the State Liquor Commission, subject to the Personnel Law, such personnel and make expenditures as may be necessary to fulfill the purposes of this Title.

 39
 Sec. 144.
 28
 MRSA §451, first ¶, as amended by

 40
 PL 1979, c. 161, is further amended to read:

All spirits and wines, except table wines, shall 1 2 be sold by the commission at a price to be determined by the commission which will produce a state liquor 3 4 tax of not less than 75% based on the less carload 5 cost f.o.b., State Liquor Commission warehouse, and 6 in addition thereto there shall be levied and imposed 7 an excise tax of 75¢ per gallon, or its metric equiv-8 alent, on wines containing more then 14% alcohol bv 9 volume; except that spirits and wines sold at whole-10 sale under section 204 may be sold at wholesale established pursuant to the provisions there-11 prices 12 of. Prices for sale of spirits and wines bought bv 13 the commission from Maine licensees to manufacture 14 liquor under section 501 shall be based on minimum 15 truck load delivery prices f.o.b. warehouse as the 16 same are filed with the Public Utilities Commission. 17 Special orders by the commission for unstocked mer-18 chandise shall be priced at not less than 75% over 19 actual cost delivered f.o.b. warehouse. In all cases 20 the commission is authorized to round off costs to 21 the next highest 5 cents. Any increased federal taxes levied on or after November 1, 1941 shall be added to 22 23 the established price without markup. All net revenue 24 derived from such tax shall be deposited to the cred-25 it of the General Fund of the State. Notwithstanding 26 the other provisions of this section, the commission, 27 with approval of the Commissioner of Finance and Administration, may reduce the price of discontinued 28 29 items of liquor. The reduced price shall not be less 30 than the actual cost of the discontinued liquor items 31 and no item shall be discontinued by the commission 32 for a period of at least 6 months after such item has 33 been been listed and on sale in state stores. Notwith-standing the other provisions of this section, the 34 the 35 commission, with the approval of the Commissioner of 36 Finance and Administration, may reduce the price of 37 liquor in one store, and licensees permitted to pur-38 chase liquor at a discount under section 204 may pur-39 chase liquor for resale from that store at the same 40 price they are permitted to purchase liquor for re-41 sale from any state store which does not offer a re-42 tail discount.

43 Sec. 145. 29 MRSA §2243-C, first and 2nd ¶¶, as 44 enacted by PL 1983, c. 818, §21, are amended to 45 read:

1 So long as another jurisdiction imposes a tax or 2 fee on a class of motor vehicles registered in Maine 3 and traveling in that jurisdiction and that tax or 4 fee is additional to those imposed by Maine upon the 5 same class of motor vehicles not registered in that 6 jurisdiction, the Secretary of State, Commissioner of 7 Finance and Administration and Commissioner of Transportation acting together shall levy the same or sub-8 9 stantially the same tax or fee upon the same class of 10 motor vehicles registered in that jurisdiction and 11 traveling in Maine.

12 Secretary of State, Commissioner of Finance The 13 and Administration and Commissioner of Transportation 14 shall jointly promulgate rules for collection of 15 or fees due pursuant to this section, for entaxes 16 forcement of the collection and for otherwise carry-17 ing out the purposes of this section. The Secretary 18 State shall monitor taxes of and fees assessed 19 against Maine-registered motor vehicles by other ju-20 risdictions, in order to ensure comparable treatment 21 of foreign-registered motor vehicles traveling in 22 Maine.

 23
 Sec. 146.
 30 MRSA §4786, sub-§5, as reenacted by

 24
 PL 1979, c.
 732, §§21 and 31, is amended to read:

25 5. Indian Housing Mortgage Insurance Committee. 26 "Indian Housing Mortgage Insurance Committee" means a 27 committee consisting of the Treasurer of State, the 28 Director of the Maine State Housing Authority, the 29 Commissioner of Finance and Administration and one 30 person from the Passamaquoddy Tribe and one person 31 from the Penobscot Nation to be chosen by the respec-32 tive tribe or nation.

33 Sec. 147. 30 MRSA §5057, sub-§1, ¶A, as enacted 34 by PL 1983, c. 477, Pt. E, sub-pt. 27, is amended to 35 .read:

36 Α. On or before September 30, 1984, and for each 37 succeeding year, the Commissioner of Finance and 38 Administration shall provide to the Treasurer of 39 State a list of state-owned buildings in each mu-40 nicipality, along with the total floor space of 41 state-owned buildings in each municipality and 42 the share of floor space of all state-owned

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- 1 buildings accounted for by the state-owned build-2 ings in each municipality. 3 (1)The following state buildings shall not 4 be included in the calculation provided by 5 this section: 6 (a) Buildings in which the State holds 7 only a leasehold interest; 8 (b) Buildings owned by the Bureau of 9 Parks and Recreation and for which pay-10 ments are made under Title 12, section 11 602, subsection 4; 12 (c) Buildings owned by the University 13 of Maine; 14 (d) Buildings owned by the Maine Mari-15 time Academy; and 16 Buildings owned by the vocational-(e) 17 technical institutes established by Ti-18 tle 20-A, section 10103. 19 Sec. 148. 30 MRSA §5301, as amended by PL 1983, 20 c. 812, §185, is further amended to read:
- 21 §5301. Membership

22 The Board of Emergency Municipal Finance, as au-23 thorized by Title 5, section 12004, subsection 8, and designated in this subchapter as the "board," shall be composed of the 3 persons who legally hold the of-24 25 26 fices of Commissioner of Finance and Administration, Treasurer of State and State Tax Assessor. Upon the 27 28 succession of any person to any of these respective 29 offices, he or she shall immediately become a member 30 the board and the person who formerly held such of 31 office shall cease to be such a member. The person 32 holding the office of State Tax Assessor shall be the 33 chairman of the board. The members of the board shall 34 be compensated according to the provisions of Title 35 5, chapter 379.

36 Sec. 149. 30 MRSA §6208-A, sub-§§2 and 3, as en-37 acted by PL 1981, c. 675, §7, are amended to read:

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1 2. Claims. The secretary shall pay from the 2 fund all valid claims for taxes, payments in lieu of property taxes and fees, together with any interest and penalties thereon, for which the Houlton Band of 3 4 5 Maliseet Indians is liable pursuant to section 6208, 6 provided that such obligation is final and not sub-7 ject to further direct administrative or judicial re-8 view under the laws of the State of Maine. No pay-9 a valid claim may be satisfied with moneys ment of 10 from the fund unless the secretary finds, as a result 11 of his own inquiry, that no other source of funds 12 controlled by the secretary is available to satisfy 13 the obligation. The secretary shall adopt written 14 procedures, consistent with this section, governing 15 the filing and payment of claims after consultation 16 with the Maine Commissioner of Finance and 17 Administration and the Houlton Band of Maliseet Indi-18 ans.

19 Distributions. If the unencumbered principal 3. 20 available for the payment of claims exceeds the sum 21 of \$100,000, the secretary shall, except for good 22 cause shown, provide for the transfer of such excess 23 principal to the Houlton Band of Maliseet Indians. The secretary shall give 30 days' written notice to 24 25 the Commissioner of Finance and Administration of a 26 proposed transfer of excess principal to the Houlton 27 Band of Maliseet Indians. Any distribution of excess 28 principal to the Houlton Band of Maliseet Indians shall be exempt from taxation. 29

 30
 Sec. 150.
 32 MRSA §4904, as repealed and re 

 31
 placed by PL 1979, c. 89, §1, is amended to read:

### 32 §4904. Corporations, partnerships, associations and 33 government agencies

34 This chapter does not prohibit one or more 35 geologists or soil scientists from practicing through the medium of a sole proprietorship, partnership, 36 37 corporation or government agency. In such partnership 38 or corporation whose primary activity consists of ge-39 ological services, or in a government agency in which 40 geological work is done, at least one partner, offiemployee shall be a certified geologist. In 41 cer or 42 such partnership or corporation whose primary activi-43 ty consists of soil science services, or in a govern-

1 ment agency in which soil science work is done, at 2 least one partner, officer or employee shall be a 3 certified soil scientist. In the case of an agency of 4 State Government, the Department Bureau of Personnel 5 shall classify officers and employees under the Per-6 sonnel Law in a manner that ensures that at least one 7 certified geologist or soil scientist shall work for 8 each agency in which, as the case may be, geological 9 or soil science work is done and that any officer or employee who is engaged in the practice of geology or 10 11 soil science and who is uncertified works as a subor-12 dinate to a certified geologist or soil scientist, as 13 the case may be, and does not have responsible charge 14 of work or evaluation.

15 Sec. 151. 36 MRSA §575, as amended by PL 1973, 16 c. 460, §18, is further amended to read:

17 §575. Administration; rules

The State Tax Assessor shall have the powers 18 and 19 duties provided in this subchapter. He shall adopt 20 and amend such rules and regulations as may be reasonable and appropriate to carry out these responsi-21 bilities. He may contract with municipal, State 22 and 23 Federal Governments or their agencies to assist in the carrying out of any of his assigned tasks. He 24 is 25 authorized to hire such technical assistance as may 26 be required for the performance of his assigned 27 tasks. He is authorized to request such technical as-28 sistance from the Forestry Bureau or the Department 29 of Finance and Administration as the respective de-30 partment may be able to provide.

31 Sec. 152. 36 MRSA §653, sub-§1, ¶H is amended to 32 read:

33 H. Any municipality granting exemptions under this subsection shall have a valid claim against 34 35 the State to recover 90% of the taxes lost by 36 reason of such exemptions as exceeds 3% of the total local tax levy, upon proof of the facts in 37 form satisfactory to the Commissioner of Finance 38 39 and Administration. Such claims shall be pre-40 sented to the Legislature next convening.

Sec. 153. 36 MRSA §841-B, as repealed and replaced by PL 1985, c. 295, §54, is amended to read: <u>§841-B. Land Classification Appeals Board; purpose;</u> composition

5 The Land Classification Appeals Board, as estab-6 lished by Title 5, section 12004, subsection 2, is 7 established to hear appeals from decisions of munici-8 pal tax assessors, chief assessors and the State Tax 9 Assessor acting as assessor of the unorganized territory relating to the Maine Tree Growth Tax Law or the 10 11 Farm and Open Space Tax Law. The board shall be com-12 posed of 4 voting members: The Commissioner of Con-13 servation or his designee; the Commissioner of Agri-14 culture, Food and Rural Resources or his designee; 15 and 2 members, serving 4 years, to be appointed by 16 the Governor. One of these members shall be a munic-17 ipal officer and one shall be a forest landowner. 18 The initial appointment of the municipal officer 19 shall be for a 2-year period. In the event of the 20 death or resignation of such an appointee, the Gover-21 nor shall make an appointment to the appeals board 22 for the unexpired term. The Commissioner of Finance 23 and Administration or his designee shall serve in an 24 advisory capacity as a nonvoting member and as chair-25 of the board. In the case of a tie vote, the man 26 Commissioner of Finance and Administration or his designee shall vote to break the tie. The landowner 27 28 member and the municipal officer shall be compensated 29 according to Title 5, chapter 379. All other members 30 shall be compensated by the agency they represent for 31 actual expenses incurred in the performance of their 32 duties under this section.

33 Sec. 154. 36 MRSA §2863, sub-§1, ¶A, as enacted 34 by PL 1981, c. 711, §10, is amended to read:

- A. "Commissioner" means the Commissioner of Fi nance and Administration.
- 37
   Sec. 155.
   38
   MRSA §1306-C, sub-§4, ¶A, as en 

   38
   acted by PL 1981, c. 430, §18, is amended to read:

A. A final order issued by the court under that
 procedure shall provide for disposition of the
 conveyance by the Department of Finance and Ad-

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1 ministration, including official use by a public 2 agency or sale at public auction or by competi-3 tive bidding.

4 Sec. 156. Transitional provisions.

5 1. Data processing staff.

6 is the intent of the Legislature that major It 7 computer operating staffs and computer programming 8 staffs be centralized under the supervision and di-9 rection of the Office of Information Services. The 10 Deputy Commissioner for Information Services shall 11 review the staffing of agency data processing, inprogramming and management and 12 cluding operating, support staffs, and recommend to the Commissioner 13 of 14 Administration positions to be transferred to the Office of Information services, together with such Per-15 16 sonal Services funding and supporting funds and 17 equipment as necessary. The deputy commissioner's recommendation shall be accompanied by the review and 18 19 of the agency head from which the positions comment 20 and funds are transferred. The commissioner shall 21 review and adjust these recommendations as warranted 22 and, by Financial Order through the State Budget Ofrequest the Governor's approval of the 23 ficer, shall 24 positions, funds and equipment transfer. Transfers 25 should be made as soon as practicable after the ef-26 fective date of this Act.

27 2. Support staff.

28 It is the intent of the Legislature that adminis-29 trative and support staff in the Department of Finance and Administration assisting in the operations 30 31 of organizational and administration units trans-32 ferred to the Department of Administration be simi-33 larly transferred, together with such Personal Ser-34 vices funding, supporting funds and equipment as nec-35 The Commissioner of Administration shall essary. consult with the Commissioner of Finance and, togeth-36 er with the review and comment of the Commissioner of 37 Finance, shall request, by Financial Order through the State Budget Officer, the Governor's approval of 38 39 40 the positions, funds and equipment transfer.

41 3. Dedicated Revenue, Internal Services Fund Ac-42 counts. Nothing in this Act may be construed to change
 the status of any dedicated revenue. Money now
 raised for specific purposes shall be expended only
 for the purposes raised and shall not be diverted.

5 4. Rules and procedures.

6 All existing rules currently in effect and opera-7 tion in departments and agencies affected by this re-8 organization shall continue in effect, unless in con-9 flict with this Act, until rescinded, amended or 10 changed.

11 "Rules" shall include, but are not limited to, 12 any rule, order, administrative procedure, policy, 13 determination, directive authorization, permit, li-14 cense, privilege, requirement, designation or agree-15 ment.

16 5. Funds, positions and equipment transferred.

17 Agencies affected by this transition include, but are not limited to, the former Bureau of Central Com-18 19 puter Services, the Bureau of Public Improvements, 20 the Bureau of Purchases, the Board of Trustees of the Group Accident and Sickness or Health Insurance, the 21 22 Division of Risk Management, the State Lottery Com-23 mission, the former Department of Personnel, the Governor's Office of Employee Relations and other Execu-24 25 tive Branch agencies.

26 Notwithstanding the Maine Revised Statutes, Sec-27 tion 1585, and related appropriations' and allocations' Acts and appropriate positions, property, 28 equipment and all accrued expenditures, assets, lia-29 30 bilities, balances of appropriations, transfers, rev-31 enues or other available funds in any account or subdivision of an account of any agency to be reallo-cated to another department strictly as a result of 32 33 34 this reorganization shall be transferred to the ap-35 propriate place in the new structure by the State 36 Controller, upon recommendation of the department 37 head, the State Budget Officer and upon approval by the Governor. Unclassified positions shall be those 38 39 positions provided by law. Incumbents in positions 40 placed in the classified service by this Act shall be 41 transferred to the classified service on the effective date of this Act. 42

#### FISCAL NOTE

2 This bill restructures existing departments, 3 agencies, bureaus and divisions into a separate De-4 partment of Finance and a separate Department of Ad-5 ministration.

6 Financial agencies, bureaus and divisions will 7 remain within the Department of Finance into which 8 will be placed the State Lottery Commission and its 9 staff organized as the Bureau of Lottery. There is 10 no measurable financial impact on the General Fund.

11 Administrative agencies and functions now con-12 tained within the Department of Finance and Administration will be transferred to the new Department of 13 14 Administration. The former Department of Personnel 15 and the Office of Employee Relations are designated 16 as bureaus reporting directly to the Commissioner of 17 Administration. Into this new department will be 18 placed the newly created Office of Information Ser-19 vices, headed by a deputy commissioner and consisting 20 the Bureau of Data Processing, Bureau of Informaof 21 tion Services Planning, Division of Data Processing 22 Audits and Standards and a Division of Data Process-23 ing Training.

24 There is no measurable impact on the General Fund in establishing the Department of Administration and 25 26 restructuring data processing services. While some 27 positions will be added and others restructured, in-28 creased costs will be offset by savings through the 29 delivery of more cost-effective services, improved 30 use of staff resources and improved system controls.

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#### STATEMENT OF FACT

1

2 The statutory changes put forward in this bill 3 based upon the findings and recommendations of are 4 the Governor's Management Task Force in its report to the Governor, "A Review of the Data Processing 5 Enviin the Executive Branch of Maine State Gov-6 ronment 7 ernment." The task force's recommendations are based primarily upon a study and evaluation conducted by a 8 9 5-member Computer Management Team charged with "re-10 viewing all State Government Executive Branch comput-11 er operations in order to recommend ways to eliminate long-term deficiencies, reduce costs, improve organi-12 13 zational structure and to suggest improvements in management and operations techniques." 14

15 The review team and the task force concluded that 16 data processing operations in State Government, which 17 function as a series of autonomous or semi-autonomous 18 units, constitute an organization which is, as a whole, "fragmented, disjointed and lacks overall 19 direction." It was their unanimous and unequivocal 20 opinion that "these deficiencies can 21 only be cor-22 rected by a major structural reorganization."

The task force recommends the creation of an Office of Information Services to coordinate data processing planning, to provide universal auditing and training and to consolidate the equipment and staffs of the Executive Branch's major computer user departments.

29 Careful consideration was given to the creation of a new 17th department or of superimposing the 30 new Office of Information Services organization upon the 31 32 present Department of Finance and Administration. 33 Both ideas were rejected. To avoid creating a 3rd 34 staff department and to maintain a reasonable span of 35 control for the Governor, it was decided to split the 36 present Department of Finance and Administration into 37 purely financial and purely administrative depart-38 ments, and to place the new Office of Information 39 Services within the Department of Administration. Under this proposal, all purely financial agencies 40 41 and functions will remain within the Department of 42 Finance into which will be placed the State Lottery

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1 Commission with its staff organized as the Bureau of 2 Lottery.

3 A11 administrative agencies and functions now 4 contained within the Department of Finance and Admin-5 istration will be transferred to the new Department 6 of Administration, along with the former Department 7 of Personnel, now organized as a bureau, and with the 8 Office of Employee Relations, also designated as а 9 and reporting directly to the Commissioner of bureau 10 Administration. Into this department will be placed 11 newly created Office of Information Services, the 12 headed by a deputy commissioner and consisting of the Bureau of Data Processing, Bureau of Information Ser-13 14 vices Planning, Division of Data Processing Audits 15 Standards and Division of Data Processing Trainand 16 ing. Special employment arragements are proposed for 17 the Deputy Commissioner Information Services and for 18 the Directors in the Office of Information Services.

19 This bill will eliminate the present Computer 20 Services Advisory Board and replace it with an Infor-21 mation Services Policy Board. Six of the 9 members 22 of the new board will be the commissioners, or their 23 designees, of the major data processing user depart-24 ments. The Information Services Policy Board, in co-25 operation with the Commissioner of Administration and 26 the Deputy Commissioner of Information Services, will establish all policies, determine priorities and 27 al-28 locate resources. On any matters in which a majority 29 the board disagrees with the Commissioner of Adof 30 ministration, the Governor will be responsible for 31 the final decision.

32 The Office of Information Services will be funded 33 by agency user charges through internal services fund 34 accounts as is the current Bureau of Central Computer 35 Services.

8

36 The information management system created in this 37 bill is designed to:

- 38 A. Better allocate resources to projects and
   39 systems;
- 40 B. Increase consistency and stability in data 41 processing facilities;

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1 C. Increase flexibility to respond to changing 2 situations; 3 D. Reducé duplication in hardware acquisition 4 and software design; 5 Ε. Increase data processing productivity; and 6 F. Better plan and evaluate the State's long-7 verm needs for information processing. Significant portions of this bill are required to 8 9 make changes with respect to the establishment of 10 separate Departments of Finance and of Administra-11 tion. 5798021986 12

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