

1 2	SECOND REGULAR SESSION
3 4	ONE HUNDRED AND TWELFTH LEGISLATURE
5 6	Legislative Document No. 1835
7 8	S.P. 710 In Senate, January 13, 1986 Approved for introduction by a majority of the Legislative Council
9	pursuant to Joint Rule 26. Reference to the Committee on Appropriations and Financial Affairs suggested and ordered printed.
10	JOY J. O'BRIEN, Secretary of the Senate Presented by Senator Clark of Cumberland. Cosponsored by Representative Hickey of Augusta, Representative Nelson of Portland and Senator Perkins of Hancock.
12 13	STATE OF MAINE
14 15 16	IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-SIX
17 18 19	AN ACT to Provide an Ombudsman for Home Care for Older Citizens.
20 21	Be it enacted by the People of the State of Maine as follows:
22 23	Sec. 1. 22 MRSA §5112, sub-§2, as amended by PL 1985, c. 89, is further amended to read:
24 25 26 27 28 2 9 30 31 32 33	2. <u>Advocate</u> . Serve as an advocate on behalf of older people promoting and assisting activities de- signed to meet at the national, state and community levels the problems of older people. The committee shall serve as an ombudsman on behalf of individual citizens and older people as a class in matters under the jurisdiction of State Government. It shall be a spokesman on behalf of older people to the director, commissioner, Governor, Legislature, public-at-large and Federal Government.
34 35	In order to serve as advocate and ombudsman for older people, the committee shall have the power to enter

onto the premises of any adult foster care facility 1 2 approved by the State according to section 7908, any 3 boarding care facility licensed according to section 4 7801 and any nursing home facility licensed according 5 to section 1817, any facility which provides congre-6 gate housing services certified according to sections 7 5153 and 5154, any home health care provider licensed 8 according to section 2143 and any person or agency 9 contracting, arranging, providing or administering 10 home care services according to sections 7301 to 7305 11 and sections 7321 to 7323 in order to investigate 12 complaints concerning those facilities or services. 13 carry out this function, the committee may enter To 14 onto the premises of any adult foster care facility, any boarding care facility or , any nursing home, any 15 16 congregate housing facility, any home health care provider or any person or agency contracting, arrang-17 18 ing, providing or administering home care services 19 during the course of an investigation, speak privatewith any individual therein who consents to the 20 ly 21 conversation and inspect and copy all records per-22 taining to a resident held by a facility or home re-23 cipient of services in the facility or home, provided that the resident recipient, or the legal representa-24 25 tive of the resident recipient, consents in writing inspection. The consent, where required and 26 to that 27 not obtainable in writing, may be conveyed orally or 28 otherwise to the staff of the facility or home 29 agency. Where a resident recipient is not competent 30 to grant consent and has no legal representative, the 31 committee may inspect the resident's recipient's 32 records and may make such copies that do not contain 33 personally identifiable material without the written consent of a duly appointed legal representative. The 34 35 committee may authorize up to 25 35 persons, including committee members, staff of the committee and 36 other citizens, to carry out this function of 37 the committee pursuant to this subsection. Appropriate 38 39 identification shall be issued to all such persons. 40 The committee shall renew the authorization and reis-41 sue identification annually. The findings of the com-42 mittee shall be available to the public upon request.

43 No information or records maintained by the committee
44 relating to complaints may be disclosed unless the
45 ombudsman authorizes the disclosure; and the
46 ombudsman shall not disclose the identity of any com47 plainant or resident recipient unless:

1 A. The complainant or resident recipient, or a 2 legal representative of either, consents in writ-3 ing to the disclosure with respect to that com-4 plainant or resident recipient respectively; or

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B. A court orders the disclosure.

A complainant or resident, or legal representative
thereof, in providing the consent, may specify to
whom such identity may be disclosed and for what purposes, in which event no other disclosure is authorized.

11 Any person, official or institution who in good faith 12 participates in the registering of a complaint pursuant to this subsection, or in good faith investigates 13 14 that complaint or provides access to those persons 15 carrying out the an investigation, about an act or 16 practice in any approved adult foster care facility, 17 any licensed boarding care facility or any licensed nursing home, any certified congregate housing facil-18 19 ity, any licensed home health care provider or any 20 person or agency contracting, arranging, providing or 21 administering home care services, licensed or 22 approved according to section 51547 79087 7801 or 1817 sections 1817, 2143, 5151 to 5154, 7301 to 7305, 7321 to 7323, 7801 and 7908, respectively, or who 23 24 25 participates in a judicial proceeding resulting from 26 that complaint, shall be immune from any civil or 27 criminal liability that otherwise might result by 28 reason of these actions. For the purpose of any civil or criminal proceedings, there shall be a rebuttable 29 presumption that any person acting pursuant to this 30 31 subsection did so in good faith.

32 Sec. 2. Appropriation. The following funds are
 33 appropriated from the General Fund to carry out the
 34 purposes of this Act.

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TOTAL

STATEMENT OF FACT

3 This bill clarifies the enabling law of the Maine 4 Committee on Aging to ensure the availability of the 5 ombudsman program to advocate on behalf of elderly consumers of home care services in the home. 6 The 7 Maine Committee on Aging has successfully adminis-8 tered the Nursing and Boarding Home Ombudsman Program 9 since 1975. The Nursing and Boarding Home Ombudsman 10 Program is operated within the broad mandate of the 11 Maine Revised Statutes, Title 22, section 5112, which states that "the Committee shall serve as 12 an 13 ombudsman on behalf of individual citizens and older 14 persons as a class.....'

In August of 1985, the Maine Committee on 15 Aging 16 voted to change the name of the Nursing and Boarding 17 Home Ombudsman Program to the Long Term Care Ombudsman Program. This change is reflective of the 18 19 committee's committment to respond to concerns of 20 consumers along the continuum of long-term care programs and services. In 1975, those services were 21 22 limited to institutional options of nursing and 23 boarding home placement. In the ensuing years, the committee has taken the lead along with others in ad-24 25 vocating for the development of alternatives to institutionalization for Maine's older citizens. This 26 27 advocacy has resulted in the development of a 28 continuum of alternative long-term care services 29 ranging from supportive services in the home to nurs-30 ing home placement with many options in between for 31 Maine's at risk elderly. The bill enables the ombudsman program to respond to the concerns and com-32 plaints of consumers of home care in a variety of ar-33 eas, including eligibility for public benefit pro-34 35 grams, questions regarding appropriateness of care and general advocacy in dealing with providers of 36 37 care.

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