

1 2	FIRST REGULAR SESSION	
3 4	ONE HUNDRED AND ELEVENTH LEGISLATURE	
5 6	Legislative Document N	o. 736
7	H.P. 592 House of Representatives, February 14	, 1983
8	Referred to the Committee on Judiciary, sent up for concurrence an ordered printed.	d
	EDWIN H. PERT,	Clerk
10 11	Presented by Representative Andrews of Portland. Cosponsors: Representative Gauvreau of Lewiston, Senator Hayes o Penobscot and Representative Beaulieu of Portland.	f
12 13	STATE OF MAINE	
14 15 16	IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-THREE	
17 18 19	AN ACT to Protect Employees from Reprisal who Report or Refuse to Commit Illegal Acts.	
20 21	Be it enacted by the People of the State of Maine follows:	as
22	26 MRSA c. 7, sub-c. V-A is enacted to read:	
23	SUBCHAPTER V-A	
24	PROTECTION OF EMPLOYEES WHO REPORT	
25	OR REFUSE TO COMMIT ILLEGAL ACTS	
26	§821. Short title	
27 28	This subchapter may be cited as "Whistleblowers' Protection Act."	the

1 §822. Definitions

2	As used in this subchapter, unless the context
3	indicates otherwise, the following terms have the
4	following meanings.
5	1. Employee. "Employee" means a person who per-
6	forms a service for wages or other remuneration under
7	a contract of hire, written or oral, expressed or
8	implied. Employee includes a person employed by the
9	State or a political subdivision of the State, except
10	unclassified employees of State Government.
11	2. Employer. "Employer" means a person who has
12	one or more employees. Employer includes an agent of
13	an employer and the State, or a political subdivision
14	of the State.
15	3. Person. "Person" means an individual, sole
16	proprietorship, partnership, corporation, association
17	or any other legal entity.
18	4. Public body. "Public body" means all of the
19	following:
20	A. A state officer, employee, agency, depart-
21	A. A state officer, employee, agency, depart- ment, division, bureau, board, commission, coun-
21 22	cil, authority or other body in the executive
21	A. A state officer, employee, agency, depart- ment, division, bureau, board, commission, coun- cil, authority or other body in the executive branch of State Government;
21 22 23	cil, authority or other body in the executive branch of State Government;
21 22 23 24	cil, authority or other body in the executive branch of State Government;B. An agency, board, commission, council, member
21 22 23 24 25	 cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State
21 22 23 24	cil, authority or other body in the executive branch of State Government;B. An agency, board, commission, council, member
21 22 23 24 25 26	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government;</pre>
21 22 23 24 25 26 27	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty,</pre>
21 22 23 24 25 26 27 28	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council,</pre>
21 22 23 24 25 26 27 28 29	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council, school district or municipal corporation, or a</pre>
21 22 23 24 25 26 27 28 29 30	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council, school district or municipal corporation, or a board, department, commission, council, agency or</pre>
21 22 23 24 25 26 27 28 29 30 31	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council, school district or municipal corporation, or a board, department, commission, council, agency or any member or employee thereof;</pre>
21 22 23 24 25 26 27 28 29 30 31 32	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council, school district or municipal corporation, or a board, department, commission, council, agency or any member or employee thereof; D. Any other body which is created by state or</pre>
21 22 23 24 25 26 27 28 29 30 31	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council, school district or municipal corporation, or a board, department, commission, council, agency or any member or employee thereof; D. Any other body which is created by state or local authority or which is primarily funded by</pre>
21 22 23 24 25 26 27 28 29 30 31 32	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council, school district or municipal corporation, or a board, department, commission, council, agency or any member or employee thereof; D. Any other body which is created by state or local authority or which is primarily funded by or through state or local authority, or any</pre>
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21 22 23 24 25 26 27 28 29 30 31 32 33 34 35	<pre>cil, authority or other body in the executive branch of State Government; B. An agency, board, commission, council, member or employee of the legislative branch of State Government; C. A county, municipal, village, intercounty, intercity or regional governing body, a council, school district or municipal corporation, or a board, department, commission, council, agency or any member or employee thereof; D. Any other body which is created by state or local authority or which is primarily funded by or through state or local authority, or any member or employee of that body;</pre>

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- 1F. The judiciary and any member or employee of2the judiciary.
- 3 §823. Discharge of, threats to or discrimination 4 against employee for reporting violations of 5 law or refusing to carry out illegal direc-6 tives

7 An employer shall not discharge, threaten or 8 otherwise discriminate against an employee regarding the employee's compensation, terms, conditions, loca-9 10 tion or privileges of employment because the 11 employee, or a person acting on behalf of the 12 employee, reports or is about to report, verbally or in writing, a violation of a law or rule promulgated 13 pursuant to the laws of this State, a political sub-14 division of this State or the United States to a 15 16 public body, unless the employee knows that the 17 report is false, or because an employee is requested 18 by a public body to participate in an investigation, 19 hearing or inquiry held by that public body, or a 20 court action.

An employer shall not discharge, threaten or 21 22 otherwise discriminate against an employee regarding 23 the employee's compensation, terms, conditions, location or privileges of employment because the employee 24 25 has refused to carry out a directive that violates а 26 law or rule promulgated pursuant to the laws of this State, a political subdivision of this State or the 27 28 United States.

29 §824. Civil actions for injunctive relief or damages

30 An employee who alleges a violation of his rights under section 823 may bring a civil action for appro-31 32 priate injunctive relieve or actual damages, or both, within 90 days after the occurrence of that alleged violation. The action may be brought in the Superior 33 34 35 Court for the county where the alleged violation 36 occurred, the county where the complainant resides or 37 the county where the person, against whom the civil 38 complaint is filed, resides or has his principal 39 place of business.

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42	subc	har	oter,	i	ncl	udi	ng	reas	sona	able	attor	neys'	fees	

1 2 3 4 5 6 7	An employee shall show by clear and convincing evidence that he or a person acting on his behalf reported, verbally or in writing, a violation of a law of this State, a political subdivision of this State or the United States to a public body or that he refused to engage in illegal conduct and that he suffered a reprisal as a result of that refusal.
8	§825. Remedies ordered by court
9 10 11 12 13 14 15 16 17 18	A court, in rendering a judgment in an action brought pursuant to this subchapter, shall order, as the court considers appropriate, reinstatement of the employee, the payment of back wages, full reinstate- ment of fringe benefits and seniority rights, actual damages or any combination of these remedies. A court may also award the complainant all or a portion of the costs of litigation, including reasonable attorneys' fees and witness fees, if the court deter- mines that the award is appropriate.
19	<u>§826. Penalties for violations</u>
20 21 22 23 24 25	A person who violates this subchapter shall be liable for a civil fine of not less than \$500, which shall not be suspended. Any civil fine imposed under this subchapter shall be submitted to the Treasurer of State for deposit to the General Fund. \$827. Collective bargaining rights
26 27 28	This subchapter shall not be construed to dimin- ish or impair the rights of a person under any col- lective bargaining agreement.
29 30	§828. Compensation for employee participation in investigation, hearing or inquiry
31	This subsharter shall not be construed to require
32 33 34	This subchapter shall not be construed to require an employer to compensate an employee for participa- tion in an investigation, hearing or inquiry held by a public body in accordance with section 823.

1	An employer shall post notices and use other
2	appropriate means to keep his employees informed of
3	their protections and obligations under this subchap-
4	ter.

STATEMENT OF FACT

6 This bill protects employees from being fired for 7 reporting violations of law by their employers and refusing to follow a directive that violates a 8 for 9 The bill also creates a statutory cause of law. ac-10 tion for an employee against an employer who discharges him or alters his employment status 11 because 12 he engaged in any of the activities referred to in 13 this paragraph.

14 Maine is not breaking new ground with the passage 15 of this bill. This bill is modeled on the Michigan 16 "Whistleblowers' Protection Act" which became effec-17 tive in March, 1981. Moreover, the Revised Statutes, subsection 1, 18 Title 5, section 4572, paragraph Ε, 19 which deals with fair employment practices, already 20 makes it unlawful for employer to discriminate an 21 against an employee because that employee has 22 "opposed any practice which would be a violation of this Act, or because they have made a charge, testi-23 24 fied or assisted in any manner in any investigation, proceeding or hearing under this Act." This bill 25 26 merely expands the protection Maine law already 27 affords employees who report unlawful discrimination 28 by protecting them when they report other legal 29 violations.

This bill provides employees who have been wrongfully fired or who have had their employment status wrongfully affected the right to seek reinstatement in their jobs with no loss of benefits and to recover damages, court costs and attorneys' fees, when appropriate. The bill also exposes employers found guilty of violating the law to a civil penalty.

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