

1 2	FIRST REGULAR SESSION
3 4	ONE HUNDRED AND ELEVENTH LEGISLATURE
5 6	Legislative Document No. 1252
7 8 9	S.P. 404 In Senate, March 17, 1983 Referred to the Committee on Energy and Natural Resources. Sent down for concurrence and ordered printed.
10	JOY J. O'BRIEN, Secretary of the Senate Presented by Senator Erwin of Oxford. Cosponsors: Representative Smith of Island Falls, Representative Michael of Auburn and Representative Hall of Sangerville.
12 13	STATE OF MAINE
14 15 16	IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-THREE
17 18 19	AN ACT Concerning Inspection, Registration and Abandonment of Dams.
20 21	Be it enacted by the People of the State of Maine as follows:
, 22 23	Sec. 1. 12 MRSA c.c. 5 and 6, as amended, are repealed.
24 25	Sec. 2. 38 MRSA §811, as repealed and replaced by PL 1977, c. 684, §1, is repealed.
26	Sec. 3. 38 MRSA §812 is repealed.
27 28	Sec. 4. 38 MRSA §813, as repealed and replaced by PL 1977, c. 684, §2, is repealed.
29 30	Sec. 5. 38 MRSA §814, as enacted by PL 1977, c. 684, §3, is repealed.

1 2	Sec. 6. 38 MRSA c. 5, sub-c. I, Art. 3-A is enacted to read:
3	ARTICLE 3-A. DAM INSPECTION, REGISTRATION AND ABAN-
4	DONMENT
5	§815. Short_title
6	This Article shall be known and may be cited as
7	the "Maine Dam Inspection, Registration and Abandon-
8	ment Act."
9	§816. Legislative findings and purpose
10	The Legislature finds that there are many exist-
11	ing dams in the State which impound or otherwise
12	regulate the flow of the waters of the State and that
13	these dams are in various conditions of age, use and
14	repair.
15	The Legislature further finds that some of these
16	dams are now in or may fall into disrepair so as to
17	threaten the public health, safety and welfare.
18	The Legislature further finds that the owners of
19	some of these dams are unknown or difficult to deter-
20	mine and that the neglect by these owners of their
21	property is now or may in the future result in
22	inattention to the operation and repair of these dams
23	so that they threaten the public health, safety and
24	welfare.
25 26 27 28 29 30 31 32 33 33 33	The Legislature further finds that some dam owners want to be relieved of the responsibility of maintaining their dams and that there are persons who are now or may in the future be willing to take own- ership of these dams and to maintain, repair or remove them to the best interests of the public and the public resources. The Legislature further finds that some dams impound waters that are subject to much public use and increasing public and private development and that these dams are now, or may in
35	the future, be operated in such a manner that they
36	threaten the public health, safety and welfare and
37	the public resources of wildlife, fisheries, waters

38 and water uses.

٠

÷

It is the purpose of this Article to provide for 1 2 the inspection of dams and the alteration of dams or 3 their operations to protect the public safety; to provide for the registration of dams and their owner-4 ship; to provide procedures for awarding ownership of 5 6 abandoned dams to persons who will maintain and operate the dams so as to protect the public and the 7 8 public resources; and to provide procedures for establishing water levels in impoundments controlled by dams that will, to the maximum extent practical, 9 10 11 allow competing uses while protecting the public and 12 the public resources. 13 §817. Definitions As used in this Article, unless the context 14 otherwise indicates, the following terms have the 15 16 following meanings. 1. Board. "Board" means the Board of Environ-17 18 mental Protection. 19 2. Commissioner. "Commissioner" means the Commis-20 sioner of Environmental Protection. 21 3. Dam. "Dam" means any man-made artificial bar-22 rier, including appurtenant works, the site on which 23 it is located and appurtenant rights of flowage and access, which impounds or diverts water and which 24 is 25 either 2 feet or more in height or has an impounding capacity at maximum water storage elevation 26 of 27 15-acre feet or more. 4. Department. "Department" means the Department 28 of Environmental Protection. 29 30 5. Height. "Height" means, in reference to a dam, 31 the vertical distance in feet from the natural bed of 32 the stream or watercourse measured at the downstream toe of the barrier, or from the lowest elevation of 33 the outside limit of the barrier, if it is not across 34 a stream channel or watercourse, to the maximum capa-35 36 ble water storage elevation. 37 6. Littoral proprietor. "Littoral proprietor" 38 means an owner or lessee of property on the shore of 39 a lake impounded by a particular dam.

Page 3-L.D. 1252

1	7. Person. "Person" means any individual, firm,
2	association, partnership, corporation, trust, munici-
3	pality, quasi-municipal corporation, state agency,
4	federal agency or other legal entity.
Ŧ	rederar agency of other regar entity.
-	
5	8. Riparian proprietor. "Riparian proprietor"
6	means an owner or lessee of property on the bank of a
7	river or stream or shore of a pond or other small
8	body of water impounded by a particular dam.
9	§818. Miscellaneous
2	<u>3010. MIDOCITURCOUD</u>
10	1. Other laws. Except as specifically provided in
11	this Article, nothing in this Article shall be con-
12	strued as relieving any person from duties, responsi-
13	bilities or liabilities imposed by any other statute,
14	regulation, municipal ordinance or any rule of law.
	<u>regarderen, manorpar erainanee er ang rate er raw.</u>
15	2. Rights of others. Except as specifically pro-
	2. Rights of Others, Except as specifically pio-
16	vided in this Article, nothing in this Article shall
17	be construed as denying any person any rights he may
18	have under any other statute, regulation, municipal
19	ordinance or any rule of law.
20	3. Other powers. No provision of this Article may
	be and a limit in the provision of the Denemark
21	be construed as limiting the powers of the Bureau of
22	Civil Emergency Preparedness under Title 37-A, sec-
23	tions 180 to 186.
24	4. Damages. No action may be brought against the
25	State, the board, the commissioner or his agents or
26	employees for the recovery of damages caused by any
27	order of the board or commissioner or by the partial
20	order of the board of commissioner of by the partial
28	or total failure of any dam or through the operation
29	of any dam upon the ground that the State, the board,
30	the commissioner or his agents or employees are lia-
31	ble by virtue of any order or determination of the
32	board or commissioner.
<u>.</u>	bourd of commissioner.
33	Subarticle 1. Inspection
55	Subarcicle 1. Inspection
24	
34	<u>§820. Jurisdiction</u>
35	The inspection of dams shall be under the sole
36	jurisdiction of the department, except that for any
37	dam licensed or inspected by any agency of the United
38	
39	inspection jurisdiction.

1 §821. Inspection of dams

2	1. Appointment. The commissioner shall appoint
3	one or more inspectors of dams who are licensed as
4	professional engineers under Title 32, chapter 19.
5	The commissioner may appoint an inspector to serve on
6	a full-time, part-time or independent contract basis.
7	The commissioner shall provide the inspector with
8	necessary professional, technical, clerical and
9	secretarial assistance.
10	2. Compensation. If the inspector of dams is an
11	independent contractor, he shall receive, as full
12	compensation for his services, \$100 a day while actu-
13	ally employed under section 823 or section 824,
14	together with his actual expenses, to be audited,
15	allowed and paid by the department.
16	§822. Inspection petition and order
17	1. Petition. A petition requesting the inspection
18	of any dam may be filed with the commissioner by any
19	of the following:
20	A. Ten resident taxpayers from any city, town,
21	plantation or unorganized township in which a dam
22	or the body of water it impounds is located;
23	B. The municipal officers, as defined in Title
24	30, section 1901, subsection 7, of any city, town
25	or plantation in which the dam or the body of
26	water it impounds is located; or
27 28	C. The commissioners of any county in which the dam or the body of water it impounds is located.
29	2. Petition action. The commissioner shall,
30	within 30 days after receipt of a petition requesting
31	a dam inspection, notify the petitioners in writing
32	of his action on the petition. The commissioner may:
33	A. Accept the petition and order a formal inspec-
34	tion under section 823;
35 36	B. Accept the petition and order an informal inspection under section 824; or

1 2	C. Deny the petition if he determines that inspection of the dam is unnecessary.
3	3. Commissioner order. The commissioner may order
4	a formal or informal inspection of any dam at any
5	time without receipt of a petition requesting inspec-
6	tion of the dam.
7	§823. Formal inspection and hearing; decision
8	1. Formal inspection. At the direction of the
9	board or the commissioner, the inspector of dams, or
10	his agent, shall personally inspect a dam to deter-
11	mine if the dam is endangering the public safety. The
12	board may, at its discretion, conduct a hearing to
13	determine if the dam is endangering the public
14	safety.
15	2. Adjudicatory proceeding. A formal inspection
16	shall be an adjudicatory proceeding under Title 5,
17	chapter 375, subchapter IV and the procedures speci-
18	fied in this section, but a hearing shall not be re-
19	quired.
20	3. Notice. Notice, meeting the requirements of
21	Title 5, section 9052, subsection 2, paragraph A,
22	shall be given to:
23	A. The petitioners;
24 25	B. The owners, lessees or persons in control of the dam; and
26	C. The officials described in section 822, sub-
27	section 1, paragraphs B and C.
28	Under the provisions of Title 5, section 9052, notice
29	of the hearing shall also be given to the general
30	public.
31	4. Decision; correction of unsafe conditions. If,
32	after receiving a report from the inspector of dams
33	on the inspection of a dam and a hearing, if held,
34	the board determines that a dam is endangering the
35	safety of the public, it may order the owners,
36	lessees or persons in control of the dam to make
37	alterations to the dam or its operations, including,
38	but not limited to:

,

1 A. Breach or removal of the dam; 2 B. Repair or maintenance of the dam; 3 C. Operation of the dam in a specified manner; D. Preparation of and adherence to a safety man-4 5 agement plan satisfactory to the board; or 6 E. Maintenance for public inspection of appropri-7 ate records relating to water levels, dam operation and dam maintenance. 8 9 Before ordering an alteration in the dam or its operation that may change water levels below the dam or 10 11 in the body of water it impounds, the board shall 12 consider the possible impact of the proposed order on the environment, public resources, littoral or ripar-13 14 ian proprietors and dam operation. The board may hold a hearing under section 840 to establish a normal 15 16 water level regime for the body of water impounded by 17 the dam. 5. Enforcement. The board, the petitioners under 18 19 section 822, subsection 1, or any riparian or 20 littoral proprietor may commence an action to enjoin 21 the violation of any provision of this subarticle. 22 The board may enforce any order issued under subsec-23 tion 4, by any other appropriate remedy, including, 24 but not limited to, entering the dam premises to 25 carry out the terms of the order. 26 The owners, lessees or persons in control of the dam shall be jointly and severally liable for any costs 27 28 incurred by the department in enforcing any order 29 issued under subsection 4. If the owners, lessees or persons in control of the dam refuse to comply or do 30 31 not fully comply with the board's order, the board 32 shall initiate a civil action against the owners, lessees or other persons in control of the dam for 33 34 damages in the amount of the costs incurred by the 35 department in enforcing the board's order. 36 The violation of any order issued under subsection 4 37 shall be a civil violation punishable by a forfeiture 38 of not less than \$20 and not more than \$100. Each 39 day of violation shall be considered a separate 40 offense.

6. Eminent domain; abandonment. In the event that 1 2 the owner, lessee or person in control of the dam 3 fails to comply with an order issued under subsection 4 4, the department may commence eminent domain pro-5 ceedings to assume ownership of the dam if necesary 6 to protect the public safety. Upon award of title to the dam to the State, the State shall undertake to 7 8 make the dam safe and the dam shall be subject to the 9 procedures of subarticle 3.

10 7. Unregistered dam. In the event that a dam 11 sought to be inspected under this section or section 12 824 is unregistered under this Article, the provi-13 sions of section 830, subsection 4, shall be imple-14 mented and any order of the commissioner issued under 15 subsection 4 shall apply to any proceedings under 16 subarticle 3.

17 8. Appeal. Any person aggrieved by an order of
 18 the commissioner under subsection 4 may appeal to the
 19 Superior Court under Title 5, chapter 375, subchapter
 20 VII.

21 §824. Informal inspection

1. Inspection. At the request of the commissioner, the inspector of dams or his agent shall conduct an informal inspection of a dam to make a determination as to whether the dam is endangering the
public safety.

27 2. Adjudicatory proceeding. An informal inspec28 tion shall be an adjudicatory proceeding under the
29 provisions of Title 5, chapter 375, subchapter IV and
30 the notice procedures specified in section 823, sub31 section 3, but a hearing shall not be required.

32 3. Decision; further action. If the commissioner determines, after receiving a report from the inspec-tor of dams on the informal inspection of a dam, that 33 34 35 no further action by the department is warranted, that decision shall constitute final agency action 36 37 for the purpose of Title 5, chapter 375, subchapter IV. If the commissioner determines, after receiving a 38 report from the inspector of dams on the informal 39 inspection of a dam, that a formal inspection is war-40 41 ranted, he shall proceed under section 823 and his 1 decision shall not be subject to judicial review as 2 final agency action under Title 5, chapter 375, sub-3 chapter IV.

4 §825. Access and notification

5 The inspector of dams shall have full access to 6 any dam site for the purpose of conducting an inspection or enforcing an order under section 823 or 824. 7 8 The inspector shall make a good faith effort to notify the owner, lessee or person in control of the 9 dam prior to making an inspection. In the event that 10 11 the owner, lessee or person in control of the dam 12 refuses to permit the inspector full access to the dam for these purposes, the inspector shall obtain an 13 administrative warrant under the District Court Rules 14 15 of Civil Procedure, Rule 80E.

16 §826. Reimbursement for inspection expenses

17 When the commissioner determines that a dam is endangering the public safety, the department shall 18 collect from the owner, lessee or person in control 19 20 of the dam the total expenses, including compensation payable to the dam inspector, incurred by the State 21 22 for any inspections undertaken. Upon a failure to reimburse the State as required in this section, the 23 department may commence a civil action to recover the 24 25 reimbursement.

26 §827. Utilization of other state agency resources

27 The commissioner may, with the approval of the 28 Commissioner of Transportation, utilize the engineer-29 ing and other expertise that exists in the Department 30 of Transportation to assist in the evaluation of dams 31 that are inspected under this subarticle.

32 §828. Regulations

The board shall prescribe regulations, following the procedures of Title 5, chapter 375, subchapter II, establishing the criteria by which the department is to determine whether or not a dam is endangering the public safety and establishing procedures for the scope of formal and informal inspections.

1 §829. Transitional provisions

2	Rules, regulations and interpretive criteria
3	adopted by the Department of Agriculture, Food and
4	Rural Resources under former chapter 5, subchapter I,
5	Article 3, shall continue in effect until regulations
6	are established under section 828 and any orders
7	issued by the inspector of dams under that Article
8	shall continue in effect and shall be enforced by the
9	board until replaced or amended under this
10	subarticle.

11

Subarticle 2. Registration

12 §830. Registration of ownership

13 1. Annual registration. Any person owning any dam 14 shall annually register the dam on or before January 1st of the registration year on forms provided by the 15 16 department. The registration forms shall seek from 17 and require of the registrant information reasonably 18 required by the department to perform its duties 19 under this Article. The department shall provide 20 notice of dam registration requirements to the known 21 or suspected owners of all currently or previously 22 registered dams at least 30 days prior to the annual 23 registration deadline.

24 2. Registration fee. The annual fee for registra25 tion shall be as follows, except that a state or fed26 eral agency owning, leasing or controlling any dam
27 shall not be required to pay a fee:

- 28A. Twenty dollars for any dam between 2 feet and2920 feet in height, inclusive; or
- 30B. Twenty dollars plus an additional \$1 for each31additional one foot in height above 20 feet for32any dam greater than 20 feet in height.

33	3. Late registration. An owner, lessee or person
34	in control of a dam, other than a state or federal
35	agency, who fails to register the dam on or before
36	January 1st shall pay \$50 in addition to the annual
37	registration fee upon registering the dam.

1	4. Revenues dedicated. All fees collected under
2	this subarticle shall be paid to the Treasurer of
3	State and credited to the Department of Environmental
4	Protection for the administration of this Article. If
5	any of these fees are not expended during the year in
6	which they are collected, the unexpended balance
7	shall not lapse, but shall be carried as a continuing
8	account and available for the purposes specified
9	until expended.
10	5. Notice of failure to register. Notice of fail-
11	ure to register a dam and of the consequences de-
12	scribed in this section shall be mailed by registered
13	mail after January 1st of the registration year to
14	the last known address of the owner and any lessee or
15	other person in control of the dam. The department
16	shall make a reasonable effort to determine the iden-
17	tity, where unknown, of an owner, lessee or person in
18	control of a dam by:
19 20 21 22 23	 A. Consulting prior dam registration records; B. Consulting the registry of deeds of the county in which the dam is located; C. Consulting the municipal tax list of the municipality in which the dam is located; and
24	D. Consulting the tax list maintained by the
25	State Tax Assessor under Title 36, chapter 115
26	for a dam located in an unorganized territory.
27	If a dam is not registered within 90 days following
28	the mailing of the first notice of failure to regis-
29	ter, a 2nd notice of failure to register and of the
30	consequences described in this section shall be
31	mailed by registered mail within an additional 30
32	days to the person to whom the first notice was sent
33	and to any other person or persons whom the depart-
34	ment has reason to believe may be an owner, lessee or
35	person in control of the dam.
36	6. Abandonment. If a dam is not registered by
37	August 31st of any year, title to the dam, including
38	appurtenant easements, shall automatically vest in
39	the State on the following day and the dam shall be
40	subject to the precedures of subarticle 3.

Page 11-L.D. 1252

1	§831. Notice of transfer or destruction
2 3	The owner, lessee or person in control of a dam shall provide written notice to the department of:
4 5	<u>1. Transfer. Any transfer of ownership of the</u> dam, whether by sale, lease or gift; or
6 7	2. Destruction. Any breach or destruction of the dam in whole or in part.
8	Subarticle 3. Abandonment
9	§835. Abandonment
10 11	The procedures of section 837 shall apply to any dam for which:
12 13 14 15	1. Eminent domain. The State has assumed owner- ship through eminent domain proceedings under section 823, subsection 6, due to noncompliance with an inspection order;
16 17 18	2. Nonregistration. The State has assumed owner- ship under section 830, subsection 5, due to nonregistration; or
19 20 21	3. Abandonment. The State has assumed ownership under section 836 due to an authorized abandonment by the owner.
22 23 24 25	Once a dam has been voluntarily or involuntarily abandoned, no previous owner may be entitled to any compensation for property rights forfeited to the State or to any subsequent owner under this Article.
26	§836. Authorized abandonment
27 28 29 30 31 32 33	1. Petition and authorization. The owner of any dam may petition the commissioner for authorization to abandon the dam. The commissioner shall authorize the abandonment if he determines that the owner is unable to otherwise dispose of the dam in a manner that will insure continuing compliance with any order issued under this Article.
55	IBBUEU UNGEL CHIB ALCICLE.

1	2. Transfer or withdrawal. Within 30 days follow-
2	ing the issuance of an order by the commissioner
3	authorizing abandonment of a dam, the owner shall
4	either:
5	A. Transfer all his title, right and interest in
6	the dam to the State by deed; or
7	B. Withdraw his petition for authorization to
8	abandon the dam and shall retain ownership sub-
9	ject to the requirements of registration and any
10	then outstanding order issued under this Article.
11	In the event that the owner withdraws his peti-
12	tion following the issuance of an order by the
13	commissioner pursuant to this section, the owner
14	shall be prohibited from petitioning again under
15	this section for one year.
16	§837. Awards of new ownership
17	1. Initiation of proceedings; action. Within 30
18	days after the date on which the State assumes owner-
19	ship of any dam under this Article, the board shall
20	initiate proceedings to award ownership of the dam.
21	Within one year after the date on which the State
22	assumes ownership of any such dam, the board shall
23	either:
24	A. Award ownership of the dam to a new owner
25	under this section; or
26	B. In the event that no person petitions for own-
27	ership of the dam or the board determines under
28	subsection 5 that none of the petitioners is
29	qualified to accept ownership and control of the
30	dam, retain ownership of the dam. Upon its deci-
31	sion to retain ownership of the dam, the board
32	shall cause the dam to be maintained and operated
33	in such a manner as to protect the public safety
34	and public resources. This maintenance and opera-
35	tion may include, but is not limited to:
36	(1) The opening of the dam and draining of
37	the impoundment;
38	(2) The operation of the dam in a specified
39	manner; or

(3) The destruction of the dam.

1

2

The board may initiate further proceedings at any time to award ownership of any dam that has 3 4 remained in state ownership by a decision of the 5 board under this paragraph.

6 2. Public notice. The board shall give notice 7 inviting petitions for the award of ownership of the dam at least twice in a newspaper of general circula-8 9 tion in the county or counties in which the dam is 10 located and at least once in the state paper. The 11 board shall also give written notice to any munici-12 pality or municipalities in which the dam or the body of water it impounds is located and to the county commissioners of any county or counties in which the 13 14 15 dam or the body of water it impounds is located.

- 16 3. Petitions. Petitions for the award of owner-17 ship of any dam shall be made in a form prescribed by 18 the board and shall be filed with the board by a date 19 specified, which date shall not be less than 30 days 20 after the first publication of notice.
- 21 Any person may petition the board to be awarded own-22 ership of any abandoned dam.

23 4. An ownership proceeding. The board may schedule and conduct a public hearing for the purpose of 24 25 receiving any evidence and information that may aid it in making a determination. The board may subpoena 26 such witnesses and documents as it may require. Any 27 hearing held under this section shall be an adjudica-28 29 tory hearing under Title 5, chapter 375, subchapter 30 IV and the procedures specified in this section.

- 31 5. Criteria for determination. The board shall 32 determine which petitioner, if any, is best qualified to accept ownership and control of the dam. In 33 reviewing any petition and the qualifications of the 34 35 petitioner to accept ownership and control of the dam, the board shall consider the following criteria: 36
- 37 The technical, financial and administrative Α. ability of the petitioner; 38
- 39 B. Any plans of the petitioner with regard to the operation, maintenance and repair of the dam; 40

C. The effect of the petitioner's proposal upon 1 private and public property and the public 2 3 resources of wildlife, fisheries, water quality, 4 recreation and other water uses; 5 D. The ability of the petitioner to comply with 6 any order issued under this Article; 7 E. The willingness of the petitioner to accept ownership of the dam upon reasonable terms; and 8 F. Any other effects of the petitioner's proposal 9 on public health, safety and general welfare. 10 11 6. Competing petitions. In the event that the board has determined under subsection 5 that there is 12 more than one petitioner who is otherwise equally 13 14 qualified to accept ownership and control of the dam, the board shall hold a joint hearing on all petitions and shall award ownership of the dam in the following 15 16 17 order of priority: 18 A. To an association of at least 50% of the 19 littoral or riparian proprietors; 20 B. To a river corridor commission, lake or watershed district, dam commission or other similar agency created by Act of the Legislature or by an 21 22 23 agreement among municipalities or other public agencies under the interlocal cooperation laws, 24 25 Title 30, chapter 203; 26 C. To a municipality in which the dam or the body 27 of water it impounds is located; D. To a county in which the dam or the body of 28 29 water it impounds is located; 30 E. To any state agency; and 31 F. To any other person. 32 7. Award of dam; terms. No sooner than 45 days after notice to all parties of its decision, the 33 board shall execute and deliver a deed awarding own-34 35 ership and possession of the dam to the successful petitioner. This conveyance may be subject to such 36

Page 15-L.D. 1252

1 2	terms regarding the use and operation of the dam by the grantee, his heirs and assigns as may be reason-
3	able. The board shall not deliver the deed until the
4	
	successful petitioner has reimbursed the department
5	for all outstanding registration fees and all
б	expenses incurred by the department for the repair,
7	operation or transfer of the dam.
8	The grantee shall cause a copy of the deed to be
9	recorded in the registry of deeds for the county in
10	which the dam is located.
10	which the dam is located.
11	9 Anneal A decision by the board under this
12	8. Appeal. A decision by the board under this
	section shall constitute final agency action for the
13	purposes of appeal under Title 5, chapter 375, sub-
14	chapter VII.
15	Subarticle 4. Water Levels
16	§840. Establishment of water levels
17	1. Power. The board may on its own motion and
18	chall upon receipt of potitions from the longer of at
	shall upon receipt of petitions from the lesser of at
19	least 25% or 50 of the littoral or riparian propri-
20	etors conduct an adjudicatory hearing for the purpose
21	of establishing a water level regime for the body of
22	water impounded by any dam that is not either:
23	A. Licensed by the Federal Energy Regulatory Com-
24	mission; or
25	B. Operating with a permit setting water levels
26	issued under the great ponds laws, sections 391
27	to 394; the alteration of coastal wetlands laws,
28	
	sections 471 to 478; the site location of devel-
29	opment laws, sections 481 to 490; the small
30	hydroelectric generating facilities laws, sec-
31	tions 621 to 626; the land use regulation laws,
32	Title 12, sections 681 to 689: the stream altera-
33	Title 12, sections 681 to 689; the stream altera- tion laws, Title 12, sections 7776 to 7780 or any
	other statute regulating the construction or
34	
35	operation of dams.
~ ~	• • • • • • • • • • • • • • •
36	2. Notice. The board shall provide personal
37	notice of its intent to hold a hearing by providing
37 38	notice of its intent to hold a hearing by providing written notice to the owner, lessee or person in con-

Page 16-L.D. 1252

1	to any petitioner who has petitioned for a hearing
2	with respect to the body of water. The board shall
3	give public notice of the hearing under Title 5,
4	section 9052. The board shall also file notice of
5	the hearing in the municipal office of any municipal-
6	ity and in the clerk's office of any county in which
7	the body of water is located.
•	
8	3. Conduct of hearing. The hearing shall follow
9	the procedures for an adjudicatory hearing under Title 5, chapter 375, subchapter IV and the proce-
10	Title 5, chapter 375, subchapter IV and the proce-
11	dures specified in this section.
12	4. Evidence. At the hearing, the board shall
13	solicit and receive testimony, as provided by Title
14	5, section 9057, for the purpose of establishing a
15	water level regime for the body of water. The testi-
16	mony shall be limited to:
10	mony shall be limited to.
17	A. The water levels necessary to maintain the
18	public rights of access to and use of the water
19	for navigation, fishing, fowling, recreation and
20	other lawful public uses;
20	ocher rawrur public uses,
21	B. The water levels necessary to protect the
22	safety of the littoral or riparian proprietors
23	and the public;
20	
24	C. The water levels necessary for the maintenance
25	of fish and wildlife habitat and water quality;
20	of fish and statio habious and subor quality,
26	D. The water levels necessary to prevent the
27	excessive erosion of shorelines;
28	E. The water levels necessary to accommodate pre-
29	cipitation and run off of waters;
30	F. The water levels necessary to maintain public
31	and private water supplies;
32	G. The water levels and flows necessary for any
33	ongoing use of the dam to generate or to enhance
34	the downstream generation of hydroelectric or
35	hydromechanical power; and
~ ~	ingerencementeur power, und
36	H. The water levels necessary to provide flows
37	from any dam on the body of water to maintain

Page 17-L.D. 1252

public access and use, fish propagation and fish passage facilities, fish and wildlife habitat and water quality downstream of the body of water.

3

1

2

4 5. Order. Based on the evidence solicited at the hearing, the board shall, within 60 days after the 5 6 hearing, make written findings and issue an order to 7 the owner, lessee or person in control of the dam 8 establishing a water level regime for the body of 9 water impounded by the dam. The order shall, insofar as practical, require the maintenance of a stable 10 11 water level, but shall include provision for varia-12 tions in water level to permit sufficient draw down of the body to accommodate precipitation and run off 13 14 of surface waters and to otherwise permit seasonal 15 and other necessary fluctuations in the water level 16 of the body of water in order to protect public 17 health, safety and welfare and the public and private 18 resources identified in subsection 4. The board shall 19 cause a copy of the order to be delivered to the owner, lessee or person in control of the dam and each petitioner, if any, and shall cause a copy of 20 21 22 the order to be filed in the registry of deeds in the 23 county where the dam is located.

24 §841. Maintenance of dams

25 1. Prohibition. After issuance of an order under 26 section 840, subsection 5, establishing a water level 27 regime for any body of water, no owner, lessee or 28 person in control of any dam impounding the body of 29 water, nor any subsequent transferee, may operate or maintain the dam or cause or permit the dam to be operated or maintained in any manner that will cause 30 31 32 the level of water to be higher or lower than that permitted by order of the board or to otherwise vio-33 34 late the terms of the order of the board.

35 2. Exception. No owner, lessee or person in con-36 trol of a dam may be in violation of subsection 1, 37 where the water level fluctuation not permitted by 38 unforseeable the order was caused by and 39 unpredictable meteorological conditions or operating 40 failures of the dam or any associated equipment, and 41 where the person could not have avoided the fluctu-42 ation by promptly undertaking all reasonably available steps to regulate water flow through or over any 43

1 dam under his control. The burden of proof shall be 2 on the owner, lessee or person in control of the dam 3 to demonstrate the applicability of this subsection.

4 <u>3. Enforcement. The board or any littoral or ri-</u> 5 parian proprietor may commence an action to enjoin 6 the violation of any provision of this subarticle. 7 The board may enforce any order issued under section 8 840, subsection 5 by any other appropriate remedy, 9 including, but not limited to, entering the dam prem-10 ises to carry out the terms of the order.

11 The violation of any order issued under section 840, 12 subsection 5, shall be punishable by a forfeiture of 13 not less than \$20 and not more than \$100. Each day 14 of violation shall be considered a separate offense.

4. Unregistered dam. In the event that a dam impounding a body of water for which a water level regime is sought to be established under section 840 is unregistered under this Article, the provisions of section 830, subsection 4 shall be implemented, and any order of the board issued under section 840, subsection 5 shall apply to any proceedings under subarticle 3.

5. Appeal. Any person aggrieved by an order of
 the board under section 840, subsection 5 may appeal
 to the Superior Court under Title 5, chapter 375,
 subchapter VII.

27 §842. Transition provision

All orders of the State Soil and Water Conservation Commission or the Commissioner of Agriculture, Food and Rural Resources issued under former Title 12, section 304 shall continue in effect and shall be enforced by the board until they expire or are rescinded or amended under this subarticle.

Sec. 7. Allocation of Dam Registration Fund.
 Funds from the Dam Registration Fund shall be allo cated as follows.

37ALLOCATION FROM38DAM REGISTRATION FUND

Page 19-L.D. 1252

1		1983-84	<u> 1984-85</u>
2 3	ENVIRONMENTAL PROTECTION, DEPARTMENT OF		
4	Bureau of Land Quality Control		
5 6 7 8 9	Positions Personal Services All Other Capital Expenditures Total	(1/2) \$ 6,000 3,000 <u>1,000</u> \$10,000	(1/2) \$ 6,500 3,400 100 \$10,000
10 11 12 13	Provides for clerical personnel, inspection activities and office equipment.		
14	STATEMENT OF FACT		
15 16 17 18 19 20 21	The purpose of this bill is to revise the exist- ing statutes dealing with the inspection, registra- tion and abandonment of dams and the setting of water levels and to transfer the administration of these statutes from the Department of Agriculture, Food and Rural Resources to the Department of Environmental Protection.		
22 23	Section 1 repeals the chapters of Title 12 deal- ing with abandoned dams and neglected dams.		
24 25	Sections 2 to 5 repeal the A dealing with inspection of dams		

26 Section 6 enacts the Maine Dam Inspection, Regis-27 tration and Abandonment Act. Generally, the Act improves the workability and strengthens the provi-28 29 sions of the existing statutes and addresses consti-30 tutional questions concerning existing abandonment 31 procedures.

32 Title 38, section 815 establishes the title of 33 the Act.

34 Title 38, section 816 sets forth the Legislature's findings and purpose in enacting this 35 36 Act.

1 Title 38, section 817 contains definitions of the 2 terms used in the Act. The definition of "dam" sets 3 lower limits on the height and impounding capacity of 4 a dam that will bring it under jurisdiction of the 5 Act.

6 Title 38, section 818 sets forth some miscellane-7 ous provisions of the Act.

8 Title 38, section 820 marks the beginning of the 9 subarticle on the inspection of dams and sets forth 10 the jurisdiction of the subarticle. The department's 11 inspection activities will complement the existing 12 inspection programs of the Army Corps of Engineers 13 and the Federal Energy Regulatory Commission.

14 Title 38, section 821 establishes the position of 15 inspector of dams and the inspector's compensation.

16 Title 38, section 822 sets forth procedures under 17 which the department may, by its own motion or upon 18 receipt of a petition, order an inspection of a dam.

19 Title 38, section 823 outlines the procedures for 20 a formal inspection and hearing and establishes the 21 Department of Environmental Protection's authority to 22 order alterations to a dam or its operation if it has 23 determined that the dam is endangering public safety 24 and to enforce such an order. The section also authorizes the department to commence eminent domain 25 proceedings to assume ownership of a dam if necessary 26 27 to protect the public safety in the event of noncompliance by the dam owner with an order of the board. 28

29 Title 38, section 824 outlines the procedures for 30 an informal inspection and subsequent determination 31 by the commissioner.

Title 38, section 825 establishes the rights and
obligations of the inspector of dams in gaining access to a dam site.

Title 38, section 826 provides that, in the event that a dam is determined to be unsafe, the owner shall be liable for all inspection expenses incurred by the Department of Environmental Protection. 1 Title 38, section 827 authorizes the Department 2 of Environmental Protection to utilize the expertise 3 of the Department of Transportation in evaluating the 4 safety of dams.

5 Title 38, section 828 directs the Board of Envi-6 ronmental Protection to develop regulations pursuant 7 to this subarticle.

8 Title 38, section 829 provides that all regula-9 tions adopted and orders issued under the existing 10 inspection of dams and reservoirs laws shall remain 11 in force and be enforced by the Department of Envi-12 ronmental Protection until replaced or amended.

Title 38, section 830 marks the beginning of the 13 14 subarticle on the registration of dams. The section 15 establishes a dam registration program, sets forth a 16 fee scale for the annual registration and sets forth 17 process of notification to known or suspected а owners of unregistered dams. This section also pro-18 19 vides that title to any dam that remains unregistered 20 for more than 8 months after registration comes due 21 shall revert to the State, regardless of whether the 22 dam owner is known or not.

Title 38, section 831 directs the owner of a dam to notify the Department of Environmental Protection of the transfer of ownership or destruction of the dam.

27 Title 38, section 835 marks the beginning of the subarticle on the abandonment of dams. The subarticle 28 29 sets forth the circumstances that result in a dam 30 being abandoned and provides that the previous owner 31 of an abandoned dam shall receive no compensation for the dam and associated property and rights that have 32 been abandoned under this section. 33

Title 38, section 836 sets forth procedures under which the commissioner may authorize an owner to abandon a dam.

37 Title 38, section 837 directs the Board of Envi-38 ronmental Protection to initiate proceedings to award 39 ownership of any abandoned dam to a new owner and, 40 within one year of abandonment, to either award own-

1 ership to a new owner or, if no person is willing or 2 qualified to assume ownership, to destroy the dam or 3 to retain ownership of the dam pending additional 4 proceedings to award ownership. The section outlines 5 the procedures under which the board shall invite and consider petitions for the award of new ownership and 6 7 sets forth the criteria by which the board shall 8 determine which petitioner, if any, is best qualified 9 accept ownership and control of the dam. The to section also sets forth a procedure 10 bv which the board will award ownership in a priority order where 11 competing petitioners are determined to be 12 equally 13 qualified to accept ownership and control of the dam. 14 Finally, the section establishes the procedures and 15 terms of the transfer of title to a new owner.

16 Title 38, section 840 marks the beginning of the 17 subarticle on the establishment of water levels. The 18 section sets forth procedures under which the board own motion or shall upon receipt of a 19 may by its 20 petition conduct a hearing to establish a water level 21 regime for any body of water impounded by а dam as 22 defined in Title 38, section 817, except for any body 23 impounded by a dam that is operating purof water suant to a federal 24 license or state permit. The 25 section also sets forth the criteria which shall be 26 considered by the board in establishing a water level 27 regime. The section establishes the procedures for 28 issuance of a water level order and the terms of the 29 any such order.

Title 38, section 841 sets forth the requirement that the owner of any dam shall operate and maintain the dam in such a manner as to be in compliance with any order establishing a water level regime for the body of water controlled by the dam. The section also authorizes the board to enforce any water level order. 1 Title 38, section 842 provides that all water 2 level orders issued under the existing neglected dams 3 laws shall remain in force and shall be enforced by 4 the Department of Environmental Protection until they 5 expire or are rescinded or amended.

6 Section 7 allocates the income from dam registra-7 tion.

8

2548022683