MAINE STATE LEGISLATURE

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1	(EMERGENCY)
2 3	SECOND REGULAR SESSION
4 5	ONE HUNDRED AND ELEVENTH LEGISLATURE
6 7	Legislative Document No. 2175
8	H.P. 1649 House of Representatives, March 2, 1984
10	Reported by Representative Hobbins for the Advisory Committee on Judicial Employees Collective Bargaining pursuant to Public Law 1983, chapter 412, sub-section 2. Printed under Joint Rule 18.
11	EDWIN H. PERT, Clerk
12	
13 14	STATE OF MAINE
15 16 17	IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-FOUR
18 19 20	AN ACT to Create the Judicial Employees Labor Relations Act.
21 22 23	Emergency preamble. Whereas, Acts of the Legis- lature do not become effective until 90 days after adjournment unless enacted as emergencies; and
24 25	Whereas, employees of the judicial branch of government perform a vital function in this State; and
26 27 28	Whereas, employees of the Judicial Department are among the few remaining public employees who have not been granted collective bargaining rights; and
29 30 31	Whereas, it is the desire of both the Legislature and the Judicial Department that these employees receive full collective bargaining rights; and
32 33	Whereas, in the judgment of the Legislature, these facts create an emergency within the meaning of

- the Constitution of Maine and require the following legislation as immediately necessary for the preser-
- 3 vation of the public peace, health and safety; now,
- 4 therefore,
- 5 Be it enacted by the People of the State of Maine as 6 follows:
- 7 26 MRSA c. 14 is enacted to read:

8 CHAPTER 14

9 JUDICIAL EMPLOYEES LABOR RELATIONS ACT

10 §1281. Purpose

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It is declared to be the public policy of this State and it is the purpose of this chapter to promote improvement of the relationship between the Judicial Department of the State and its employees by cooperating with the Supreme Judicial Court in recognizing the right of judicial employees to join labor organizations of their own choosing and to be represented by those organizations in collective bargaining for terms and conditions of employment.

20 §1282. Definitions

As used in this chapter, unless the context otherwise indicates, the following terms have the following meanings.

- 1. Bargaining agent. "Bargaining agent" means any lawful organization, association or individual representative of such an organization or association which has as its primary purpose the representation of employees in their employment relations with employers, and which has been determined by the public employer, as defined in subsection 6, or by the executive director of the board to be the choice of the majority of the unit as their representative.
- 2. Board. "Board" means the Maine Labor Relations Board, as defined in section 968.
- 35 <u>3. Cost items. "Cost items" means the provi-</u>
 36 <u>sions of a collective bargaining agreement which re-</u>
 37 <u>quire an appropriation by the Legislature.</u>

- 4. Executive director. "Executive director" means the Executive Director of the Maine Labor Rela-1 2 3 tions Board, as defined in section 968, subsection 2.
- 4 5. Judicial employee. "Judicial employee" means 5 any employee of the Judicial Department, except 6 person:
- 7 A. Who is appointed by the Governor;
- 8 Who serves as the State Court Administrator; В.
- 9 C. Whose duties necessarily imply a confidential 10 relationship to the Judicial Department's bargaining representative with respect to matters 11 12 subject to collective bargaining;
- 13 Who is a department or division head;

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- 14 E. Who is appointed to serve as a law clerk to a 15 judge or a justice;
- 16 F. Who is a temporary, seasonal or on-call em-17 ployee, including interns; or
- G. Who has been employed for less than 6 months. 18
- 19 6. Public employer. "Public employer" means the Judicial Department of the State. It is the respon-20 sibility of the Judicial Department to negotiate col-21 lective bargaining agreements and to administer those agreements. It is the responsibility of the Legisla-23 ture to act upon those portions of tentative agree-25 ments negotiated by the Judicial Department which require legislative action. To coordinate the employer 26 27 position in the negotiation of agreements, the Legis-28 lative Council or its designee shall maintain close liaison with the bargaining representative of the Ju-30 dicial Department relative to negotiating cost in any proposed agreement. The Supreme Judicial Court may designate a bargaining representative
- 34 A. Develop and execute employee relations poli-35 cies, objectives and strategies consistent with 36 the overall objectives and constitutional and 37 statutory duties of the Judicial Department;

the Judicial Department who may:

B. Conduct negotiations with certified and recognized bargaining agents;

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- C. Administer and interpret collective bargaining agreements, and coordinate and direct Judicial Department activities as necessary to promote consistent policies and practices;
- D. Represent the Judicial Department in all bargaining unit determinations, elections, prohibited practice complaints and any other proceedings growing out of employee relations and collective bargaining activities;
- E. Coordinate the compilation of all data and information needed for the development and evaluation of employee relations programs and in the conduct of negotiations;
 - F. Coordinate the Judicial Department's resources as needed to represent the department in negotiations, mediation, fact finding, arbitration, mediation-arbitration and other proceedings; and
- 21 G. Provide staff advice on employee relations to
 22 the courts, judges and supervisory personnel, in23 cluding providing for necessary supervisory and
 24 managerial training.
- All state departments and agencies shall provide such assistance, services and information as required by the Judicial Department and shall take such administrative or other action as may be necessary to implement and administer the provisions of any binding agreement between the Judicial Department and employee organizations entered into under law.
- 32 §1283. Right of judicial employees to join labor or-33 ganizations

No one may directly or indirectly interfere with, intimidate, restrain, coerce or discriminate against judicial employees or a group of judicial employees in the free exercise of their rights voluntarily to join, form and participate in the activities of organizations of their own choosing for the purposes of

- representation and collective bargaining, or in the free exercise of any other right under this chapter.
- 3 §1284. Prohibited acts of the public employer, judi-4 cial employers and judicial employee organi-5 zations
- 6 <u>1. Public employer prohibitions. The public employer, its representatives and agents are prohibited</u> 8 from:
- 9 A. Interfering with, restraining or coercing em10 ployees in the exercise of the rights guaranteed
 11 in section 1283;
- B. Encouraging or discouraging membership in any employee organization by discrimination in regard to hire or tenure of employment or any term or condition of employment;
- 16 C. Dominating or interfering with the formation, 17 existence or administration of any employee orga-18 nization;
- D. Discharging or otherwise discriminating against an employee because he has signed or filed any affidavit, petition or complaint or given any information or testimony under this chapter;
- 24 E. Refusing to bargain collectively with the 25 bargaining agent of its employees, as required by 26 section 1285; or
- F. Blacklisting any employee organization or its members for the purpose of denying them employment.
- 30 2. Judicial employee prohibitions. Judicial employees, judicial employee organizations, their agents, members and bargaining agents are prohibited from:
- A. Interfering with, restraining or coercing employees in the exercise of the rights guaranteed in section 1283 or the public employer in the selection of its representative for purposes of

collective bargaining or the adjustment of griev-2 ances; Refusing to bargain collectively with the 3 4 public employer, as required by section 1285; 5 C. Engaging in: 6 (1) A work stoppage; 7 (2) A slowdown; 8 (3) A strike; or 9 (4) The blacklisting of the public employer 10 for the purpose of preventing it from fill-11 ing employee vacancies. 12 3. Violations. Violations of this section shall 13 be processed by the board in the manner provided in 14 section 1289. 15 §1285. Obligation to bargain; methods of resolving 16 disputes 1. Negotiations. On and after the effective 17 18 date of this chapter, it shall be the obligation of 19 the public employer and the bargaining agent to bargain collectively. "Collective bargaining" means, 20 for the purpose of this chapter, their mutual obliga-21 22 tion: 23 A. To meet at reasonable times; B. To meet within 10 days after receipt of writ-24 25 ten notice from the other party requesting a 26 meeting for collective bargaining purposes, pro-27 vided that the parties have not otherwise agreed 28 in a prior written contract; C. To execute in writing any agreements arrived 29 30 at, the term of any such agreement to be subject 31 to negotiation shall not exceed 2 years; and 32 D. To participate in good faith in the mediation, fact finding, arbitration 33 34 mediation-arbitration procedures required by this 35 section;

1	E. To confer and negotiate in good faith with
2	respect to wages, hours, working conditions and
3	contract grievance arbitration, except that by
4	such obligation neither party may be compelled to
5	agree to a proposal or be required to make a con-
6	cession. All matters relating to the relation-
7	ship between the employer and employees shall be
8	the subject of collective bargaining, except
9	those matters which are prescribed or controlled
10	by law. Such matters appropriate for collective
11	bargaining, to the extent they are not prescribed
12	or controlled by law, include, but are not lim-
13	ited to:
- 0	2004 001
14	(1) Wage and salary schedules to the extent
15	they are inconsistent with rates prevailing
16	in commerce and industry for comparable work
17	within the State;
_ ,	112011111 0110 12010 1
18	(2) Work schedules relating to assigned
19	hours and days of the week;
20	(3) Use of vacation or sick leave, or both;
21	(4) General working conditions;
22	(5) Overtime practices; and
23	(6) Rules for personnel administration, ex-
24	<pre>cept for_rules relating to applicants for</pre>
25	employment and employees in an initial pro-
26	bationary status, including any extensions
27	bationary status, including any extensions thereof, provided that the rules are not
28	discriminatory by reason of an applicant's
29	race, color, creed, sex or national origin.
30	Cost items shall be included in the Judicial De-
31	partment's next operating budget in accordance
32	with little 4, section 24. If the Legislature re-
33	jects any of the cost items submitted to it, all
34	cost items submitted shall be returned to the
35	parties for further bargaining.
36	2. Mediation.

A. It is the declared policy of the State to provide full and adequate facilities for the set-

- tlement of disputes between the employer and em-1 2 ployees or their representatives and other dis-3 putes subject to settlement through mediation.
- 4 B. Mediation procedures, as provided by section 965, subsection 2, shall be followed whenever either party to a controversy requests such services prior to arbitration, or at any time motion of the Maine Labor Relations Board or its executive director.
 - The employer, union or employees involved collective bargaining shall notify the Executive Director of the Maine Labor Relations Board, in writing, at least 30 days prior to the expiration of a contract, or 30 days prior to entering into negotiations for a first contract between the employer and the employees, or whenever a dispute arises between the parties threatening interruption of work, or under both conditions.
 - D. Any information disclosed by either party to a dispute to the panel or any of its members in the performance of this subsection shall be privileged.
 - 3. Fact-finding.

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- A. If the parties, either with or without the services of a mediator, are unable to effect a settlement of their controversy, they may agree either to call upon the Maine Labor Relations Board for fact-finding services with recommendations or to pursue some other mutually acceptable fact-finding procedure, including use of the Federal Mediation and Conciliation Service or the American Arbitration Association according to their respective procedures and rules.
- B. If so requested, the executive director shall appoint a fact-finding panel, ordinarily of 3 members, in accordance with rules and procedures prescribed by the board for making the appointment. Any person who has actively participated as the mediator in the immediate proceedings for which fact-finding has been called shall not on that fact-finding panel. The panel shall hear

- the contending parties to the controversy. It may request statistical data and reports on its own initiative in addition to the data regularly maintained by the Bureau of Labor Standards, and may administer oaths and to require by subpoena the attendance and testimony of witnesses, the production of books, records and other evidence relative or pertinent to the issues presented to them. The members of the fact-finding panel shall submit their findings and recommendations only to the parties and to the Executive Director of the Maine Labor Relations Board.
- C. The parties shall have a period of 30 days, after the submission of findings and recommendations from the fact finders, in which to make a good faith effort to resolve their controversy. If the parties have not resolved their controversy by the end of the period, either party or the executive director may, but not until the end of the period unless the parties otherwise agree, make the fact-finding and recommendations public.
- 4. Arbitration.

- A. The parties may agree to an arbitration procedure which will result in a binding determination of their controversy.
 - B. If the parties do not agree to the arbitration procedure of paragraph A, either party may petition the board to initiate arbitration which shall be binding, except as to salaries, pensions and insurance. On receipt of the petition, the executive director of the board shall investigate to determine if an impasse has been reached. If he so determines, he shall issue an order requiring arbitration and requesting the parties to select one or more arbitrators. If the parties, within 10 days after the issuance of the order, have not selected an arbitrator or an arbitration panel, the board shall then order each party to select one arbitrator and, if these 2 arbitrators cannot in 5 days select a 3rd neutral arbitrator, the board shall submit a list from which the parties may alternately strike names until a single name is left, who shall be appointed by the board

1	as arbitrator. In reaching a decision under this
2	paragraph, the arbitrator shall consider the fol-
3	lowing factors:
4	(1) The interests and welfare of the public
5	and the financial ability of State Govern-
6	ment to finance the cost items proposed by
7	each party to the impasse;
8	(2) Comparison of the wages, hours and
9	working conditions of the employees involved
10	in the arbitration proceeding with the
11	wages, hours and working conditions of other
12	employees performing similar services in the
13	executive and legislative branches of gov-
14	ernment and in public and private employment
15	in other jurisdictions competing in the same
16	labor market;
17	(3) The overall compensation presently re-
18	ceived by the employees, including direct
19	wage compensation, vacation, holidays and
20	excused time, insurance and pensions, medi-
21	cal and hospitalization benefits, the conti-
22	nuity and stability of employment, and all
23	other benefits received;
24	(4) Such other factors not confined to the
25	foregoing, which are normally and
26	traditionally taken into consideration in
27	the determination of wages, hours and work-
28	ing conditions through voluntary collective
29	bargaining, mediation, fact-finding, arbi-
30	tration or otherwise between the parties, in
31 32	the public service or in private employment,
32	including the average Consumer Price Index;
33	(5) The need of the Judicial Department for
34	qualified employees;

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the Judicial Department; and

cupations outside State Government;

(6) Conditions of employment in similar oc-

(7) The need to maintain appropriate relationships between different occupations in

- 1 (8) The need to establish fair and reason-2 able conditions in relation to job qualifi-3 cations and responsibilities.
- With respect to controversies over salaries, pensions and insurance, the arbitrator shall recommend terms of settlement and may make findings of fact. The recommendations and findings shall be advisory and shall not be binding upon the parties. The determination by the arbitrator on all other issues shall be final and binding on the parties.
- 11 Any hearing shall be informal and the rules of evidence for judicial proceedings shall not be binding. 12 13 Any documentary evidence and other information deemed 14 relevant by the arbitrator may be received in evidence. The arbitrator may administer oaths and re-15 16 quire by subpoena attendance and testimony of wit-17 nesses and production of books and records and other evidence relating to the issues presented. 18
- The arbitrator shall have a period of 30 days from the termination of the hearing in which to submit his report to the parties and to the board, unless that time limitation is extended by the executive director.

5. Mediation-arbitration

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- A. The parties may agree to a mediation-arbitration procedure. The parties may agree in advance
 that all issues will be subject to binding arbitration. Otherwise, arbitration shall be binding, except as to salaries, pensions and insurance.
 - B. The parties may jointly select a mediator-arbitrator. If they are unable to agree, either party may request the Executive Director of the Maine Labor Relations Board to select a mediator-arbitrator from a panel of mediators or from the State Board of Arbitration and Conciliation. The executive director may not select a person who has served as a mediator at an earlier stage of the same proceedings.

C. The mediator-arbitrator shall encourage the parties to reach a voluntary settlement of their dispute, but may, after a reasonable period of mediation as he may determine, initiate an arbitration proceeding by notifying the parties of his intention to serve as a single arbitrator.

- D. Any hearing shall be informal and the rules of evidence for judicial proceedings shall not be binding. Any documentary evidence and other information deemed relevant by the mediator-arbitrator may be received in evidence. The mediator-arbitrator shall have the power to administer oaths and to require by subpoena attendance and testimony of witnesses and production of books and records and other evidence relating to the issues presented.
- E. In reaching a decision, the mediator-arbitrator shall consider the factors specified in section 1285, subsection 4. With respect to controversies over salaries, pensions and insurance, the mediator-arbitrator shall recommend terms of settlement and may make findings of fact unless the parties have agreed in advance to binding arbitration of all issues. Such recommendations and findings shall be advisory and shall not be binding on the parties. The determination of the mediator-arbitrator on all other issues shall be final and binding on the parties.
- F. The mediator-arbitrator shall have a period of 30 days from the termination of the hearing in which to submit his report to the parties and to the board, unless the period is extended by the executive director.
- 6. Reports of arbitration. The results of all arbitration and mediation-arbitration proceedings, recommendations and awards conducted under this section shall be filed with the Maine Labor Relations Board at the offices of its executive director simultaneously with the submissions of the recommendations and award to the parties. In the event the parties settle their dispute during the arbitration or mediation-arbitration proceeding, the arbitrator, the chairman of the arbitration panel or the

mediator-arbitrator shall submit a report of his activities to the Executive Director of the Maine Labor Relations Board not more than 5 days after the proceeding has terminated.

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- 7. Costs. The costs for the services of the mediator, the members of the fact-finding board, the neutral arbitrator and the mediator-arbitrator, including, if any, per diem expenses, and actual and necessary travel and subsistence expenses and the costs of hiring the premises where any mediation, fact-finding, arbitration or mediation-arbitration proceedings are conducted, shall be shared equally by the parties to the proceedings. All other costs shall be assumed by the party incurring them. The services of the members of the State of Maine's Panel of Mediators, to a maximum of 3 mediation days per case, and of the State Board of Arbitration and Conciliation are available to the parties without cost.
- 8. Arbitration administration. The cost of services rendered and expenses incurred by the State Board of Arbitration and Conciliation, as defined in section 911, shall be paid by the State from an appropriation for the State Board of Arbitration and Conciliation, which shall be included in the budget of the Maine Labor Relations Board. Authorization for services rendered and expenditures incurred by members of the State Board of Arbitration and Conciliation shall be the responsibility of the executive director.

§1286. Bargaining unit; how determined

1. Unit determination. In the event of a dispute between the public employer and an employee or employees over the appropriateness of a unit for purposes of collective bargaining or between the public employer and an employee or employees over whether a supervisory or other position is included in the bargaining unit, the executive director or his designee shall make the determination, except that anyone excepted from the definition of judicial employee under section 1282 may not be included in a bargaining unit. The executive director or his designee conducting unit determination proceedings may administer oaths and require by subpoena the attendance and tes-

timony of witnesses, the production of books, records and other evidence relative or pertinent to the issues represented to them.

- 2. Criteria. In determining whether a supervisory position should be excluded from the proposed bargaining unit, the executive director or his designee shall consider, among other criteria, if the principal functions of the position are characterized by performing such management control duties scheduling, assigning, overseeing and reviewing the work of subordinate employees, or performing such duties as are distinct and dissimilar from those performed by the employees supervised, or exercising judgment in adjusting grievances, applying other established personnel policies and procedures and enforcing a collective bargaining agreement or establishing or participating in the establishment of performance standards for subordinate employees and taking corrective measures to implement those standards.
- 3. Determination of unit appropriateness. In determining the unit appropriate for purposes of collective bargaining, the executive director or his designee shall seek to insure to employees the fullest freedom in exercising the rights guaranteed by this chapter, to insure a clear and identifiable community of interest among employees concerned and to avoid excessive fragmentation among bargaining units.
- 4. Unit clarification. When there is a certified or currently recognized bargaining representative and when the circumstances surrounding the formation of an existing bargaining unit are alleged to have changed sufficiently to warrant modification in the composition of that bargaining unit, the public employer or any recognized or certified bargaining agent may file with the executive director a petition for a unit clarification, provided that the parties are unable to agree on appropriate modifications and there is no question concerning representation.

§1287. Determination of bargaining agent

1. Voluntary recognition. Any judicial employee organization may file a request with the public employer alleging that a majority of the judicial employer.

- ployees in an appropriate bargaining unit wish to be represented for the purpose of collective bargaining between the public employer and the employees' organization. The request shall describe the grouping of jobs or positions which constitute the unit claimed to be appropriate and shall include a demonstration of majority support. The request for recognition shall be granted by the public employer, unless the public employer desires that an election determine whether the organization represents a majority of the members in the bargaining unit.
- 2. Elections. The executive director of the board or his designee, upon signed request of a public employer alleging that one or more judicial employees or judicial employee organizations have presented to it a claim to be recognized as the representative of a bargaining unit of judicial employees, or upon signed petition of at least 30% of a bargaining unit of judicial employees that they desire to be represented by an organization, shall conduct a secret ballot election to determine whether the organization represents a majority of the members of the bargaining unit. Such an election may be conducted at suitable work locations or through the United States mail, provided that the procedures adopted and employed by the board shall maintain the anonymity of the voter from both the employee organizations and the management representatives involved.

3. Voting.

 A. The ballot shall contain the name of the organization and that of any other organization showing written proof of at least 10% representation of the judicial employees within the unit, together with a choice for any judicial employee to designate that he does not desire to be represented by any bargaining agent. When more than one organization is on the ballot and no one of the 3 or more choices receives a majority vote of the judicial employees voting, a runoff election shall be held. The runoff ballot shall contain the 2 choices which received the largest and 2nd largest number of votes. When an organization receives the majority of votes of those voting, the executive director of the board shall certify

it as the bargaining agent. The bargaining agent certified as representing a bargaining unit shall be recognized by the public employer as the sole and exclusive bargaining agent for all of the employees in the bargaining unit, unless and until a decertification election by secret ballot shall be held and the bargaining agent declared by the executive director of the board as not representing a majority of the unit.

- B. Whenever 30% of the employees in a certified bargaining unit petition for a bargaining agent to be decertified, the procedures for conducting an election on the question shall be the same as for representation as bargaining agent as set forth in this chapter.
- C. No question concerning representation may be raised within one year of a certification or attempted certification. Where there is a valid collective bargaining agreement in effect, no question concerning unit or representation may be raised, except during the period not more than 90 days nor less than 60 days prior to the expiration date of the agreement. Unit clarification proceedings are not subject to this time limitation and may be brought at any time consistent with section 1286, subsection 4.
- D. The bargaining agent certified by the executive director of the board or his designee as the exclusive bargaining agent shall be required to represent all the judicial employees within the unit without regard to membership in the organization certified as bargaining agent, provided that any judicial employee at any time present his grievance to the public employer and have that grievance adjusted without the intervention of the bargaining agent, if the adjustment is not inconsistent with the terms of a collective bargaining agreement then in effect and if the bargaining agent's representative has been given reasonable opportunity to be present at any meeting of the parties called for the resolution of that grievance.
- §1288. Maine Labor Relations Board; rule-making procedure and review of proceedings

- 1. Rule-making procedure. Proceedings conducted under this chapter shall be subject to the rules and procedures of the board promulgated under section 968, subsection 3.
- 2. Review of representation proceedings. Any person aggrieved by any ruling or determination of the executive director under sections 1286 and 1287 may appeal, within 15 days of the announcement of the ruling or determination, except that in the instance of objections to the conduct of an election or challenged ballots the time period shall be 5 working days, to the Maine Labor Relations Board. Upon receipt of such an appeal, the board shall, within a reasonable time, hold a hearing, having first caused 7 days' notice in writing of the time and place of that hearing to be given to the aggrieved party, the labor organizations or bargaining agent and the public employer. The hearings and the procedures established in furtherance thereof shall be in accordance with section 968. Decisions of the board made pursuant to this subsection shall be subject to review by the Superior Court in the manner specified in section 1292.

§1289. Prevention of prohibited acts

- 1. Prevention of prohibited acts; board powers. The board may prevent any person, the public employer, any judicial employee, any judicial employee organization or any bargaining agent from engaging in any of the prohibited acts enumerated in section 1284. This power shall not be affected by any other means of adjustment or prevention that has been or may be established by agreement, law or otherwise.
- 2. Complaints. The public employer, any judicial employee, any judicial employee organization or any bargaining agent which believes that any person, the public employer, any judicial employee, any judicial employee organization or any bargaining agent has engaged in or is engaging in any such prohibited practice may file a complaint with the executive director of the board stating the charges in that regard. No such complaint may be filed with the executive director until the complaining party has served a copy thereof upon the party complained of. Upon

1 receipt of the complaint, the executive director or his designee shall review the charge to determine 2 3 whether the facts as alleged may constitute a prohib-4 ited act and shall forthwith cause an investigation 5 be conducted. The executive director shall at-6 tempt to obtain and evaluate sworn affidavits from 7 persons having knowledge of the facts. If it is de-8 termined that the sworn facts do not, as a matter 9 law, constitute a violation, the charge shall be dismissed by the executive director, subject to review 10 11 by the board. If it is determined from the 12 facts that the complaint is meritorious, the execu-13 tive director shall recommend a proposed settlement. 14 The parties have 30 days after the recommendations are made to resolve their dispute. If the parties have not resolved their dispute by the end of the 15 16 17 30-day period, either party or the executive director 18 may make the recommendations public, but not until the expiration of the 30-day period, unless the par-19 20 ties otherwise agree. If a formal hearing is deemed necessary by the executive director or by the board, 21 22 the executive director shall serve upon the parties 23 to the complaint a notice of the prehearing conference and of the hearing before the board, that notice 24 25 to designate the time and place of the hearing for the prehearing conference or the hearing, as appro-26 27 priate, provided that a hearing shall not be held 28 based upon any alleged prohibited practice occurring more than 6 months prior to the filing of the complaint with the executive director. The party com-29 30 31 plained of shall have the right to file a written answer to the complaint and to appear in person or oth-32 33 erwise and give testimony at the place and time fixed 34 for the hearing. In the discretion of the board, any 35 other person or organization may be allowed to intervene in that proceeding and to present testimony. 36 37 Nothing in this subsection may restrict the right of the board to require the executive director or his 38 designee to hold a prehearing conference on any pro-39 40 hibited practice complaint prior to the hearing be-41 fore the board and taking whatever action, including 42 dismissal, attempting to resolve disagreements be-43 tween the parties or recommending an order to the 44 board, as he may deem appropriate, subject to review 45 by the board.

3. Cease and desist order. After hearing and argument, if, upon a preponderance of the evidence received, the board shall be of the opinion that any party named in the complaint has engaged in or is engaging in any such prohibited practice, the board shall in writing state its findings of fact and the reasons for its conclusions and shall issue and cause to be served upon the party an order requiring the party to cease and desist from that prohibited practice and to take such affirmative action, including reinstatement of employees with or without back pay, as will effectuate the policies of this Act. No order of the board may require the reinstatement of any individual as an employee who has been suspended or discharged, or the payment to him of any back pay, if that individual was suspended or discharged for cause.

- 4. Dismissal of complaint. After hearing and argument, if the board is not persuaded by a preponderance of the evidence received that the party named in the complaint has engaged in or is engaging in any prohibited practice, the board shall in writing state its findings of fact and the reasons for its conclusions and shall issue an order dismissing the complaint.
- 5. Action to compel compliance. If, after the issuance of an order by the board requiring any party to cease and desist or to take any other affirmative action, that party fails to comply with the order of the board, the party in whose favor the order operates or the board may file a civil action in the Superior Court in Kennebec County to compel compliance with the order of the board. In such action to compel compliance, the Superior Court shall not review the action of the board other than to determine questions of law. If an action to review the decision of the board is pending at the time of the commencement of an action for enforcement pursuant to this subsection or is thereafter filed, the 2 actions shall be consolidated.
- 6. Interim injunctive relief. Whenever a complaint is filed with the executive director of the board, alleging that the public employer has violated section 1284, subsection 1, paragraph F, or alleging

that a judicial employee or judicial employee organization or bargaining agent has violated section 1284, subsection 2, paragraph C, the party making the complaint may simultaneously seek interim injunctive relief from the Superior Court in the county in which the prohibited practice is alleged to have occurred pending the final adjudication of the board with respect to that matter.

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- 7. Review. Either party may seek a review by the Superior Court in Kennebec County of a decision or order of the Maine Labor Relations Board by filing a complaint in accordance with the Maine Rules Civil Procedure, Rule 80C, provided that the complaint shall be filed within 15 days of the effective date of the decision. Upon the filing of the complaint, the court shall set the complaint down for hearing at the earliest possible time and shall cause all interested parties and the board to be notified. Pending review and upon application of any party in interest, the court may grant such temporary relief or restraining order and may impose such terms and conditions as it deems just and proper; provided that the board's decision or order shall not be stayed, except where it is clearly shown to the satisfaction of the court that substantial and irreparable injury will be sustained or that there is a substantial risk of danger to the public health, safety or welfare or interference with the exercise of the judicial power. The executive director shall forthwith file in court the record in the proceeding certified by the executive director or a member of the board. record shall include all documents filed in the proceeding and the transcript, if any. After hearing, which shall be held not less than 7 days after notice thereof, the court may enforce, modify, enforce as so modified or set aside in whole or in part the decision of the board, except that the finding of the board on questions of fact shall be final unless shown to be clearly erroneous. Any appeal to the Law Court shall be expedited in the same manner as an appeal from an interlocutory order under section 6.
- 8. Privileges seeking injunctive relief. In any judicial proceeding authorized by this subsection in which injunctive relief is sought, sections 5 and 6 shall apply, except that neither an allegation nor

- proof of unavoidable substantial and irreparable injury to the complainant's property may be required to obtain a temporary restraining order or injunction.
- 9. Interference with exercise of judicial power. The Maine Labor Relations Board shall not have power to interfere with the exercise of the judicial power.
- 8 §1290. Hearings before the Maine Labor Relations
 9 Board
- 10 l. Hearings; rules of evidence; evidence. Hearings conducted by the board shall be informal and the rules of evidence prevailing in judicial proceedings shall not be binding. Any and all documentary evidence and other evidence deemed relevant by the board may be received.
- 2. Subpoenas; evidence; witness fees. The 16 17 chairman may administer oaths and require by subpoena the attendance and testimony of witnesses, the pre-18 19 sentation of books, records and other evidence rela-20 tive or pertinent to the issues presented to the 21 board for determination. Witnesses subpoenaed by the board shall be allowed the same fees as are paid to 22 witnesses in the Superior Court. These fees, togeth-23 er with all necessary expenses of the board, shall be 24 paid by the Treasurer of State on warrants drawn by 25 26 the State Controller.
- 27 §1291. Scope of binding contract arbitration
- 28 A collective bargaining agreement between the 29 public employer and a bargaining agent may provide 30 for binding arbitration as the final step of a griev-31 ance procedure, but the only grievances which may be taken to such binding arbitration shall be disputes 32 33 between the parties as to the meaning or application 34 of the specific terms of the collective bargaining 35 agreement. An arbitrator with the power to make 36 binding decisions pursuant to any such provision 37 shall have no authority to add to, subtract from or 38 modify the collective bargaining agreement.
 - §1292. Review of arbitration awards

- 1. Review by Superior Court. Either party may seek a review by the Superior Court of a binding determination by an arbitration panel. The review shall be sought in accordance with the Maine Rules of Civil Procedure, Rule 80C.
 - 2. Questions of fact. In the absence of fraud, the binding determination of an arbitration panel, arbitrator or mediator-arbitrator shall be final upon all questions of fact.
- 3. Action by court; appeal. The court may, after consideration, affirm or reverse or modify any such binding determination or decision based upon any erroneous ruling. An appeal may be taken to the Law Court as in any civil action.

§1293. Separability

- 1. Separability. If any clause, sentence, paragraph or part of this chapter, or the application thereof to any person or circumstances, shall, for any reason, be adjudged by a court of competent jurisdiction to be invalid, such judgment shall not affect, impair or invalidate the remainder of this chapter and the application of such provision to other persons or circumstances, but shall be confined in its operation to the clause, sentence, paragraph or part therof, directly involved in the controversy in which such judgment shall have been rendered and to the person or circumstances involved. It is declared to be the legislative intent that this chapter would have been adopted had such invalid provisions not been included.
- 2. Eligibility under federal programs. Nothing in this chapter or any contract negotiated pursuant to this chapter may in any way be interpreted or allowed to restrict or impair the eligibility of the State or the Judicial Department in obtaining the benefits under any federal grant-in-aid or assistance programs.

38 §1294. Amendment

39 This Act shall not be amended without first con-40 sulting the Supreme Judicial Court. Emergency clause. In view of the emergency cited in the preamble, this Act shall take effect when approved.

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STATEMENT OF FACT

This bill results from the desire of the Legislature and the Supreme Judicial Court of Maine to tend collective bargaining rights to judicial employ-In the First Regular Session, the 111th Legislature enacted Public Law 1983, chapter 412, authorized the Supreme Judicial Court to propose appropriate procedures for defining and implementing the collective bargaining rights of judicial employees, designate an advisory committee to recommend these procedures and report them back to the Second Regular Session. In its order of July 6, 1983, the Judicial Court established an Advisory Com-Supreme mittee on Collective Bargaining for Judicial Department Employees. After consultation with legislative leaders, representatives of labor and management public employment, judicial employees and administrators and others knowledgeable in collective bargaining processes, the committee reported back to the which unanimously accepted the committee's court, The Chief Justice reported back to recommendations. the Legislature on December 30, 1983, with the committee's introduction, a proposed Court Administrative Order with Committee Comment, and proposed legislation with committee comments. This bill full report of the Advisory Committee are a result of that process.

Legal authorities disagree as to whether the separation of powers doctrine forbids, or allows, state legislatures to require the Judicial Department, an equal branch of government, to extend collective bargaining rights to its employees. Pursuant to the Constitution of Maine, Article VI, and the Revised Statutes Title 4, section 1, the Supreme Judicial Court has general administrative and supervisory authority over the Judicial Department, and the Chief Justice as its head is responsible for the proper and efficient operation of the department. By agreeing to extend collective bargaining rights to judicial employees, the court does not suggest that it is per-

mitted to abandon those constitutional and statutory responsibilities. Yet the constitutionally ordained function of the Legislature is to set policy and enlaws setting forth the rights and duties of Maine's citizens, and the Legislature has chosen progressively extend the right of collective bargaining to many other public employees in this State. The Advisory Committee did not find it necessary to resolution seek а final of this issue, because it to recommend the continuance of traditionally cooperative relationship between the Legislature and Judicial Department.

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The committee recommended that the Supreme Judicial Court promulgate an administrative order and the Legislature enact statutory provisions essentially paralleling and supplementing the order. In the committee's words: "The proposed court order and ute extend the rights of collective bargaining to the Judicial Department's employees and empower nonjudicial agencies to effect the collective bargaining In contrast, the proposed statute contains process. the details of collective bargaining. Once the court and Legislature extend the rights and powers associated with collective bargaining, there is considerable practical utility in leaving the details to the If changes of detail are deemed desir-Legislature. able for employees of the executive branch, commensurate changes can automatically be made in the judisystem as well. On the other hand, if a major cial change were to be made, giving a right to strike for example, then amendments to both the statute and court order would be appropriate after consultation between designated representatives of the Judicial Department and the Legislature." And further: "In the event that fundamental changes in the systems are suggested, changes which require amendment to both the statute and court order, we suggest that a Advisory Committee be established, similar to ours, to assess the proposals and make recommendations to both the Legislature and the Court."

The Judicial Employees Labor Relations Act proposed in this bill is modeled closely on the State Employees Labor Relations Act ("S.E.L.R.A.", the Revised Statutes Title 26, section 979 et seq.) and the Municipal Public Employees Labor Relations Act

("M.P.E.L.R.A.", the Revised Statutes Title 26, section 965 et seq.) with some changes required to recognize constitutional differences between the executive and judicial branches. The Advisory Committee attached comments to the draft legislation to explain the reasoning behind these changes and their other recommendations. The full comments are available in the report of the Advisory Committee; this statement of fact contains a summary.

The Revised Statutes Title 26, section 1281, declares the commitment of both the legislative and judicial branches to collective bargaining for judicial employees. Beginning in 1965, these rights have been extended so that employees of the legislative and judicial branches are the only public employees not currently covered by bargaining legislation.

The Revised Statutes Title 26, section 1282, the definitions section which closely parallels Title 26, section 979-A. The differences between the Title section 1282, subsection 5, definition of public employer and the parallel provision in the S.E.L.R.A. are not designed to alter the public employer's sponsibility, but to recognize constitutional differences. The court holds the judicial power to adjudicate issues arising from collective bargaining disputes, yet here it also has general administrative and supervisory authority over the Judicial Department employees. Because of this judicial function, the court believes it is advisable to remove itself from the actual process of bargaining by designating another office to serve as bargaining representative for the department. A logical choice is the Court Administrator whose present duties are consistent with functions assigned to the public employer (See the Revised Statutes Title 4, section 17). bargaining representative's authority permits consultation with the Chief Judges of the District Court and Superior Court on matters affecting the operation of the courts.

In keeping with the State Employees Labor Relations Act, section 1282, subsection 3 recognizes that the Legislature assumes special responsibilities when it acts to extend collective bargaining rights to public employees. Also, see the Revised Statutes Ti-

tle 26, section 1285, subsection 1, last paragraph, under which cost items must be passed upon by the Legislature. For that reason, it is desirable that the Legislature maintain liaison with those persons in the Judicial Department who are negotiating with judicial employees.

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The Advisory Committee gave the following comment the Revised Statutes Title 26, section 1282, subsection 5, which defines those who are entitled to enjoy the rights of collective bargaining. "The section is designed to be as inclusive as possible unless there is some good reason for exclusion. are excluded because their judicial duties must inevitably include deciding issues arising from collective bargaining disputes and because the performance of their judicial duties is subject to review regulation by the Supreme Judicial Court. The State Court Administrator is excluded even if he is not designated as the Judicial Department's bargaining representative because of his inherently managerial functions. (See the Revised Statutes Title 4, section 17). Law clerks to the judges and justices excluded because of their participation in assisting judges in performing their essential judicial tion. (Law clerks, who are typically appointed for one-year terms, would in any case be eligible for participation for only a 6-month period.) Other persons who serve the judiciary, such as referees, receivers and appointees to advisory committees, specifically excluded because they would not be considered to be employees of the Judicial Depart-Personnel of the Board of Overseers of the Bar are not employees of the Judicial Department.

"This subsection does not exclude supervisory personnel, such as clerks of the various courts, or persons who stand in a confidential relationship to the judges, such as the judges' personal secretaries. While supervisory employees may well require separate representation, they remain Judicial Department employees who can fruitfully negotiate on the terms and conditions of their employment. Because the judges and justices are removed from the collective bargaining process, there is no apparent need to exclude their personal secretaries from collective bargaining. Regional State Court Administrators would be

excluded if the functions of their offices bring them within paragraph C or D. A small number of employees in the State Court Administrator's office will likely be excluded under subparagraph C."

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The Revised Statutes Title 26, section 1283 sets forth the right of judicial employees to join labor organizations while Title 26, section 1284, lists prohibited acts. These sections are the same as the Revised Statutes Title 26, sections 979-B and 979-C in the S.E.L.R.A..

The Revised Statutes Title 26, section 1285, subsection 1, obligates the public employer and the bargaining agent to bargain collectively. Its provisions track the S.E.L.R.A.. The Advisory Committee discussed whether to create an exception to this ligation to bargain in recognition of the judiciary's special needs, analogous to the educational policies exception for teachers (See the Revised Statutes 26, section 965). For example, if a presiding justice directs court employees to remain on while a jury continues its deliberations past normal court closing hours, he is exercising a judicial power which cannot be bargained away even though its exercise affects employees' working conditions. Act contains no such exception. The court believes that, because it cannot bargain away its judicial power, nothing is gained by formulating an escape clause from the bargaining obligation, and further an exception to bargaining is "an invitathat such tion to fruitless controversy from categorical refusals to discuss. If a matter affects the working conditions of judicial employees, then it is better that the matter be discussed--on the understanding, however, that there is no duty to agree or concede.

The Revised Statutes Title 26, section 1285, subsection 2, contains the same wording as S.E.L.R.A. mediation provision (the Revised Statutes Title 26, section 979-D, subsection 2). Mediation is ordinarily the first step in resolving disputes which stand in the way of reaching a collective bargaining agreement. Mediation need not be initiated at all, but it can be commenced by either party prior to This bill's provisions specify that the bitration. first step can be fact-finding upon mutual agreement

of the parties, mediation-arbitration also by mutual agreement or traditional arbitration either initiated by mutual agreement or by one side alone.

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The Revised Statutes Title 26, section 1285, subsection 3, provides for fact-finding. Paragraph A is like the S.E.L.R.A. provision, while paragraphs B and C are derived from the Municipal Public Employees Labor Relations Act (M.P.E.L.R.A., the Revised Statutes Title 26, section 965, subsection 3), which is incorporated by reference in the S.E.L.R.A., (Title section 975-D, subsection 3). Fact-finding can be a useful device for assisting the parties in reaching an agreement particularly in complicated cases. proposal retains the option of invoking fact-finding by mutual agreement. In the event that fact-finding is invoked, it can be followed mediation-arbitration if both sides agree or by arbitration whether by agreement or unilateral action. If the parties do not agree to fact-finding, then the same options exist: Mediation-arbitration by agreement or arbitration either by agreement or unilateral action.

The arbitration provisions of the Revised Statutes Title 26, section 1285, subsection 4 are derived in large part from the S.E.L.R.A.. Two forms of arbitration are available: (A) arbitration which is binding on all issues but which requires the parties' assent in advance (a new provision) and (B) arbitration which is binding except as to salaries, pensions and insurance, but which can be initiated by one side alone. Arbitration can but need not be preceded by mediation or fact-finding. Binding determinations of an arbitrator are subject to judicial review in accordance with section 12 below.

The Revised Statutes Title 26, section 1285, subsection 5, provides for a new dispute resolution technique, mediation-arbitration, which is proposed as an additional option available to the parties for resolving impasses in contract negotiation. Mediation-arbitration can be invoked only by mutual agreement of the parties. The principal advantages of this combined procedure are that it tends to speed up the process of settling and resolving contract disputes and tends to be less costly than separate

procedures. The parties may agree that all issues will be subject to binding arbitration; otherwise arbitration is binding only on issues other than salaries, pensions and insurance.

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The wording of the Revised Statutes Title 26, section 1285, subsections 6, 7 and 8 on reports, costs and administration of impasse resolution proceedings is based on the state and municipal employees acts. (Title 26, section 965, subsections 4-6 and section 979-D, subsection 5).

Revised Statutes Title 26, section 1287, on determination of the bargaining unit contains language identical to the Revised Statutes Title 26, section 979-F, and section 1288 on Maine Labor Relations Board procedures is worded the same as Title 26, section 979-G. Section 1289 on the enforcement and prevention of acts prohibited in section 1284 is based on section 979-H, subsection 2, but adds new procedures for investigation by the Executive Director of the M.L.R.B. in the hopes of speeding up resolution of prohibited-acts disputes. Opportunity also given for private resolution of disputes. Section 1289 is otherwise the same as section 979-H, except in subsection 7 which allows the Superior Court to stay an M.L.R.B. order if it interferes with the exercise of the judicial power and in subsection 9 which states that the M.L.R.B "shall not have power to interfere with the exercise of the judicial pow-This latter provision has no parallel in the state or municipal Acts.

The remainder of the bill covers procedures in M.L.R.B. hearings, the scope of binding arbitration and the review of arbitration awards. These provisions are based on the state and municipal Acts.

1 last section of this bill has no counterpart 2 in the other public employee Acts. It states: "This Act shall not be amended without first consulting the Supreme Judicial Court." Again, this reflects the co-3 4 operative approach between the judicial and legislative branches. Although it is contemplated that the 5 6 7 Legislature will make adjustments in statutory details, it is best that it receive the input of the 8 9 Judiciary Department before doing so.