

1 2 3	(New Draft of H.P. 1669, L.D. 2209) SECOND REGULAR SESSION
4 5	ONE HUNDRED AND ELEVENTH LEGISLATURE
6 7	Legislative Document No. 2434
8	H.P. 1839 House of Representatives, April 6, 1984
9 10	Reported by Representative Soucy from the Committee on Education and printed under Joint Rule 2.
11	Original bill presented by Representative Mitchell of Vassalboro and cosponsored by Representative Rolde of York and Representative Crouse of Washburn.
	EDWIN H. PERT, Clerk
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13 14	STATE OF MAINE
15 16 17	IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-FOUR
18 19 20	AN ACT to Revise the Laws Governing Certification of Educational Personnel.
21 22	Be it enacted by the People of the State of Maine as follows:
23 24	Sec. 1. 20-A MRSA §13001, as amended by PL 1983, c. 470, §§6 to 10, is repealed.
25 26	Sec. 2. 20-A MRSA §13002, as enacted by PL 1981, c. 693, §§5 and 8, is repealed.
27 28	<pre>Sec. 3. 20-A MRSA §13003, sub-§1, as enacted by PL 1981, c. 693, §§5 and 8, is amended to read:</pre>
29 30 31	1. <u>Certification</u> . A person must be certified by the commissioner under section 13002 this chapter or chapter 502 in order to:
32 33	A. Teach in any public elementary or secondary school in the State; or

1 2	B. Teach in any private school receiving basic approval under section 2901.
3	Sec. 4. 20-A MRSA c. 502 is enacted to read:
4	CHAPTER 502
5	CERTIFICATION OF EDUCATIONAL PERSONNEL
6	§13011. General authorization of state board
7 8 9 10 11 12	1. Certification and revocation rules. All cer- tificates issued or revoked after June 30, 1988, shall be in accordance with this chapter. The state board shall adopt rules prior to April 1, 1988 to carry out the purposes of this chapter under which the commissioner shall:
13 14 15	A. Certify teachers and other professional per- sonnel for service in a public school or in an approved private school;
16 17 18 19 20	B. Certify adult education teachers and other teaching and professional personnel in publicly-supported educational programs other than post high school institutions, colleges and universities;
21 22 23	C. Approve the employment of teacher aides, teacher assistants and other semiprofessional personnel for service in schools; and
24 25	D. Seek a revocation of a certificate in the Ad- ministrative Court.
26 27 28	2. Health and moral character. Rules adopted by the state board under this chapter shall require that an applicant for certification furnish evidence of:
29	A. Good moral character; and
30 31 32	B. Knowledge of physiology and hygiene, with special reference to the effects of alcohol, stimulants and narcotics upon the human system.
33 34	3. Teacher certificates. The state board rules shall recognize at least 3 categories of teacher cer-

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1	tificates as identified in sections 13012 to 13014.
2	4. Appeal. An applicant who has been denied the
3	issuance or renewal of a certificate by the commis-
4	sioner under this chapter may appeal that denial in
5	accordance with rules established by the state board
6	and consistent with the provisions of the Maine Ad-
7	ministrative Procedure Act, Title 5, chapter 375.
8	§13012. Provisional certificate
9	1. Definition. A provisional certificate is the
10	entry level certificate issued to an individual who
11	has not taught previously in the State.
12	2. Qualifications. State board rules governing
13	the qualifications for a provisional certificate
14	shall require that a certificate may only be issued
15	to an applicant who, at a minimum:
16 17 18	A. For elementary school, has graduated from an accredited, degree-granting institution upon completion of:
19 20	(1) A 4-year program in liberal arts and sciences; or
21	(2) An approved 4-year teacher preparation
22	program and has majored in the subject area
23	to be taught or an interdisciplinary program
24	in liberal arts; and
25	Has met other academic and preprofessional re-
26	quirements established by the state board for
27	teaching at the elementary school level;
28 29 30	B. For secondary school, has graduated from an accredited, degree-granting, educational institu- tion upon completion of:
31 32	(1) A 4-year program in liberal arts and sciences; or
33	(2) An approved 4-year teacher preparation
34	program and has majored in the subject area
35	to be taught; and

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1	Has	met	other	academic	and	preprofes	sional	re-
2	quir	ement	s estak	olished by	the	state	board_	for
3	teac	hing	at the	secondary	scho	ol level;	or	

4 C. Is otherwise qualified by having met separate 5 educational criteria for specialized teaching areas including, but not limited to, special educa-6 7 tion, home economics, agriculture, vocational education, art, music, business education, physical 8 9 education and industrial arts, as established by the state board for teaching in these specialized 10 11 areas.

12 3. Endorsements. The provisional teacher certificate shall be issued with an endorsement which spec-13 14 ifies the grades and subject area which the teacher 15 is deemed qualified to teach. The state board shall 16 by rule establish the criteria for assessing teacher 17 proficiency and subject matter competency for the 18 provisional certificate. A holder of a provisional teacher certificate may not teach outside his or her 19 20 area of endorsement unless he or she has received a 21 waiver from the commissioner in accordance with state 22 board rules. These endorsements shall not apply to teachers in private schools approved for attendance 23 24 purposes only.

25		4.	Т	lwo−y	ear	11	imit.	The	pro	visio	onal	certi	fiq	ate
26	may	be	is	sued	for	а	2-yea	ir per	iod	and	may	only	be	re-
27	newe	d	in	acco	rdand	ce	with	secti	on	13010	5.			

## 28 §13013. Professional teacher certificate

29 <u>1. Definition. A professional teacher certifi-</u> 30 <u>cate is a renewable certificate issued to an individ-</u> 31 <u>ual who has held a provisional certificate and has</u> 32 <u>met the qualifications of this section.</u>

## 2. Qualifications. State board rules governing the qualifications for a professional teacher certif icate shall require that the certificate may only be issued to an applicant who, at a minimum:

A. Holds a provisional teacher certificate or
 has held a professional teacher certificate which
 has expired within the last 5 years;

1B. Taught in a classroom for 2 academic years;2and

C. Received a recommendation to the superintend ent and commissioner by an approved support sys tem, pursuant to section 13015.

6 3. Endorsements. The professional teacher cer-7 tificate shall be issued with an endorsement which 8 specifies the grades and subject area which the teacher is deemed qualified to teach. A holder of a 9 professional teacher certificate may not teach out-10 11 side his or her area of endorsement unless he or she 12 has received a waiver from the commissioner in ac-13 cordance with state board rules.

14 4. Five-year certificate. A professional teacher
 15 certificate shall be issued for a 5-year period and
 16 may be renewed in accordance with section 13016.

17 §13014. Master teacher certificate

Definition. A master teacher certificate is a
 renewable certificate issued to an individual who has
 achieved additional professional standards in accord ance with this section.

22 <u>2. Qualifications. State board rules governing</u> 23 <u>the qualifications for a master teacher certificate</u> 24 <u>shall require that the certificate may only be issued</u> 25 to an applicant who, at a minimum:

26 A. Possesses a professional teacher certificate;

27B. Has demonstrated exemplary professional28skills in classroom instruction and who may have29additionally contributed to the profession in30such areas as:

31 (1) Curriculum development;

32(2) Teacher in-service training and effec-33tive staff development; or

34 (3) Student-teacher supervision; and

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- 1C. Has obtained the support system's positive2recommendation based on the contents of a teacher3action plan pursuant to section 13015.
- <u>3.</u> Endorsements. The holder of a master teacher
   <u>certificate shall possess</u>, at a minimum, the endorse <u>ments held under the professional certificate</u>.
- Five-year certificate. A master teacher cer tificate may be issued for a 5-year period and may be
   renewed in accordance with section 13016.

10 5. Effective date. Notwithstanding the July 1, 11 1988, reference in section 13011, the state board shall, prior to September 1986, adopt rules governing 12 13 the issuance of master teacher certificates. Starting 14in September 1986, any teacher, who holds a 5-year or 10-year certificate under section 13001, may apply to 15 16 master teacher certificate. Master qualify for a 17 teacher certificates may be issued starting in July 1987. 18

19 §13015. Support system

1. Employment. No public school or private
 school approved for tuition purposes under section
 2901, subsection 2, paragraph B may employ a provi sional teacher unless it has an approved, locally de signed, support system or has received specific au thorization from the commissioner in accordance with
 state board rules.

27 <u>2. Purpose. The purpose of an approved support</u> 28 <u>system is to:</u>

- A. Provide strong support services for develop ing good teaching and classroom management skills
   to teachers with provisional certificates;
- B. Provide assistance and review to all individuals who are candidates for a higher level certificate; and
- 35 C. Assist all teachers in becoming better teach-36 ers.

1	This support system is separate from local practices
2	and procedures regarding the supervision and evalua-
3	tion of a teacher for retention by an administrative
4	unit.
5	3. Approval. Each administrative unit's support
6	system is subject to approval by the commissioner in
7	accordance with state board rules which shall re-
8	quire, at a minimum, that an approved support system
9	contains the following:
10	A. A majority of classroom teachers, including a
11	master teacher, if available, and other personnel
12	such as administrators, personnel from the De-
13	partment of Educational and Cultural Services or
14	institutions of higher education and other out-
15	side consultants as the commissioner may approve.
16	For the purposes of this paragraph, the state
17	board shall establish by rule the definition of
18	classroom teacher;
19	B. A description of the duties and responsibili-
20	ties of the support system in the preparation of
21	a teacher action plan for both provisional teach-
22	ers and applicants for master teacher certifica-
23	tion;
24	C. A description of the duties of the master
25	teacher responsible for the design and coordina-
26	tion of a teacher action plan; and
27	D. A description of any current or planned
28	linkages the support system has or will have with
29	institutions of higher education, department per-
30	sonnel and members of the public in the operation
31	of its support system.
32	4. Teacher action plan. A teacher action plan is
33	developed by the support system in consultation with
34	the teacher who is the subject of the plan. The
35	teacher action plan shall describe the specific
36	skills which must be developed and improved by the
37	teacher, as well as any other requirements, which may
38	include additional professional graduate and advanced
39	study, in order to achieve a positive recommendation
40	to receive a higher level of certificate. A teacher
41	action plan shall contain the basis for the positive

<ul> <li>whether a teacher should be granted a professional teacher certificate or a master teacher certificate, as applicable.</li> <li>5 5. Records confidential. A teacher action plan shall be considered an employee record and shall be kept confidential in accordance with sections 610.</li> <li>8 and 6102.</li> <li>9 §13016. Renewal of teacher certificates</li> <li>10 Teacher certificates issued in accordance with this chapter may be renewed pursuant to state board rules which shall include, but not be limited to, the following.</li> <li>14 1. Provisional teacher certificates. A provisional teacher certificate is not renewable except for the following:</li> <li>17 A. Teachers employed in private schools approved for attendance purposes only;</li> <li>19 B. Unemployed teachers who apply to renew their</li> </ul>
<ul> <li><u>as applicable.</u></li> <li><u>5. Records confidential. A teacher action plan shall be considered an employee record and shall be kept confidential in accordance with sections 610: and 6102.</u></li> <li><u>9 §13016. Renewal of teacher certificates</u></li> <li><u>10 Teacher certificates issued in accordance with this chapter may be renewed pursuant to state board rules which shall include, but not be limited to, the following.</u></li> <li><u>14 1. Provisional teacher certificates. A provi- sional teacher certificate is not renewable except for the following:</u></li> <li><u>17 A. Teachers employed in private schools approved for attendance purposes only;</u></li> <li><u>19 B. Unemployed teachers who apply to renew their</u></li> </ul>
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<ul> <li>shall be considered an employee record and shall be kept confidential in accordance with sections 6107</li> <li>and 6102.</li> <li>§13016. Renewal of teacher certificates</li> <li>Teacher certificates issued in accordance with this chapter may be renewed pursuant to state board rules which shall include, but not be limited to, the following.</li> <li>14 <ol> <li>Provisional teacher certificates. A provisional teacher certificate is not renewable exception for the following:</li> </ol> </li> <li>A. Teachers employed in private schools approved for attendance purposes only;</li> <li>B. Unemployed teachers who apply to renew their</li> </ul>
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20 certificates during periods of unomployment s
20 <u>certificates during periods of unemployment so</u> 21 long as they have been employed for less than 2
23 <u>nary certificate;</u>
24 C. Notwithstanding paragraphs A and B, the com-
25 missioner may grant an extension for not more
26 than 2 years based on:
27 (1) The recommendation of the superintend-
28 <u>ent;</u>
29 (2) The recommendation of the support sys-
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1	(2), the commissioner may hold a fact-
2	finding hearing in the school administrative
3	unit where the teacher is employed. At the
4	hearing the Teacher Action Plan,
5	superintendent's recommendations and support
6	system recommendations shall be presented.
7	With the consent of the teacher the hearing
8	may be open to the public; and
9 10 11 12 13	D. Notwithstanding paragraphs A, B and C on a case-by-case basis to be determined by the com- missioner on the basis of documented hardship or other extraordinary circumstances as set forth in state board rules.
14	2. Professional teacher certificates. A profes-
15	sional teacher certificate may be renewed for 5-year
16	periods in accordance with state board rules which
17	shall require, at a minimum, a recommendation of a
18	support system and that the teacher complete at least
19	6 hours of professional or academic study, or in-
20	service training designed to improve the performance
21	of the teacher in the field for which the teacher
22	holds an endorsement, or in a related subject area.
23	Teachers who desire to qualify for a master teacher
24	certificate shall coordinate their continuing profes-
25	sional education with the requirements of an applica-
26	ble teacher action plan.
27 28 29 30 31 32 33 34 35 36 37 38 39	3. Master teacher certificate. A master teacher certificate may be renewed for 5-year periods in accordance with state board rules which shall require, at a minimum, that the master teacher has obtained a recommendation of the support system that the master teacher has maintained a high level of professional teacher skills. If the holder of a master teacher certificate fails to maintain master teacher skills or chooses not to seek a renewal of the master teacher er certificate, the teacher will be deemed to hold a professional teacher certificate for its renewal. 4. Renewal of nonteacher, professional certificate
40	cates. Certificates for professional personnel, other
41	than teachers, shall be renewed in accordance with
42	state board rules.

## 1 §13017. Interstate agreements

2	Notwithstanding any other requirements of this
3	chapter, a teacher, who is eligible to hold a provi-
4	sional teacher certificate pursuant to an interstate
5	agreement authorized by chapter 511, has at least 2
6	academic years of prior teacher experience outside
7	the State and has taught for fewer than 2 years in
8	Maine with a provisional teacher certificate, may be
9	awarded a professional teacher certificate if recom-
10	mended by the administrative unit's support system
11	and is otherwise deemed to be eligible by the commis-
12	sioner.
13	§13018. Recertification of 5-year and 10-year cer-
14	tificates
15	Teachers, who hold certificates issued in accord-
16	ance with chapter 501, shall continue to hold those
17	certificates until their termination dates. All cer-
18	tificates issued after June 30, 1988, shall be issued
19	in accordance with this chapter. Teachers who held
20	5-year or 10-year certificates prior to June 30,
21	1988, shall be considered to have held professional
22	teacher certificates for the purpose of
23	recertification under this chapter.
24	§13019. Visiting teacher permit
25	1. Definition. A visiting teacher permit is de-
26	signed to allow those who do not intend to enter the
27	teaching profession full time to offer their special
28	expertise to schools. The visiting teacher shall act
29	as an adjunct to existing staff and shall not be used
30	to avoid the hiring of professional, certified teach-
31	ers.
32	2. Qualifications. A visiting teacher permit
33	shall be issued for a limited period in accordance
34	with state board rules.
35	§13020. Revocation or suspension of a certificate
36	1. General. The state board's rules adopted un-
37	der this chapter shall specify the procedure to be
38	followed by the commissioner in seeking the revoca-
39	tion or suspension of a certificate in the Adminis-

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1 trative Court and shall authorize the commissioner to 2 enter into a consent agreement with any certificated 3 person in lieu of initiating or completing a proceed-4 ing in the Administrative Court.

5 <u>2. Grounds for revocation or suspension of a</u> 6 <u>certificate. The following are grounds for revocation</u> 7 <u>or suspension of a certificate issued under this Ti-</u> 8 <u>tle:</u>

9 A. Evidence that a person has injured the health or welfare of a child through physical or sexual 10 11 abuse or exploitation shall be grounds for revo-12 cation or suspension of a certificate. Notwith-13 standing Title 5, chapter 341, a certified court 14 record that a person certificated under this Title was convicted in any state or federal court 15 of a criminal offense involving the physical or 16 17 sexual abuse or exploitation of a child within the previous 5 years shall be sufficient grounds 18 for revocation or suspension of that person's 19 20 certificate; and

21B. Other grounds as may be established by the22state board in its rules relating to criminal of-23fenses not inconsistent with Title 5, chapter24341, fraud or gross incompetence.

25 3. Denial of certificate for prior immoral conduct. Evidence that an applicant for initial certifi-26 27 cation or renewal has injured the health or welfare 28 of a child through physical or sexual abuse or exploitation is grounds for a denial of a certificate. 29 Notwithstanding Title 5, chapter 341, every person, 30 31 who, within 5 years of the application for initial certification or renewal, has been convicted in any state or federal court of a criminal offense involv-32 33 ing the physical or sexual abuse or exploitation of a 34 child, may be presumed by the commissioner to lack good moral character for the purposes of this chap-35 36 37 ter. This presumption shall be a rebuttable presumption. Notwithstanding Title 5, chapter 341, the com-38 39 missioner shall be entitled to consider all records 40 of prior criminal convictions involving child abuse 41 or exploitation in determining an applicant's eligi-42 bility for a certificate.

1	4. Rei	nstatement	of certifica	te. Revoked certif-
2	icates may	only be	reinstated	in accordance with
3	state board	rules. The	following	provisions govern
4	the reinsta	tement of a	ny certifica	te revoked for rea-
5	sons of chi	ld abuse or	exploitation	n.

- 6 A. Notwithstanding Title 5, chapter 341, no cer-7 tificate revoked for reasons of child abuse or 8 exploitation may be reinstated within 5 years of 9 the revocation and in no case less than 3 years 10 from the expiration of probation or parole or 11 discharge from imprisonment for a criminal con-12 viction involving child abuse or exploitation.
  - B. In determining whether a certificate may be reinstated, the commissioner shall determine whether the applicant has been sufficiently rehabilitated to warrant the public trust. The applicant shall be required to demonstrate sufficient evidence of rehabilitation, notwithstanding Title 5, chapter 341, and the commissioner shall state in writing the basis for any decision which denies reinstatement of a certificate.
- 22 C. Denial of reinstatement pursuant to paragraph
   23 B may be appealed to the Superior Court.
- 24 §13021. Periodic review

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The state board shall review teacher certificate standards every 6 years and report the results of its review to the Legislature, along with any proposed legislation. The first report to the Legislature shall be due starting with the legislative session beginning in December 1990.

31 Sec. 5. Pilot project and report to the Second 32 Regular Session of the 112th Legislature. Notwithstanding the effective date set forth in the Revised 33 34 Statutes, Title 20-A, section 13014, subsection 4, temporary master teacher certificates may be issued 35 as of July 1, 1985, in accordance with temporary 36 state board rules, to qualified individuals who have 37 participated in pilot projects designed to train in-38 39 dividuals to become master teachers. These certifi-40 cates may be renewed in accordance with the final rules established by the state board. These projects 41

will be developed by the commissioner and the state 1 2 board, in consultation with local administrative 3 units and representatives from other appropriate support constituencies, beginning on the effective date 4 5 of this Act. The number of projects to be developed and the selection of units to be involved in the 6 7 projects will be determined by the state board. The 8 pilot projects shall terminate as of July 1, 1987.

9 state board and the commissioner shall con-The 10 duct a study of all aspects of the Act, including the qualifications for a master teacher certificate; 11 the 12 support system assessment of provisional teachers; 13 the attainment of a specified grade point average in 14 undergraduate college programs; the passage of a 15 standardized qualifying test before receiving a pro-16 visional certificate or additional endorsements; and 17 the effect of the 3 certification levels in estab-18 lishing a career ladder. The state board shall pre-19 pare a report on the results of the study and the re-20 sults of the pilot projects. The state board shall 21 hold at least one public hearing on an initial draft 22 of the report and submit an interim report, with а 23 summary of comments received, to the joint standing committee of the Legislature having jurisdiction over 24 25 education, at the Second Regular Session of the 112th 26 Legislature.

The joint standing committee of the Legislature having jurisdiction over education, shall conduct its own review of the effect of this Act, and the pilot projects and the rule making accomplished to date by the state board and shall make what recommendations it deems appropriate, including statutory changes, if any, prior to April 1, 1987.

34 Sec. 6. Funds. The funds to carry out the pilot 35 projects set forth in section 4 of this Act are in-36 cluded in the Governor's budget.

37 Fiscal Note

38 To fund this bill \$500,000 is included in the 39 Governors budget request.

## STATEMENT OF FACT

2 1981, the Legislature gave the State Board of In 3 Education sole authority to establish the policies. rules and regulations for teacher certification in Maine. This bill is the result of a 3-year effort by 4 5 6 the state board to respond to that mandate. It pro-7 policy which poses a clear-cut upgrades and 8 strengthens the requirements for teaching in Maine.

9 The state board has met frequently with profes-10 sions from all segments of education - teachers, 11 principals, superintendents, university faculty, col-12 lege faculty and students. Public hearings were held 13 throughout the State on a report prepared for the 14 state board by a special consultant on teacher certi-15 fication.

16 In this bill, the State Board of Education af-17 firms the teaching profession as of the highest value 18 in our society, highlights the importance of the 19 classroom as the setting for professional development 20 and emphasizes the importance of continuous profes-21 sional development.

The state board has developed the following concepts which stress a balance between statewide policy and direction for certifying teachers and local control and flexibility in carrying out those policies and in supporting teachers.

All teachers will have a strong liberal arts
 and science background with a major field of study in
 the subject area to be taught.

30 2. A provisional certificate is the initial certificate issued to beginning teachers for a 2-year period. The teacher, working with a locally-designed support system, will attain a high level of effectiveness, resulting in a professional certificate. The provisional certificate is renewable under special conditions..

37 3. A professional certificate will be issued to 38 the beginning teacher demonstrating high level 39 competencies to a local support team during the ini-40 tial 2-year teaching period. The professional certif-41 icate will be issued for 5 years and is renewable.

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1 teacher 4. А master certificate will be 2 attainable for a teacher who holds professional certification and has demonstrated exemplary profession-3 4 al skills in classroom teaching or in such other ar-5 eas as supervising student teachers, curriculum and 6 staff development or in-service training, as deter-7 mined by the local school unit.

8 5. Pilot projects designed to identify proce-9 dures for training and certifying master teachers will be developed in consultation with local adminis-10 11 trative units and will be in effect from 1984 to 12 1987. A report on the results of the projects, with 13 recommendations for funding, will be ready for the 14 112th Legislature. Although this bill does not re-15 quire all districts to have master teachers, such a 16 career opportunity for teachers is strongly encour-17 aged.

18 Support systems are to be planned and devel-6. 19 oped by local school units. The support system plan 20 provides for local support teams to improve the 21 teaching effectiveness of all teachers with special 22 emphasis on the beginning teacher. These support 23 teams will be composed of a majority of teachers and 24 other professional personnel to assist and develop 25 teaching techniques and competencies. Local units are 26 encouraged to make every effort to develop partnerships with institutions of higher education, the De-27 28 partment of Educational and Cultural Services and 29 other community resources.

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