## MAINE STATE LEGISLATURE

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| 1<br>2   | SECOND REGULAR SESSION  |
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| 3  | ONE HUNDRED AND ELEVENTH LEGISLATURE  |
| 5<br><b>6</b>  | Legislative Document No. 2098   |
| 7<br>8<br>9<br>10  | S.P. 779  In Senate, February 21, 1984  Reference to the Joint Select Committee on Whitewater Rafting is suggested and ordered printed.  JOY J. O'BRIEN, Secretary of the Senate Presented by Senator Pearson of Penobscot.  Cosponsors: Representative McGowan of Pittsfield, Senator Usher of Cumberland and Representative Jacques of Waterville.  |
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| 12<br>13   | STATE OF MAINE  |
| 14<br>15<br>16   | IN THE YEAR OF OUR LORD.  NINETEEN HUNDRED AND EIGHTY-FOUR  |
| 17<br>18<br>19   | AN ACT to Amend the Laws Concerning<br>Commercial Whitewater Rafting.   |
| 20<br>21   | Be it enacted by the People of the State of Maine as follows:   |
| 22 23  | <pre>Sec. 1. 12 MRSA §7365, sub-§7, as enacted by PL 1983, c. 502, §4, is amended to read:</pre>  |
| 24<br>25<br>26<br>27<br>28<br>29<br>30<br>31<br>32<br>33 | 7. Affiliated outfitters. Affiliated outfitters may be licensed, but are subject to additional allocation restrictions. Bieense applications for allocations shall contain a declaration of the extent of affiliation, as defined in section 7363, subsection 2, or a declaration of nonaffiliation, and a statement disclosing any relationship with other licensed outfitters, including the giving or receipt of equipment, materials or other assistance. The department may require submission of any books, memoranda, papers or accounts it rea- |

- sonably believes necessary to determine whether a person seeking a license an allocation is an affiliated outfitter. These shall be confidential and made available only to persons involved in determining affiliation and only for that purpose, unless they are submitted for another purpose.
- 7 Sec. 2. 12 MRSA §7367, sub-§1, ¶B, as enacted by 8 PL 1983, c. 502, §4, is amended to read:

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- B. Outfitters shall ensure that each person passenger participating on a whitewater trip wears a securely fastened type I or type V personal flotation device.
- Sec. 3. 12 MRSA §7369, sub-§§5 and 6, as enacted by PL 1983, c. 502, §4, is amended to read:
- 5. Term of allocation; abandonment of allocation. All allocations shall be awarded for a ene-year period based on the calendar year period not to exceed 3 years. The department may determine by rule the period for which allocations will be awarded. Any outfitter may abandon all or part of an allocation at any time by giving the department 30 days written notice. In the event that the department reissues an abandoned allocation, any duplicate portion of the allocation fee shall be refunded.
- Allocation procedure. Except for the initial allocation in 1983, which shall be governed by subsection 4, the department shall award allocations to licensed outfitters on the basis of the criteria tablished in this section. Any outfitter desiring an allocation shall submit a proposal to the department in the year preceding the year for which the allocation is desired, on or before a date specified by Allocations shall be awarded on or before Derule. cember 1st, but, if any open allocation remains, it may be awarded at any time. Allocations shall be promutgated by rule awarded in accordance with the Maine Administrative Procedure Act, Title 5, chapter subchapter V with a public hearing required on 375, the proposed rule in the vicinity of the river question. The department shall hold at least one public hearing prior to final issuance of The department shall promulgate rules allocations.

- 1 as necessary to facilitate the allocation process.
- Based on the demand for noncommercial public use, the department shall set aside up to 10% of the recreational use limit on any particular rapidly flowing river for noncommercial public use of whitewater craft.
- 7 Sec. 4. 12 MRSA §7369, sub-§7, as enacted by PL 1983, c. 502, §4, is repealed and the following enacted in its place:

- 7. Whitewater rafting allocation criteria. The department shall review proposals and award allocations so that, taken as a whole, they satisfy the allocation system goals of this section and contribute to meeting the river management objectives of section 7364.
  - A. Individual proposals shall be evaluated according to the following specific criteria:
    - (1) The experience of the outfitter and any employee in providing whitewater trips on the river or rivers for which the allocation is sought, including, but not limited to, such factors as the number of trips and passengers carried, length of time as an outfitter, safety record and experience of guides and staff. The company experience of only one company within an affiliated group at the time of that experience may be counted as company experience for purposes of allocation;
    - (2) The experience of the outfitter and any employee in providing whitewater trips on rivers other than those for which the allocation is sought, including, but not limited to, such factors as the number of trips and passengers carried, length of time as an outfitter, safety record and experience of guides and staff. The company experience of only one company within an affiliated group at the time of that experience may be counted as company experience for purposes of allocation;

- 1 (3) The safety record of the outfitter and 2 any employee in whitewater outfitting or 3 similar enterprises; (4) The financial investment of the outfitter in equipment, training, insurance, 4 5 6 facilities and services directly related to 7 commercial whitewater rafting trips; 8 (5) Ability of the outfitter to serve the interests of the State by providing safe, 9 10 economical, environmentally sound commercial whitewater trips on the particular river or 11 12 rivers; (6) Ability of the outfitter to serve the 13 14 interests of the consuming public by provid-15 ing a type of trip experience that is in the 16 public interest as determined by the depart-17 ment; (7) When allocations are considered for 18 19 subsequent years, the performance of the 20 outfitter in providing the services proposed for the previous allocations and compliance 21 22 with the terms of the allocations; and 23 (8) Other matters which in the judgment of the department relate to orderly business 24 25 development, including growth, new entry or 26 extreme hardship on the part of an appli-27 cant. 28 B. The department may require a surety bond in an amount sufficient to cover deposits of customers, 29 and may require sufficient evidence of financial sta-30 31 bility prior to granting an allocation, including, but not limited to, financial statements and references from financial institutions. 32 33 34 (1) The department shall hold all financial 35 information submitted under this section as 36 confidential, except that information may be made available to attorneys for a party 37 38 challenging a decision by the department.
  - Sec. 5. 12 MRSA §7370-A, as enacted by PL 1983,
    c. 502, §4, is amended to read:

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## §7370-A. Penalties

The penalties for failure to comply with this subchapter or for providing false information under this subchapter may include nonrenewal, revocation or suspension of an eutfitters outfitters or guides guide's license or an allocation or both, subject to the procedures of the Maine Administrative Procedure Act, Title 5, chapter 375, or may be a civil offense for which a civil penalty not to exceed \$5,000 may be adjudged. Operation of a commercial whitewater trip without a license or operation on the river in violation of the safety requirements of section 7367, subsection 1, shall be a Class E crime.

14 STATEMENT OF FACT

This bill amends the statute concerning the regulation of whitewater rafting. The bill provides for allocations for a period of up to 3 years, allows the substitution of a surety bond for a review of a company's financial stability and makes other technical modifications.