

	SECOND REGULAR SESSION	
	ONE HUNDRED AND TWELFTH LEGISLATU	RE
Legislati	ve Document	No. 1941
	nitted by the Public Utilities Commission pursuant to rence to the Committee on Utilities suggested and or	Joint Rule 24.
Cosp	by Representative Vose of Eastport. onsored by Senator Baldacci of Penobscot, Represen vaska and Representative Weymouth of W. Gardiner	
	STATE OF MAINE	
	IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-SIX	
AN	N ACT to Improve the Effectiveness of Consumer Assistance Division of th Public Utilities Commission and to make Other Changes in the Statutes	ne D
Be it e follows	enacted by the People of the State o: s:	f Maine as
	c. l. 2 MRSA §6-A, sub-§3, as ame c. 729, §1, is further amended to rea	
3. ing emp	Other employees. The salaries of to be observed as a start of the salary range	
Α.	General counsel;	
В.	Director of finance;	
c.	Administrative Director; and	
D.	Director of technical analysis-; an	nd

1	E. Director of consumer assistance.
2	<pre>Sec. 2. 2 MRSA §6-A, sub-§3-A is enacted to</pre>
3	read:
4 5 6	3-A. Other employees; range 80. The salaries of following employees shall be within salary range 80:
7	A. Assistant administrative director; and
8	B. Assistant to the director of consumer assist-
9	ance.
10	Sec. 3. 5 MRSA §931, sub-§1, ¶J, as enacted by
11	PL 1985, c. 481, Pt. A, §9, is amended to read:
12	J. Staff attorney, financial analyst and, chief
13	utility accountant, <u>assistant administrative</u> di-
14	rector and assistant to the director of consumer
15	<u>assistance</u> positions at the Public Utilities
16	Commission.
17	Sec. 4. 5 MRSA §949, sub-§1, as enacted by PL
18	1983, c. 729, §4, is amended to read:
19	1. <u>Major policy-influencing positions</u> . The fol-
20	lowing positions are major policy-influencing posi-
21	tions within the Public Utilities Commission. Not-
22	withstanding any other provision of law, these posi-
23	tions and their successor positions shall be subject
24	to this chapter:
25	A. General Counsel - Public Utilities Commission
26	B. Director of Finance;
27	C. Administrative Director; and
28	D. Director of Technical Analysis .; and
29	E. Director of Consumer Assistance.
30	Sec. 5. 35 MRSA §1, as amended by PL 1985, c.
31	481, Pt. A, §65, is further amended to read:
32	§1. Members; terms; vacancies; seal; clerks; office
33	and equipment; salary; expenses

1 The Public Utilities Commission, as heretofore 2 established, shall consist of 3 members appointed by 3 Governor, subject to review by the legislative the 4 committee having jurisdiction over public utilities 5 to, confirmation by the Legislature from time to and 6 time upon the expiration of the terms of the several 7 terms of 6 years and all 3 members of members, for 8 the commission shall devote full time to their du-9 Each term shall end on March 31st of the 6th ties. 10 year of the term. A commissioner may continue to 11 serve beyond the end of his term until a duly quali-12 fied successor is appointed. Any vacancy occurring 13 in said commission shall be filled by appointment for 14 the unexpired portion of the term in which such va-15 cancy occurs. One member of the commission shall be 16 designated by the Governor as chairman. The basic 17 policies of the Public Utilities Commission are to be 18 set by the commission. Each commissioner is entitled 19 to full access to the Public Utilities Commission 20 staff and to any information available at the commischairman shall be the principal executive 21 sion. The 22 officer of the commission in carrying out its poli-23 cies and shall preside at meetings of the commission. 24 The chairman shall be responsible for the expedient 25 organization of the work of the commission. When ab-26 sent one working day or more, the chairman shall name 27 another commissioner to act as chairman. For any par-28 ticular hearing or series of hearings before the com-29 mission, the chairman may assign a commissioner, in-30 cluding the chairman, to attend. The commission shall adopt and have a seal and be provided with of-31 32 fice space. The commission shall appoint an administrative director, a director of finance and, a direc-tor of technical analysis and a director of consumer 33 34 35 assistance. It shall appoint, with the approval of 36 the Attorney General, a general counsel. It shall apsubject to the Personnel Law, an assistant to 37 point-38 the administrative director. The administrative di-39 rector shall keep a full and minute record of the proceedings of the commission which shall be open 40 to 41 public inspection at all times. The assistant 42 administrative director shall assist the director in 43 the performance of his duties, and in the absence of 44 the director shall have the same powers as the direc-45 tor. The administrative director shall have authority 46 to certify to all official acts of the commission, 47 administer oaths, issue subpoenas and issue all processes, notices, orders or other documents necessary to the performance of the duties of the commission. The commission may delegate to its staff such powers and duties as the commission finds proper. All delegations existing as of the effective date of this section shall remain valid.

7 The salaries of the other subordinate officials 8 and employees of that commission, other than those of 9 the general counsel, the Administrative Director, the 10 assistant administrative director, the director of finance and, the director of technical analysis, 11 the director of consumer assistance, the assistant to the director of consumer assistance and the staff attor-12 13 14 ney, financial analyst and chief utility accountant 15 positions, shall be subject to the Personnel Law. The general counsel, the Administrative Director, the 16 17 assistant administrative director, the Director of 18 Finance and, the Director of Technical Analysis, the 19 director of consumer assistance and the assistant to 20 the director of consumer assistance shall serve at the pleasure of the commission and their salaries 21 22 shall be set by the commission within the range es-23 tablished by Title 2, section 6-A. After successful 24 completion of a probationary period, the employees 25 occupying the staff attorney, financial analyst and chief utility accountant positions may be dismissed, 26 27 suspended or otherwise disciplined only for cause. 28 The compensation of the staff attorney, seasonal le-29 gal researcher, financial analyst and chief utility 30 accountant positions shall be fixed by the commis-31 sion with the approval of the Governor, but the com-32 pensations shall not in the aggregate exceed the to-33 tal amount appropriated or allocated in the commis-34 sion's budget. The commissioners and all employees 35 shall receive actual expenses when traveling on offi-36 cial business.

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 Sec. 6.
 35 MRSA §17, sub-§1, as amended by PL

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 1985, c.
 125, §1, is further amended to read:

39 1. Utilities subject to assessments. Every elec-40 tric, gas, telegraph, telephone and water utility 41 subject to regulation by the commission and every wa-42 ter carrier subject to the jurisdiction of the com-43 mission pursuant to Private and Special Act of the 44 Legislature shall be subject to an assessment of not

more than .25% on its intrastate gross operating rev-1 2 enues to produce no more than \$1,894,000 in revenues 3 annually beginning in the 1985-86 fiseal year and no more than \$1,939,000 $\frac{$2,084,000}{10}$ in revenues annually 4 5 beginning in the 1986-87 fiscal year. The commission shall determine the assessments annually prior to May 6 shall assess each utility for its pro rata 7 lst and 8 Each utility shall pay the assessment charged share. 9 to the utility on or before July 1st of each year. Any increase in the assessment that becomes effective 10 11 subsequent to May 1st may be billed on the effective date of the Act authorizing the increase. 12

- A. The assessments charged to utilities under
 this section shall be deemed just and reasonable
 operating costs for rate-making purposes.
- B. For the purposes of this section, intrastate
 gross operating revenues means intrastate revenues derived from filed rates, except revenues
 derived from sales for resale.
- 20 C. Gas utilities subject to the jurisdiction of 21 the commission solely with respect to safety 22 shall not be subject to any assessment until such 23 time as the commission has reviewed the scope and 24 cost of such jurisdiction and an assessment or 25 fee structure is authorized by the Legislature.
- 26 D. The commission may at its discretion correct 27 any errors in the assessments by means of a cred-28 it or debit to the following year's assessment 29 rather than reassessing all utilities in the cur-30 rent year.
- 31
 Sec. 7. 35
 MRSA §17, sub-§4, as amended by PL

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 1985, c. 125, §2, is further amended to read:

33 4. <u>Use of funds</u>. The Public Utilities Commission is authorized to fund 39 <u>43</u> employees and 2 seasonal 34 35 legal researchers from the revenues provided in this 36 section to defray the costs incurred by the commis-37 sion pursuant to this Title and to include administrative expenses, general regulatory expenses, con-sulting fees and all other reasonable costs incurred 38 39 40 to administer this Title. The seasonal legal re-41 searcher positions shall not be subject to the Per-42 sonnel Law.

1 Sec. 8. Allocation. Income not otherwise allo-2 cated from the Public Utilities Commission Regulatory 3 Fund is allocated for the fiscal year ending June 30, 4 1987, and shall be segregated, apportioned and dis-5 bursed as designated in the following schedule:

1986-87

7 PUBLIC UTILITIES COMMISSION

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8	Positions	(4)
9	Personal Services	\$117,903
10	All Other	14,016
11	Capital Expenditures	12,110
12	Total	\$144,029

STATEMENT OF FACT

This bill strengthens the functions of the Con-14 15 sumer Assistance Division and the Library of the Pub-16 lic Utilities Commission in response to recommenda-17 tions of the Joint Standing Committee on Audit and 18 Program Review.

19 date the Consumer Assistance Division's prin-То 20 cipal focus has been to respond to individual com-21 plaints on a case-by-case basis. The caseload of the 22 division has increased 70% since 1980 and the divi-23 sion is now understaffed. This bill upgrades and re-24 organizes the division to permit it to address the underlying or "generic" causes of consumer complaints 25 and to seek long-term solutions. This bill funds 2 26 professional positions and provides, for the first 27 28 time. full-time clerical assistance to the division.

29 The commission currently has no central library 30 Its considerable legal and technical reresource. 31 sources are scattered throughout the 5 operating di-32 The renovations of the commission's office visions. 33 space currently under way will provide some addition-34 al space for a central library. This bill funds one 35 Librarian II position. The additional space plus the 36 new position allows the commission to make more effi-37 cient use of its existing staff and information re1 sources. The Librarian II also seeks new sources of 2 information to assist the commission in the perform-3 ance of its duties.

4 This bill also places the Assistant Administra-5 tive Director in the unclassified service, clarifies that law students hired by the Legal Division for the 6 7 summer are in the unclassified service, clarifies that records of commission proceedings may be main-8 9 tained on audio tapes and clarifies that any in-10 creases in the Regulatory Fund Assessment effective subsequent to May 1st may be billed on the effective 11 12 date of the Act authorizing the increase.

13 The positions established in this bill are funded 14 by increasing the Public Utility Commission Regulato-15 ry Fund by \$145,000.

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