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No. 2066
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- of the State, to control, abate and prevent the pollution of air, waters and coastal flats and prevent diminution of the highest and best use of the natural environment of the State.
 - The department is designated the public agency of the State for the purpose of accepting federal funds in relation to water pollution control, water resources and air pollution studies and control. The department may, subject to the approval of the Governor, accept federal funds available for water pollution control, water resources and air pollution studies and controls.
- 12 Sec. 2. 38 MRSA §342, as last amended by PL 1981, c. 13 526, §1, is repealed.
- 14 Sec. 3. 38 MRSA §§342-A to 342-C are enacted to read:

15 §342-A. Commissioner

 The Commissioner of Environmental Protection, in this chapter called the "commissioner," shall be the chief administrator of the department and shall be responsible for administering and enforcing the environmental laws of the State.

- 1. Appointment. The commissioner shall be appointed by the Governor, subject to review by the joint standing committee of the Legislature having jurisdiction over energy and natural resources and to confirmation by the Legislature and shall serve at the pleasure of the Governor.
- 26 <u>2. Duties. The commissioner shall have the following</u>
 27 <u>duties and whatever other duties the Legislature or the Governor may assign.</u>
 - A. The commissioner may employ, subject to the Personnel Law, such personnel and prescribe the duties of such employees as the commissioner deems necessary to fulfill the duties of the department.
 - B. The commissioner may obtain the services of consultants on a contractual basis or otherwise as may be necessary to carry out this chapter.
- C. The commissioner may employ a deputy commissioner.

 The deputy commissioner shall act as the commissioner in the event the commissioner is unable to act, assist the commissioner in providing consistent and efficient management of the bureaus, coordination among the

bureaus and perform such other duties as the commissioner may prescribe. The commissioner may also employ a director of policy analysis, director of public assistance and such bureau directors as the commissioner deems appropriate, provided that the number of bureau directors shall at no one time exceed 4.

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Appointments made pursuant to this paragraph shall not be subject to the Personnel Law and persons so appointed shall serve at the pleasure of the commissioner, provided that if any position mentioned in this paragraph is subject to the Personnel Law on the effective date of this section, then the incumbent of the position or person on leave of absence from the position on that date may:

- (1) Retain his appointment subject to the Personnel Law until July 1, 1983; or
- (2) If the incumbent is appointed for a term, retain the position until the earlier of either the expiration of the term or July 1, 1983.

Any person permanently appointed to a position under this paragraph shall have the right, for 12 months subsequent to appointment, to be restored to the classified position from which he had been promoted or to a position equivalent thereto in salary grade agency, without impairment of his personnel status the loss of seniority, retirement or other uninterrupted service in the classified service would have entitled him. If the person's service unclassified supervisory position shall have been terminated for cause, that person's right to be restored shall be determined by the State Personnel the 12-month period, the appointing Board. During authority shall have the right to temporarily appoint a to the position under the Personnel Law, provided that funds are available for the appointment and that it is consistent with the law. This paragraph is repealed on June 30, 1983.

D. The commissioner may, in accordance with law, approve, disapprove or approve with conditions all applications within the commissioner's jurisdiction which are submitted to the department, subject to the right of appeal created by section 345-A. The commissioner may initiate enforcement actions pursuant to law and may negotiate and enter into agreements with federal, state and municipal agencies with the approval of the Governor.

E. The commissioner shall prepare a budget for the department and organize the department, except the Board of Environmental Protection, into bureaus, divisions, regional offices and other administrative units that the commissioner deems necessary to fulfill the duties of the department. The commissioner shall prescribe the functions of the bureaus and other administrative units to ensure that the powers and duties of the department are administered efficiently so that all license applications and other business of the department may be expeditiously completed in the public interest.

- F. The commissioner shall establish a division of public assistance, under a director of public assistance, which shall be responsible for relations with the public, education and outreach efforts. The division shall assist applicants and the public in their relations with the department.
- G. The commissioner may make recommendations to each Legislature for improvements in the environmental protection laws of the State.
 - H. The commissioner is authorized to establish and conduct a continuing planning process in cooperation with appropriate federal, state, regional and municipal officers and agencies, consistent with the requirements of law, including the United States Water Pollution Control Act, as amended.
 - I. The commissioner, after a public hearing, may adopt, amend and repeal reasonable fees for licenses, permits and approvals.
 - J. The commissioner may provide technical assistance, advice and consultation at the request of any municipality or quasi-municipal entity on matters relating to solid waste management. Technical services include, but not be limited to, technical regarding the operation of waste management facilities services and employment of consultants to assist in the location or design of any type of solid waste facility. The assignment of consultants shall be based upon demonstrated need, including, but not limited to, placement on the open-dump inventory list, noncompliance with orders of the board or noncompliance with state and federal rules.

1 §342-B. Board of Environmental Protection

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- 1. Appointment. The Board of Environmental Protection, in this chapter called the "board," shall consist of 7 members appointed by the Governor, subject to review by the joint standing committee of the Legislature having jurisdiction over energy and natural resources and to confirmation by the Legislature. Before appointing any person to the board, the Governor shall consult with the Environmental Advisory Committee established pursuant to section 342-C. No legislative public hearing on the qualifications of a nominee to the board may be held less than 30 days after the posting of the nomination by the Governor.
- Members shall be appointed for a term of 4 years and shall serve until their successors are appointed and duly qualified, except that those members currently serving shall continue in office until the end of the term for which they are appointed.
- 2. Qualifications. Members of the board shall be chosen to represent the broadest possible interest and experience which can be brought to bear in the implementation of
 all pertinent laws and shall have the ability to make
 informed and objective decisions on the basis of their
 knowledge and experience and the facts before them.
- At such time as the State applies for and receives authority to issue permits under the appropriate provisions of the United States Water Pollution Control Act, as amended, no person may serve as a board member who receives, or during the 2 years prior to his appointment has received, a significant portion of his income directly or indirectly from license or permit holders or applicants for a license or permit.
- No officer or employee of the State may be eligible to serve on the board.
- 34 Compensation and expenses. Members of the board shall receive \$50 a day for their services at meetings 35 36 hearings and shall receive necessary travel expenses for attending any meetings or hearings of the board or for 37 other travel in connection with the official business of the 38 board and under the specific authority of the board. 39 travel expenses shall be paid out of the General Fund. 40 chairman of the board shall be entitled to additional com-41 42 pensation of \$25 a day for service at meetings or hearings.

4. Officers. The Governor shall designate annually one of the board members to serve as chairman. The chairman shall be responsible for chairing meetings and hearings of the board, communicating with the department regarding staff assistance, budgets and facilities, coordinating the activities of the board with the commissioner, securing the assistance of the Attorney General at appropriate times, scheduling meetings and hearings, signing board documents and establishing a continuing educational program for the board. The board shall annually elect a secretary who need not be a member of the board.

- 5. Meetings. Meetings of the board shall be held at such times and places as is determined by the chairman or a majority of the board, but not fewer than 6 meetings each year shall be held. Five members of the board shall constitute a quorum for meetings.
- 6. Hearings. The board shall hold hearings as required by statute or rule. Five members of the board shall constitute a quorum for hearings.
- 7. Attendance. Any member of the board who is absent from more then 4 consecutive board meetings or hearings, or both, without medical excuse or similar good cause, may be removed from membership by the Governor. Within 60 days of removing a member for nonattendance, the Governor shall nominate a replacement to serve the unexpired term of the person so removed, subject to the confirmation procedures of subsection 1.
- 8. Licensing and appeals. The board may, in accordance with law, approve, disapprove or approve with conditions all applications in which it has original jurisdiction and which are referred to it by the commissioner pursuant to section 344-A.
- The board shall, in accordance with section 345-A, hear appeals from decisions of the commissioner regarding applications.
- The board may adopt rules necessary for the proper discharge of its duties.
 - 9. Employment of consultants. The board may obtain the services of consultants on a contractual basis or otherwise as may be necessary to carry out this chapter. Consultants hired from outside the department shall be paid out of the General Fund, provided that the board shall not obligate funds in excess of those appropriated for this purpose by the Legislature.

§342-C. Environmental Advisory Committee

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There is established an Environmental Advisory Committee consisting of 12 members who shall be appointed by the 3 Governor and who shall serve at the pleasure of the Governor 4 and without compensation. In appointing members of the com-6 mittee, the Governor shall seek to achieve a fair representation of industry, environmental groups, municipalities 7 and the general public. The Governor shall appoint 3 mem-8 bers of the general public and shall appoint 3 persons from a list of not less than 6 individuals furnished by each of 9 10 interest groups mentioned in this paragraph in accor-11 12 dance with procedures to be established by the Governor. The committee shall elect one of its members to be chairman. 13 The committee shall advise the Governor on board appoint-14 ments and reappointments, provide advice on public parti-15 cipation in department decision making, comment on proposed 16 17 legislation and serve as liaison between the department 18 the public.

- The commissioner shall provide such support and information as the committee requires to perform its duties.
- 21 Sec. 4. 38 MRSA §344, as last amended by PL 1977, c. 22 694, §§753 and 754, is repealed.
- 23 Sec. 5. 38 MRSA §344-A is enacted to read:
- 24 §344-A. Processing of applications
- 25 1. Notification to applicant and others. The commissioner shall, within 10 working days of receipt of an appli-26 cation, notify the applicant of the official date on which 27 28 application was accepted by the department 29 procedurally complete or return the application 30 applicant, specifying in writing the reasons for returning 31 the application or retain the application and specify the 32 additional information required.
- The commissioner shall give reasonable notice to the applicant and to any person who has filed a written request for notice of the date on which the application will be acted upon and that a draft order relating to the application is available to any person at the Augusta office of the department prior to the date on which the application will be acted upon.
- 40 All correspondence notifying the applicant of decisions by
- the commissioner or the board shall be by certified mail, return receipt requested.

2. Jurisdiction. All applications submitted to the department shall be decided, subject to the right of appeal created by section 345-A, by the commissioner, except that original jurisdiction over the following applications shall be in the board and the commissioner shall, after review for procedural completeness, refer the following applications to the board for decision:

- A. Applications containing a written request by the applicant that the board exercise original jurisdiction over the application and that the commissioner refer the application to the board for decision; and
- B. Applications which in the judgment of the commissioner are of substantial statewide or regional significance or which may be a significant precedent.
 - 3. Procedures to establish timetables. The department shall establish categories of applications which are subject to timetables for action and permitting by general standards.
 - A. The commissioner shall establish 2 categories for the processing of applications. Category I shall be completed in 90 days and category II shall be completed in 120 days. All applications shall be placed in category I unless the commissioner determines that he needs the additional processing time allowed by category II.
 - The processing time for each category does not include time beyond the control of the State, such as time provided to applicants to gather information and time associated with applicable notice and hearing requirements.
 - B. The department may establish specific standards for those activities subject to any act under its jurisdiction, the proper execution of which are deemed to have no significant impact upon the environment and which are not inconsistent with the purposes of this Title, provided that such standards shall be approved by the board.
 - 4. Application tracking. The department shall establish procedures for tracking applications at all phases of the application process. In addition, the commissioner shall review the status of all applications on a periodic basis.

- 5. Fees. The commissioner may establish reasonable fees for the reproduction of materials in the custody of the department, including all or part of any application submitted to the department. All such fees may be retained by the department to reimburse expenses incurred in reproducing those materials.
- 7 Sec. 6. 38 MRSA §345, as last amended by PL 1981, c. 8 524, §16, is repealed and the following enacted in its place:
- 10 §345. Hearings; decisions
- 1. Hearings by commissioner and board. The commis-11 12 sioner or the board, as the case may be, shall conduct hear-13 ings in those cases in which a hearing is required by law and in those cases in which, in the opinion of the commis-14 sioner or the board, the subject matter of the application 15 of significant public interest, provided that the board 16 17 shall conduct hearings in cases referred to it pursuant section 344-A, subsection 2, paragraph B. 18 .
- Except as provided in section 347 and the Maine Administrative Procedure Act, Title 5, chapter 375, whenever the commissioner is required or empowered to conduct a hearing pursuant to any provision of law and the commissioner is, for good cause, unable to preside, the hearing may be held and presided over by the deputy commissioner or a director of the department, as authorized by the commissioner.
- 26 <u>2. Notification. Prior to any hearing conducted by</u> 27 the commissioner or board, the department shall:
- 28 Publish notice of the hearing twice in a newspaper 29 of general circulation in the area affected. 30 of the first publication shall be at least 20 days 31 prior to the date of the hearing and the 2nd publi-32 cation shall be in the same newspaper no more than 10 days prior to the date of the hearing. In addition, 33 the notice may be published in any other trade, 34 35 try, professional or interest group publication 36 the board deems necessary to reach persons affected;
- 37 B. Provide notice of the hearing to any applicant by 38 registered mail at least 10 days before the date of the 39 hearing; and
- 40 C. Provide notice of the hearing at least 10 days
 41 before the date of the hearing by regular mail to per42 sons who have filed with the commissioner within the

- past year a written request to receive notification of hearings.
 - 3. Contents of notice. The public notice and notice of hearing to the applicant and others shall contain:

- 5 A. A reference to the statutory authority for conduct of the hearing;
 - B. A statement of the purpose of the hearing, including, for hearings involving the adoption, repeal or modification of a regulation, a concise description of the regulation proposed;
- 12 C. A statement of the time, date and place of the 12 hearing and the manner in which views may be submitted 13 for consideration by the board; and
- D. A statement of the place and time where relevant material may be examined, and the name, address and telephone number of the person from whom further information may be obtained.
 - 4. Fees. The commissioner may establish fees which recover the expenses entailed in providing notice to interested persons required by this section or reproducing all or any part of the record of any hearings for the applicant or interested persons.
 - 5. Record. A full and complete record shall be kept of all hearings by the commissioner or the board, which may be provided by an electronic record in the discretion of the presiding officer. If an electronic record is kept, it must be kept in a form which members of the public can either listen to or read, provided that no such record need be retained longer than one year after the license which is the subject of the record has expired, or would have expired had it been granted. In the event any person requests a transcript of such an electronic record, he shall bear the costs of the transcription, unless, in the judgment of the commissioner or the board, the interests of justice require that the State should bear the costs.
 - 6. Written decisions. Every decision of the commissioner and the board, other than those concerning the adoption, repeal or modification of regulations, which shall be governed by the Maine Administrative Procedure Act, Title 5, chapter 375, shall be in writing and shall include findings of fact and conclusions of law. A copy of the decision shall be delivered in accordance with Title 5, section 9061,

to each party of record and to each person who has filed a written request to receive a copy of the decision. Written notice of the party's or person's rights to review an appeal of the decision, within the agency or by the courts, as the case may be, and of the action required and the time within which the action must be taken in order to exercise the right of review or appeal, shall be given to each such party or person with the decision.

Sec. 7. 38 MRSA §345-A is enacted to read:

§345-A. Administrative appeals

- 1. Standing. The applicant and any person who participated in the application process at the commissioner level, either through submission of written comments or through oral or written testimony at a hearing, or any aggrieved person granted leave to appeal by the board, may appeal a decision by the commissioner to the board.
- 2. Petition for appeal. Within 30 days of receipt of a notice of a decision by the commissioner, a person wishing to appeal the decision shall file with the chairman of the board, with a copy to the commissioner, a written petition for appeal which outlines the issues on appeal and the relief requested, provided that the filing of such a petition for appeal shall either operate as a stay of the commissioner's decision, or not, as he shall in his order specifically so provide, taking equitable considerations into account, provided that, upon a showing by the appellant that, notwithstanding a decision of the commissioner to the contrary, such a stay is necessary to prevent immediate and serious injury, loss or damage to the environment or for other good cause shown, it shall be granted. The grant of a stay may be made upon the affirmative vote of at least 3 members of the board, together with such terms and conditions as they may prescribe.
 - 3. Preappeal conference. Upon receipt of a copy of a petition for appeal, the chairman of the board or his designee shall schedule a preappeal conference to be held within 30 days and shall notify the petitioner and persons who have standing under subsection 1 of the time and place for the conference.
- The chairman or his designee shall review, with the petitioner and persons so notified and in attendance, the petitioner's outline of the issues on appeal. The chairman or his designee may modify the outline of the issues on appeal to produce greater clarity and to ensure that the

outline is a fair representation of the evidence and proceedings at the commissioner level. He shall notify the board of any actions taken by the chairman or his designee at the preappeal conference.

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- 5 Upon motion of the petitioner or any person who participated 6 in the preappeal conference, the board may modify any action 7 taken by the chair or his designee regarding the outline of 8 issues on appeal to prevent manifest injustice.
- 9 4. Hearing. Upon receipt of a petition for appeal,
 10 the chairman shall schedule a hearing on the petition except
 11 upon the stipulation of the parties to the contrary.
- 12 Upon receipt of notice of the filing of a petition for appeal, the commissioner shall deliver to the board the record in the case.
- The board may hear such relevant evidence as it deems necessary to arrive at a fair decision on the appeal.
- The board may upon motion dismiss an appeal without a full hearing when, after a review of the petition for appeal, it determines that the appeal is clearly frivolous or that the appellant lacks standing under subsection 1 to bring the appeal.
- Except as otherwise provided in this section and section 346, the provisions of the Maine Administrative Procedure Act, Title 5, chapter 375, shall apply to hearings before the board on petitions for appeal.
- 26 5. Decision. The board may substitute its judgment for that of the commissioner on questions of fact and law 27 28 and may affirm the commissioner's decision, remand the case 29 to the commissioner or reverse or modify the commissioner's 30 decision. The board shall issue its decision on appeal not 31 more than 90 days after the receipt of a petition 32 appeal. The board shall adopt regulations governing the 33 processing of appeals.
- 34 6. Prerequisite for judicial appeal. With respect to 35 decisions made initially by the commissioner, final disposition of a petition for appeal shall be a prerequisite for 37 filing of a judicial appeal.
- 38 Sec. 8. 38 MRSA §361, first ¶, as last amended by PL 39 1977, c. 596, §2, is repealed.
- 40 Sec. 9. 38 MRSA §361, 2nd ¶, as last amended by PL 1975, c. 614, §1, is repealed.

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- Sec. 10. 38 MRSA §361, 3rd to 6th ¶¶, as last amended by PL 1971, c. 618, §9, are repealed.
- Sec. 11. Termination of terms. Upon the termination of the terms of the first 3 members of the Board of Environmental Protection to leave office because of resignation, removal or the expiration of the term next following the effective date of this Act, those terms and positions shall cease to exist.

9 Sec. 12. Transition clause.

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- 1. Legislative intent. The person serving as Commissioner of Environmental Protection on the effective date of this Act shall continue in that office, subject to the right of the Governor to remove and replace that person. The commissioner shall continue to serve ex officio as a member of the board until a successor is appointed, provided that the term of the commissioner shall not continue beyond April 30, 1983.
- All applications filed before the effective date of this Act shall be governed by the laws effective at the time of filing, to the extent reasonably practicable, except upon the unanimous written assent of all parties of record and with the approval of the commissioner.
- 23 2. Rules, regulations and procedures. All existing 24 regulations currently in effect and operation in the Department of Environmental Protection shall continue in 25 26 unless in conflict with this Act, until rescinded, amended 27 "Regulation" includes any regulation, or changed. 28 administrative procedure, policy, determination. directive, authorization, permit, license, 29 privilege, quirement, designation or agreement. 30
- Sec. 13. Revision clause. Wherever the term "Board of Environmental Protection" appears in this Title, it shall be construed to mean the Commissioner of Environmental Protection.
- 35 Sec. 14. Appropriation. The following funds are 36 appropriated from the General Fund to carry out the purposes 37 of this Act.
- 38 1982-83
- 39 <u>ATTORNEY GENERAL</u>, 40 <u>DEPARTMENT OF</u>

1 2 3	Positions Personal Services Total	(1) \$16,800 \$16,800
4 5 6 7 8 9	Provides funds for an Assistant Attorney General assigned to the Department of Environmental Protection presently funded by federal funds.	
11 12	ENVIRONMENTAL PROTECTION, DEPARTMENT OF	
13 14 15 16 17	Positions Personal Services All Other Capital Expenditures Total	(1) \$30,000 20,000 10,000 \$60,000
18 19 20 21 22 23 24	Includes funds and position for deputy commissioner, funds for contractual services and staff training and capital funds for computer services.	

STATEMENT OF FACT

This bill is designed to implement certain recommendations of the Governor's Citizens' Commission to Evaluate the Department of Environmental Protection to reform and improve the performance of that department in carrying out its work of protecting the environment. The changes proposed affect the organization and procedures of the department to increase efficiency, accountability and responsiveness, but do not affect any of the substantive laws administered and enforced by the department to assure protection of the environment.

The specific changes are as follows.

Section 1 restates the mandate of the department while deleting obsolete language relating to its origins.

39 Sections 2 and 3 restate the duties of the commis-40 sioner, authorize and define the responsibilities of a deputy commissioner and, effective July 1, 1983, unclassify the bureau directors and create the positions of directors of public assistance and of policy analysis, and authorize the commissioner to issue certain licenses, in addition to his present duties. Appropriate adjustments for salaries of declassified personnel will be included in the budget request for next year.

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Section also eliminates the commissioner from the 3 Board of Environmental Protection; reduces the number of members from 10 to 7, by attrition; increases the per diem compensation from \$40 to \$50, with an additional \$25 for the designates additional duties for the chairman; and authorizes the attendance at most meetings board to hear certain matters originally and from decision of the commissioner.

Section 3 also creates a new 12-member Environmental Advisory Committee, appointed by the Governor, consisting of representatives of the general public, industry, environmental groups and municipalities, to advise on appointments, legislation and public participation in departmental decision making.

Section 5 tightens up the time limits within which the department must act on applications and eliminates provisions for delegation of responsibility for licensing by the board to the commissioner, replacing them with a provision that authorizes the commissioner to rule on all license applications, except when the applicant requests the board take original jurisdiction, or when, because of the significance of the application, that commissioner refers it to the board

It also authorizes the commissioner to set timetables for action on applications and to allow permitting by general standards, with the approval of the board.

Section 6 requires public hearings on applications by the commissioner or board when required by law, or appropriate because of public interest, and retains existing notice requirements.

Section 7 provides that any decision of the commissioner may be appealed to the board by the applicant or other citizens with standing, and establishes new prehearing requirements to clarify the issues for the board. Hearings on disputed issues submitted to the board will be de novo.

Section	ns 8, 9	and	10	repeal	the	existing	provisions
concerning	the org	ganizati	on c	of the	board,	which ar	e now pro-
vided for	by se	ction 3	of of	this	bill, b	out retain	provisions
relating to	environ	mental i	prote	ection.			

Section 11 is a termination provision that terminates certain positions on the board on the effective date of the termination of current terms.

Section 12 is a transitional provision providing for processing applications pending at the time this bill goes into effect. It keeps in effect all regulations promulgated by the present Bureau of Environmental Protection unless or until modified by the new board.

Section 13 provides a revision clause.

Section 14 provides for the appropriation necessary to carry out the purposes of this bill.

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