

MAINE STATE LEGISLATURE

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L.D. 1123 :

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(Filing No. H- 159)

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STATE OF MAINE
HOUSE OF REPRESENTATIVES
112TH LEGISLATURE
FIRST REGULAR SESSION

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HOUSE AMENDMENT "A" to H.P. 793, L.D. 1123,
Bill, "AN ACT to Require Full Disclosure by Financial
Planners."

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Amend the Bill by adding at the end before the
Statement of Fact the following:

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'§9754. Enforcement

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Any person who violates this chapter commits a
civil violation for which a forfeiture not to exceed
\$100 may be adjudged in the case of a first violation
and a forfeiture not to exceed \$500 may be adjudged
in the case of 2nd and subsequent violations.

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STATEMENT OF FACT

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The purpose of this amendment is to add enforce-
ment provisions to the chapter.

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Filed by Rep. Brannigan of Portland
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Clerk of the House

5/17/85

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