JOINT STANDING COMMITTEE
ON
TRANSPORTATION

LD 499

BIENNIAL BUDGET

2008 - 2009

STATE POLICE 0291

What the Budget purchases:

The State Police patrol rural areas of the State without organized police departments, enforce highway safety laws in rural areas, the Maine Turnpike and the interstate system, investigate homicides that occur outside Portland and Bangor, investigate child abuse cases, provide crime laboratory services to all law enforcement agencies and provide a repository for criminal history records information.

		Actual 2005-06	Current 2006-07	Budgeted 2007-08	Budgeted 2008-09
Program Summary - GENERAL FUND					
Positions - LEGISLATIVE COUNT		369.000	369.000	369.000	369.000
Personal Services		12,449,202	12,343,557	14,127,315	14,481,708
All Other		2,466,789	4,496,936	4,496,936	4,496,936
Capital Expenditures		18,500			
	Total	14,934,491	16,840,493	18,624,251	18,978,644
Program Summary - HIGHWAY FUND - Informational					
Personal Services		21,399,546	21,276,190	21,441,829	21,980,549
All Other		6,566,284	7,882,249	7,882,249	7,882,249
Capital Expenditures		31,500			
	Total	27,997,330	29,158,439	29,324,078	29,862,798
Program Summary - FEDERAL EXPENDITURES FUND					
Positions - LEGISLATIVE COUNT		1.000	1.000	1.000	1.000
Personal Services		303,803	72,330	61,016	64,312
All Other		2,053,744	2,120,304	2,120,304	2,120,304
	Total	2,357,547	2,192,634	2,181,320	2,184,616
Program Summary - OTHER SPECIAL REVENUE FUNDS					
Positions - LEGISLATIVE COUNT		14.000	16.000	16.000	16.000
Personal Services		1,102,565	1,218,579	1,103,300	1,144,485
All Other		201,705	405,385	405,385	405,385
	Total	1,304,270	1,623,964	1,508,685	1,549,870

Initiative: Adjusts the General Fund appropriation and the Highway Fund allocation for the Maine State Police in accordance with Public Law 2005, chapter 664, Part R, which mandates the ratio between the funds.

		2007-08	2008-09
GENERAL FUND			
All Other		555,034	425,697
	Total	555,034	425,697
HIGHWAY FUND - Informational			
All Other		(555,034)	(425,697)
	Total	(555,034)	(425,697)

Initiative: Adjusts funding of debt service costs for the replacement radio system as authorized by Public Law 2005, chapter 405, Part H.

		2007-08	2008-09
GENERAL FUND			
All Other		696,000	
	Total	696,000	0
HIGHWAY FUND - Informational			
All Other		(696,000)	
	Total	(696,000)	0

Initiative: Transfers 43 Emergency Communication Specialist positions and 6 Emergency Communication Supervisor positions from the State Police program, General Fund, 6 Emergency Communication Specialist positions from the State Police program, Other Special Revenue Funds and 5 Emergency Communication Specialist positions from the Turnpike Enforcement program, Other Special Revenue Funds to the Consolidated Emergency Communications program.

		2007-08	2008-09
GENERAL FUND			
Positions - LEGISLATIVE COUNT		-49.000	-49.000
Personal Services		(1,236,757)	(1,272,185)
All Other		1,236,757	1,272,185
	Total	0	0
HIGHWAY FUND - Informational			
Personal Services		(2,105,820)	(2,166,252)
All Other		2,105,820	2,166,252
	Total	0	0
OTHER SPECIAL REVENUE FUNDS			
Positions - LEGISLATIVE COUNT		-6.000	-6.000
Personal Services		(431,613)	(443,514)
	Total	(431,613)	(443,514)

Initiative: Continues 2 Forensic Chemist I positions, one Forensic Chemist Technician position, and one part-time DNA Forensic Analyst position for the crime lab authorized in Financial Order 02689 F7.

		2007-08	2008-09
FEDERAL EXPENDITURES FUND			
Positions - LEGISLATIVE COUNT		4.000	4.000
Personal Services		245,501	258,557
	Total	245,501	258,557

Initiative: Adjusts funding for the replacement of desktops and laptops on a regular 48 month cycle for all employees based on current inventory at Office of Information Technology published monthly rates.

		2007-08	2008-09
GENERAL FUND			
All Other		17,280	17,280
	Total	17,280	17,280
HIGHWAY FUND - Informational			
All Other		22,720	22,720
	Total	22,720	22,720

		2007-08	2008-09

Initiative: Adjusts funding for information technology services provided to agency employees based on fiscal year 2007-08 and 2008-09 Office of Information Technology monthly rates. Services include e-mail, file services, and desktop and laptop support.

		2007-08	2008-09
GENERAL FUND			
All Other		63,968	63,055
	Total	63,968	63,055
HIGHWAY FUND - Informational			
All Other		91,032	91,945
	Total	91,032	91,945

Initiative: Adjusts funding for the same level of information technology agency applications services at the fiscal year 2007-08 and 2008-09 Office of Information Technology rates. Categories of service include direct billed personnel services, server support, and shared platforms.

		2007-08	2008-09
GENERAL FUND			
All Other		31,940	41,109
	Total	31,940	41,109
HIGHWAY FUND - Informational			
All Other		51,237	65,947
	Total	51,237	65,947

Initiative: Adjusts funding for supporting existing information technology agency applications within the agency.

		2007-08	2008-09
GENERAL FUND			
All Other		51,688	67,579
	Total	51,688	67,579
HIGHWAY FUND - Informational			
All Other		82,915	108,407
	Total	82,915	108,407

Initiative: Reduces funding for the debt service for the replacement radio system to be funded from the Department of Administrative Services, Office of Information Technology.

		2007-08	2008-09
GENERAL FUND			
All Other		(1,496,000)	(800,000)
	Total	(1,496,000)	(800,000)
HIGHWAY FUND - Informational			
All Other		(504,000)	(1,200,000)
	Total	(504,000)	(1,200,000)

Initiative: Provides funding for the cost of radio support services to be provided by the Office of Information Technology.

		2007-08	2008-09
GENERAL FUND			
All Other		62,800	69,600
	Total	62,800	69,600
HIGHWAY FUND - Informational			
All Other		94,200	104,400
	Total	94,200	104,400

		Actual 2005-06	Current 2006-07	Budgeted 2007-08	Budgeted 2008-09
Revised Program Summary - GENERAL FUND					
Positions - LEGISLATIVE COUNT		369.000	369.000	320.000	320.000
Personal Services		12,449,202	12,343,557	12,890,558	13,209,523
All Other		2,466,789	4,496,936	5,716,403	5,653,441
Capital Expenditures		18,500			
	Total	14,934,491	16,840,493	18,606,961	18,862,964
Revised Program Summary - HIGHWAY FUND - Informational					
Personal Services		21,399,546	21,276,190	19,336,009	19,814,297
All Other		6,566,284	7,882,249	8,575,139	8,816,223
Capital Expenditures		31,500			
	Total	27,997,330	29,158,439	27,911,148	28,630,520
Revised Program Summary - FEDERAL EXPENDITURES FUND					
Positions - LEGISLATIVE COUNT		1.000	1.000	5.000	5.000
Personal Services		303,803	72,330	306,517	322,869
All Other		2,053,744	2,120,304	2,120,304	2,120,304
	Total	2,357,547	2,192,634	2,426,821	2,443,173
Revised Program Summary - OTHER SPECIAL REVENUE FUNDS					
Positions - LEGISLATIVE COUNT		14.000	16.000	10.000	10.000
Personal Services		1,102,565	1,218,579	671,687	700,971
All Other		201,705	405,385	405,385	405,385
	Total	1,304,270	1,623,964	1,077,072	1,106,356

DEPARTMENT OF PUBLIC SAFETY

0291 State Police

Initiative:

BASELINE BUDGET

	2007-08	2008-09
General Fund	$18,624,251	$18,978,644
Federal Expenditures Fund	$2,181,320	$2,184,616
Other Special Revenue Funds	$1,508,685	$1,549,870

Justification:

The State Police patrol and investigate criminal activity in rural areas of the state without organized police departments, enforce highway safety laws in rural areas, the Maine Turnpike and the Interstate system, investigate homicides that occur outside Portland and Bangor, investigate child abuse cases, provide crime laboratory and other specialized law enforcement services to all law enforcement agencies and provide a respository for criminal history records information.

Initiative:

Adjusts the General Fund appropriation and the Highway Fund allocation for the Maine State Police in accordance with Public Law 2005, chapter 664, Part R, which mandates the ratio between the funds.

	2007-08	2008-09
General Fund	$555,034	$425,697

Justification:

Public Law 2005, chapter 664, Part R requires the funding for the Maine State Police to be split between the General Fund and the Highway Fund using a ratio of 40/60, respectively.

Initiative:

Adjusts funding of debt service costs for the replacement radio system as authorized by Public Law 2005, chapter 405, Part H.

	2007-08	2008-09
General Fund	$696,000	$-

Justification:

Adjusts funding of debt service costs for the replacement radio system as authorized by Public Law 2005, chapter 405, Part H.

Initiative:

Transfers 43 Emergency Communication Specialist positions and 6 Emergency Communication Supervisor positions from the State Police program, General Fund, 6 Emergency Communication Specialist positions from the State Police program, Other Special Revenue Funds and 5 Emergency Communication Specialist positions from the Turnpike Enforcement program, Other Special Revenue Funds to the Consolidated Emergency Communications program.

	2007-08	2008-09
General Fund	$-	$-
Other Special Revenue Funds	$(431,613)	$(443,514)

Justification:

In accordance with Public Law 2005, Chapter 519, Part OO, positions are needed to allow consolidation of the communications systems.

Initiative:

Continues 2 Forensic Chemist I positions, one Forensic Chemist Technician position and one part-time DNA Forensic Analyst position for the crime lab authorized in Financial Order 02689 F7.

	2007-08	2008-09
Federal Expenditures Fund	$245,501	$258,557

Justification:

The Maine State Police crime laboratory provides forensic science services to the Maine law enforcement community. The facility is responsible for processing crime scenes to obtain physical evidence, to receive and analyze the evidence collected, and to photograph, develop, and print photographs of major crime scenes for the entire state of Maine.

Initiative:

Adjusts funding for the replacement of desktops and laptops on a regular 48-month cycle for all employees based on current inventory at monthly rates published by the Office of Information Technology.

	2007-08	2008-09
General Fund	$17,280	$17,280

Justification:

Adjusts agency budget to assure that adequate funding is available for the replacement/refreshment of end user devices on a regular basis, using the OIT refreshments service and billed to the agencies based on established FY 08-09 rate schedules. This is necessary to guarantee an acceptable level for security reliability, performance and application support for all user devices on the state's networks.

Initiative:

Adjusts funding for information technology services provided to agency employees based on fiscal year 2007-08 and 2008-09 Office of Information Technology monthly rates. Services include e-mail, file services and desktop and laptop support.

	2007-08	2008-09
General Fund	$63,968	$63,055

Justification:

Adjusts agency budget to assure that adequate funding is available for the cost of core end user IT services delivered by OIT such as e-mail, file and print services, desktop/laptop support billed to the agencies based on established FY 08-09 rate schedules. Provides for a common delivery, higher security, and high availability of enterprise-class end user services to all employees relying on the state's network.

Initiative:

Adjusts funding for the same level of information technology agency applications services at the fiscal year 2007-08 and 2008-09 Office of Information Technology rates. Categories of service include direct-billed personnel services, server support and shared platforms.

2007-08	2008-09

General Fund	**$31,940**	**$41,109**

Justification:

Adjusts agency budget to assure that adequate funding is available for the cost of direct billed personnel services, server support, and shared platforms based on established FY 08-09 rate schedules. Incremental costs reflect the fully burdened rate of personnel, including all indirect costs of employees such as training, tools, telephones, PCs, etc. as well as business continuity and disaster recovery for server and shared platform environments.

Initiative:

Adjusts funding for supporting existing information technology agency applications within the agency.

	2007-08	2008-09
General Fund	**$51,688**	**$67,579**

Justification:

Incremental cost for the management and maintenance of existing applications not attributable to the OIT consolidation or OIT rates. Examples of these costs include vendor support contracts, software licenses, equipment, and equipment maintenance. Funding for annual cost of vendor software maintenance services for Public Safety computer applications.

Initiative:

Reduces funding for the debt service for the replacement radio system to be funded from the Department of Administrative Services, Office of Information Technology.

	2007-08	2008-09
General Fund	**$(1,496,000)**	**$(800,000)**

Justification:

Funding for the debt service for the replacement radio system is to be funded from the Department of Administrative Services, Office of Information Technology.

Initiative:

Provides funding for the cost of radio support services to be provided by the Office of Information Technology.

	2007-08	2008-09
General Fund	**$62,800**	**$69,600**

Justification:

To ensure the new radio system remains operational continuously, stringent maintenance procedures are required to properly maintain the existing system and new system as it comes on line. These funds represent the increased costs to be billed to agencies by OIT.

TURNPIKE ENFORCEMENT 0547

What the Budget purchases:

Patrol the Maine turnpike 24 hours a day, 7 days a week to ensure safety and enforce the laws of the State.

	Actual 2005-06	Current 2006-07	Budgeted 2007-08	Budgeted 2008-09
Program Summary - OTHER SPECIAL REVENUE FUNDS				
Positions - LEGISLATIVE COUNT	41.000	41.000	41.000	41.000
Personal Services	4,500,604	4,643,749	4,590,322	4,695,586
All Other	452,327	462,582	462,582	462,582
Capital Expenditures	333,000	340,000		
Total	5,285,931	5,446,331	5,052,904	5,158,168

Initiative: Provides funding to replace 3 radars each year.

	2007-08	2008-09
OTHER SPECIAL REVENUE FUNDS		
Capital Expenditures	11,430	11,430
Total	11,430	11,430

Initiative: Transfers 43 Emergency Communication Specialist positions and 6 Emergency Communication Supervisor positions from the State Police program, General Fund, 6 Emergency Communication Specialist positions from the State Police program, Other Special Revenue Funds and 5 Emergency Communication Specialist positions from the Turnpike Enforcement program, Other Special Revenue Funds to the Consolidated Emergency Communications program.

	2007-08	2008-09
OTHER SPECIAL REVENUE FUNDS		
Positions - LEGISLATIVE COUNT	-5.000	-5.000
Personal Services	(355,050)	(361,258)
All Other	355,050	361,258
Total	0	0

Initiative: Provides funding for vehicle replacements including 8 small-size cars, 4 mid-size cars, and 30 full-size cars. This replaces vehicles that meet the 5 year or 75,000 miles replacement requirement.

	2007-08	2008-09
OTHER SPECIAL REVENUE FUNDS		
Capital Expenditures	340,500	348,000
Total	340,500	348,000

Initiative: Provides funding for new information technology system development and support.

	2007-08	2008-09
OTHER SPECIAL REVENUE FUNDS		
All Other	190,000	190,000
Total	190,000	190,000

	Actual 2005-06	Current 2006-07	Budgeted 2007-08	Budgeted 2008-09
Revised Program Summary - OTHER SPECIAL REVENUE FUNDS				
Positions - LEGISLATIVE COUNT	41.000	41.000	36.000	36.000
Personal Services	4,500,604	4,643,749	4,235,272	4,334,328
All Other	452,327	462,582	1,007,632	1,013,840

	Actual 2005-06	Current 2006-07	Budgeted 2007-08	Budgeted 2008-09
Revised Program Summary - OTHER SPECIAL REVENUE FUNDS				
Capital Expenditures	333,000	340,000	351,930	359,430
Total	5,285,931	5,446,331	5,594,834	5,707,598

0547 Turnpike Enforcement

Initiative:

BASELINE BUDGET

	2007-08	2008-09
Other Special Revenue Funds	$5,052,904	$5,158,168

Justification:

Patrol the Turnpike 24 hours a day, 7 days a week to ensure safety and enforce the laws of the State.

Initiative:

Provides funding to replace 3 radars each year.

	2007-08	2008-09
Other Special Revenue Funds	$11,430	$11,430

Justification:

The department maintains a replacement schedule for equipment. This request permits adherence to that schedule.

Initiative:

Transfers 43 Emergency Communication Specialist positions and 6 Emergency Communication Supervisor positions from the State Police program, General Fund, 6 Emergency Communication Specialist positions from the State Police program, Other Special Revenue Funds and 5 Emergency Communication Specialist positions from the Turnpike Enforcement program, Other Special Revenue Funds to the Consolidated Emergency Communications program.

	2007-08	2008-09
Other Special Revenue Funds	$-	$-

Justification:

In accordance with Public Law 2005, Chapter 519, Part OO, positions are needed to allow consolidation of the communications systems.

Initiative:

Provides funding for vehicle replacements including 8 small-size cars, 4 mid-size cars and 30 full-size cars. This replaces vehicles that meet the 5-year or 75,000-mile replacement requirement.

	2007-08	2008-09
Other Special Revenue Funds	$340,500	$348,000

Justification:

Replace vehicles that meet the 5 year 75,000 miles requirement.

Initiative:

Provides funding for new information technology system development and support.

		2007-08	2008-09
	Other Special Revenue Funds	$190,000	$190,000

Justification:

TESTIMONY SIGN UP SHEET

JOINT STANDING COMMITTEE ON APPROPRIATIONS AND FINANCIAL AFFAIRS
&
JOINT STANDING COMMITTEE ON TRANSPORTATION

DATE: February 8, 2007

PLEASE PRINT

LD: 499

NAME	TOWN/AFFILIATION	FOR	AGAINST	NEITHER FOR NOR AGAINST	EMAIL ADDRESS OR PHONE
Craig Poulin, Acting Commissioner	Dept. of Public Safety	X			craig.a.poulin@maine.gov

Testimony of Craig Poulin
Acting Commissioner of Public Safety

Regarding L.D. 499

"An Act To Make Appropriations and Allocations for the Expenditures of State Government and to Change Certain Provisions of the Law Necessary to the Proper Operations of State Government for the Fiscal Year Ending June 30, 2008 and June 30, 2009".

Before the Joint Standing Committees on
Appropriations and Financial Affairs and Transportation

February 8, 2007

Good afternoon Senator Rotundo, Representative Fischer, Senator Damon, Representative Marley, and members of the Joint Standing Committees on Appropriations and Financial Affairs and Transportation. My name is Craig Poulin, and I am the Acting Commissioner for the Department of Public Safety and Chief of the Maine State Police. I am joined this afternoon by the State Police Command Staff, and representatives from the DAFS Service Center. Thank you for the opportunity to discuss with you the State Police and Turnpike Enforcement programs, and the related biennial budget requests.

The Maine State Police administer both of these programs, as well as other programs that are not scheduled for the hearing this afternoon. These other state programs are: Commercial Vehicle Enforcement; Traffic Safety; Motor Vehicle Inspection; Licensing and Enforcement; and, Liquor Enforcement.

Maine State Police (0291)
Budget Document, Pages A-492-A-495
Legislative Document, Pages 532-537

This afternoon's hearing will begin with the State Police program. The Maine State Police is the state's largest police agency with over 450 staff, of which just over 320 are sworn personnel ranging from trooper to colonel. The State Police are divided into seven Field Troops, three Criminal Investigation Divisions, and other units such as the State Bureau of Identification and the Crime Laboratory.

The Maine State Police have a broad range of responsibilities, from patrolling Maine highways to criminal investigations. Ensuring the safety of Maine highways is a vital task of the Maine State Police. Through strategic use of resources and focused enforcement efforts, State Police continue to seek to reduce the numbers of crashes that too often result in serious injury or death. One of the greatest risks to motorists are those drivers who continue to Operate After Suspension (OAS). In 2006, the State Police cited over 2,000 motorists, averaging almost 40 each week. In addition to patrolling Maine highways, the State Police investigated 22 homicides in 2006, as well as numerous other suspicious deaths. The Criminal Investigation Division is also responsible for investigating child abuse cases and other major crimes throughout the state.

The State Bureau of Identification maintains the Sex Offender Registry, and all of Maine's criminal history records. The Sex Offender Registry continues to be the most visited page on the State's website, averaging 165,000 hits each month. Over 2,600 sex offenders are listed on the site. The bureau also performs criminal history checks, for which the demand is steadily growing. In 2006, over 550,000 requests for background checks were processed, which is the most ever requested.

Budgetarily, there are a number of changes impacting the Maine State Police account. The first of these changes can be found on page A-492 of the Budget Document, and adjusts the ratio between General Fund and Highway Fund for the State Police. The current biennium is funded at a ratio of 37% General Fund and 63% Highway Fund, but changes to 40% and 60% respectively for the 2008-09 biennium, in accordance with Public Law 2005, chapter 664, Part R. This results in an increase in the General Fund appropriation of $555,034 in 2008 and $425,697 in 2009.

The second change initiative can be found on page A-493 of the Budget Document, and also adjusts the funding ratio between the General Fund and Highway Fund. This adjustment is specific to the debt service costs for the replacement radio system. In accordance with Public Law 2005, chapter 405, Part H, the ratio is adjusted to 74.8% General Fund and 25.2% Highway Fund for fiscal year 2007-08 only, and results in an increase in the General Fund appropriation of $696,000.

Also on page A-493, the next initiative transfers the Communications unit within the State Police to the newly created Consolidated Emergency Communications Bureau within the Department of Public Safety. The transfer moves 49 Emergency Communications Specialists and 6 Emergency Communications Supervisors to the new bureau, resulting in the decreased Personal Services appropriation and allocations. The State Police will become a customer of the new bureau, and will be billed for communication services. To provide funding for these services, a corresponding increase in the All Other appropriation and allocations is requested.

The next initiative continues three positions at the Crime Laboratory. These are federally funded positions that have been established through financial order, in each of the last three years. Funding for these positions began with the federal DNA initiative, which seeks to reduce the backlog of DNA analysis. Ongoing funding was authorized by the federal government to provide states with the capacity to process the increased level of DNA analysis. This funding will support the three positions in the future, and therefore the Department is asking that the positions be continued.

The remaining requests all concern the information technology needs of the State Police. These requests were developed in coordination with the Office of Information Technology, and are based on the differences between projected costs for the biennium and historical costs for the current biennium. The first of these requests provides a $17,280 General Fund appropriation for the replacement of desktops and laptops every 48 months, and is based on projected rates from the Office of Information Technology. In some instances, State Police computers were on a longer replacement cycle.

The next request can be found at the top of page A-494 of the Budget Document. It is for a General Fund appropriation of just over $63,000 each year for global information technology systems and support. These global services include e-mail and file storage systems and desktop and laptop support.

Following on the same page is a request for General Funds in the amount of $31,940 in 2008 and $41,109 in 2009. This request provides for continued support of existing infrastructure costs, such as shared platforms, servers, and dedicated staff to support State Police systems. Much of the cost was previously in the Personal Services line category, and increased costs would have been part of the Department's current services request. However, because the staff has shifted to the Office of Information Technology, a supplemental request is required to fully fund the positions.

The next request is for a General Fund appropriation of $51,688 in 2008 and $67,579 in 2009. This appropriation would provide funds for continued support of State Police systems, including the Automated Fingerprint Identification System and Criminal History Record Information System. The cost of maintenance agreements on these systems increases each year. However, it is essential that these systems and the information that they provide be readily available.

The two remaining requests for the State Police concern the state-wide radio system. The first of the two items transfers funding for the replacement of the system to the Office of Information Technology. This request is tied to one we discussed earlier in which the ratio between the General Fund and Highway Fund was adjusted in 2008. The total funding between both funds is $2 million, with $1,496,000 coming from the General Fund in 2008, and $800,000 coming from the General Fund in 2009. This transfer would have no impact to State Police activities, as the funds were passed directly to OIT for the radio system. The final request within the State Police program appropriates $62,800 in 2008 and $69,600 in 2009 for OIT staff support and repairs of radio system.

Turnpike Enforcement Program (0547)

Budget Document, Pages A-496-A-497

Legislative Document, Pages 537-538

The second program scheduled for this afternoon's hearing is the Turnpike Enforcement Program, which can be found on page A-496 of the Budget Document. The Turnpike Enforcement program is funded entirely by toll revenues generated by the Maine Turnpike Authority. The allocation provides for the sworn officers who patrol the 106 miles of the Turnpike, and for the associated All Other and Capital expenditures.

The first request in this program provides the allocation to purchase three replacement radars. This purchase is part of the regular replacement cycle for radars.

The second request transfers five Emergency Communications Specialist positions to the Consolidated Emergency Communications program. This relates to the similar transfer that was discussed under the State Police program. The Turnpike will no longer be paying directly for the salaries of these individuals, but will be paying a monthly fee for the communications services. The decrease in the Personal Services allocation is offset by an increase in the All Other allocation, which will allow for payment to the Consolidated Emergency Communications bureau.

In addition to the radars just discussed, a Capital allocation is also needed in order to replace vehicles used to patrol the Turnpike. The third request in this program provides an allocation to replace 15 cruisers, all part of the regular replacement cycle.

The final request is for an All Other allocation of $190,000 in each fiscal year. This allocation provides approximately $56,000 for state-wide radio system support from the Office of Information Technology, and approximately $134,000 for leasing of mobile data terminals for each of the cruisers. The lease on the mobile data terminals has been an ongoing expense, however, it had not been built in to the budget for this account.

Thank you for the opportunity to present these items this afternoon. My staff and I would be happy to address any questions you may have.

Testimony of Charles Jacobs
Acting Director of the Maine Emergency Management Agency

L.D. 499

"An Act To Make Appropriations and Allocations for the Expenditures of State Government and to Change Certain Provisions of the Law Necessary to the Proper Operations of State Government for the Fiscal Year Ending June 30, 2008 and June 30, 2009".

Before the Joint Standing Committees on Appropriations and Financial Affairs

February 9, 2007

Good morning Senator Rotundo, Representative Fischer, and members of the Joint Standing Committees on Appropriations and Financial Affairs. My name is Charles Jacobs, and I am the acting Director of the Maine Emergency Management Agency more commonly referred to as MEMA. Thank you for the opportunity to discuss MEMA's, programs and the related biennial budget requests.

Our purpose is to protect the lives and property of all Maine citizens when major disasters threaten public safety. MEMA is responsible for the state's comprehensive emergency management program which supports local and state agencies. Our activities and services cover the four phases of emergency management: Preparedness, Prevention, Response, and Recovery from disasters like flooding, ice storms, and other severe weather as well as hazardous materials incidents and acts of terrorism. MEMA maintains the State's Emergency Operations Center where representatives from other state departments and agencies come together to coordinate the state response to an emergency situation.

On pages 3-1 through 3-9 we are requesting seven initiatives in the administrative area that we believe are essential in order to continue to provide the citizens of Maine the same quality of services we have provided in the past.

The first initiative increases the rental fees for our headquarters located at 45 Commerce Drive in Augusta from $9.25 per square foot to $9.50 per square in fiscal year 2007-08 and $9.50 to 9.75 per square in fiscal year 2008-09. The rent increase will be paid from Federal Homeland Security funds.

The second initiative is a result of the passing of Public Law 2005, chapter 634, which authorizes the Department of Administrative and Financial Services, Bureau of General Services to transfer 22.5% of the rental income collected from Maine Military Authority to the Department of Defense, Veterans and Emergency Management. The bill authorizes a transfer of up to $3,000,000 to an Other Special Revenue Fund known as the State Disaster Relief Fund. This Fund was established to provide funding for disaster relief when no federal funding is available, and secondarily to provide matching funds for federal disaster assistance. The Department is in the process of writing rules for this fund which will establish priority usage as assistance to individuals with unmet disaster needs when no federal funding is available.

The third initiative establishes one Civil Engineer I position to support the Dam Safety Program. In 1989, Legislature enacted a dam safety statute which is administered by the Maine Emergency Management Agency. This law focuses on identifying and regulating dams that pose a high risk in the event of failure such as loss of life or substantial property damage and established guidelines for registration, abandonment, water level and flow control, and construction and repair activities. The Dam safety program has been critically short of resources for many years and many of Maine's dams are not inspected as often as they should be. The position is equally funded with General fund and Emergency Management Performance Grant monies. We anticipate that future federal monies will be available to continue to fund this position.

The fourth initiative establishes two Planning and Research Associate II positions to support the State's fusion center which is operated in conjunction with the Department of Public Safety. Fusion centers provide a mechanism where law enforcement, public safety, and private partners can come together with a common purpose and improve the ability to safeguard our State and prevent criminal and terrorist activity. These positions (which were approved in the 07 supplemental) are through the Federal Homeland Security grant and we believe that Homeland Security will continue to support these efforts by continuing to provide the funding for several years.

The fifth initiative continues one Office Support Specialist position that was established to assist in the administration of Federal Homeland Security grants. Homeland Security grants provides funding to State and local governments and other regional authorities for planning, equipment, training, exercises, and program management and administration for emergency prevention, preparedness, and response personnel.

The sixth initiative on page 3-4 is incorrect as stated. The initiative should have had the following:

Initiative: Adjusts funding for information technology services based on fiscal year 2007-08 and 2008-09 projected changes in agency headcount.

Justification: Incremental cost to the agency for IT services based on any request for increased agency headcount based on established FY 08-09 rate schedules. Includes standard desktop refreshment, desktop support, email, network access, and file services support. The costs necessary for this initiative is accurately stated on page 3-4.

The last initiative in the Administration program is requesting an increase in General Funds for radio support services that will be provided by the Office of Information Technology.

The next program, State Emergency Response, represents one senior position within MEMA is supported 50% by funds from Seabrook Station Nuclear Power Plant in New Hampshire. Seabrook Station provides these funds to support the special emergency planning requirements and preparedness activities necessitated by the plant's presence near the Maine border. These funds are matched by federal Emergency Management Performance Grant funds.

Our final program included in this budget bill is the Stream Gaging Program which contracts with the US Geological Survey to provide stream gaging support to the State. The stream gage network provides timely flood warnings and essential data for road and bridge construction as well as many other water resource dependent programs. The State provides input to the network through the Maine River Flow Advisory Commission which was created in statute by the Legislature in 1997 and is composed of representatives from ten state agencies, eight major river basin management operations, two federal agencies and the University of Maine. The Commission was originally formed after the spring floods of 1983 to improve the exchange of hydrologic information collected by the members, to review the data, and to provide information to emergency action agencies and the public. We have not requested any new initiatives or changes to the Stream Gaging Program.

TESTIMONY

OF

RICHARD B. THOMPSON
CHIEF INFORMATION OFFICER
DEPARTMENT OF ADMINISTRATIVE & FINANCIAL SERVICES

Before the Joint Standing Committees on Appropriation and Financial Affairs, and Transportation

Hearing Date: February 8, 2007
Place: Room 228, State House
Time: 1:00PM

"L.D. 499-An Act Making Unified Appropriations and Allocations for the Expenditures of State Government, General Fund and Other Funds, and Changing Certain Provisions of the Law Necessary to the Proper Operations of State Government for the Fiscal Years Ending June 30, 2008 and June 30, 2009"

Senator Rotundo, Representative Fischer and distinguished Members of the Joint Standing Committee on Appropriations and Financial Affairs; and Senator Damon, Representative Marley and distinguished Members on Joint Standing Committee of Transportation. I am Richard Thompson, Chief Information Officer. I direct and manage the Office of Information Technology (OIT), the entity within the Department of Administrative and Financial Services that was created and charged by the 122nd Legislature and the Governor to manage, support and deliver information technology systems and services to Executive Branch agencies and other users, such as the Judicial Branch and quasi agencies.

I offer this testimony in support of the Department of Public Safety (DPS), State Police request specific to its information technology needs.

Background

OIT was created to consolidate the individual and autonomous IT organization within individual departments and agencies. This is an informed and appropriate approach to managing these very important assets. The Office of Program Evaluation and Government Accountability (OPEGA) has reviewed the design and very early progress and has issued a report which supports the basic strategy.

Their report does highlight specific security and management weaknesses held over from the former model of operation, and has recommendations for improvement. OIT takes these necessary improvements seriously and is continuously working to address.

The consolidation process transferred nearly all IT staff from individual agencies to the OIT. Infrastructure support staffs (server and desktop support, networking) have moved in many cases to clustered facilities. Applications (the working systems within each agency) are generally supported by staff located in the state agency, such as the Maine State Police. The fingerprint identification system and Criminal History Record Information System are two of those. Agencies are now billed for these services and pay them from all other appropriations.

The transition is a slow process and at times quality of service has been a challenge. I am appreciative of the support and patience shown by all agencies as this continues to improve. It is important to note significant improvements in basic infrastructure, security and project management have already begun.

Budget Requests

The consolidation process has identified deficiencies in some agencies budgets over the years. Also included in the submission are certain costs associated with improvements to security, project management and policy management. The initiatives presented represent the cost for this agency to cover all of it s IT needs over the next two years. The IT budget was created with cooperation of the DPS, the Service Center serving the Department of Public Safety and OIT. There is a requested increase from the baseline established in several categories. They are described below:

BB	LD499		INITIATIVES	FY08	FY09
A-493	P.535	CA9101	Adjusts funding for the replacement of desktops and laptops on a regular 48 month cycle for all employees based on current inventory at Office of Information Technology published monthly rates.	17,280	17,280
			Justification: Adjusts agency budget to assure that adequate funding is available for the replacement/refreshment of end user devices on a regular basis, using the OIT refreshments service and billed to the agencies based on established FY08-09 rate schedules. This is necessary to guarantee an acceptable level for security, relliabilty, perfomance and application support for all user devices on the state's network.		
A-494	P.535	CA9102	Adjusts funding for information technology services provided to agency employees based on fiscal year 2007-08 and 2008-09 Office of Information Technology monthly rates. Services include e-mail, file services, and desktop and laptop support.	63,968	63,055
			Justification: Adjusts agency budget to assure that adequate funding is available for the cost of core end user IT services delivered by OIT such as e-mail, file and print services, desktop/laptop support billed to the agencies based on established FY08-09 rate schedules. Provides for a common delivery, higher security, and high availabilty of enterprise-class end user services to all employees relying on the state's network..		
A-494	P.535	CA9103	Adjusts funding for the same level of information technology agency applications services at the fiscal year 2007-08 and 2008-09 Office of Information Technology rates. Categories of service include direct billed personnel services, server support, and shared platforms	31,940	41,109
			Justification: Adjusts agency budget to assure that adequate funding is available for the cost of direct billed personnel services, server support, and shared platforms based on established FY08 09 rate schedules. Incremental costs reflect the fully burdened rate of personnel, including all indirect costs of employees such as training, tools, telephones, PCs, etc as well as business continuity and disaster recovery for server and shared platform environments.		
A-494	P.535	CA9105	Adjusts funding for supporting existing information technology agency applications within the agency.	51,688	67,579
			Justification: Incremental cost for the management and maintenance of existing applications not attributable to the OIT consolidation or OIT rates. The programs impacted in DAFS are the Office of Information Services- Administration; Maine Revenue Services and the Office of the State Controller. Examples of these costs include vendor support contracts, software licenses, equipment, and equipment maintenance.		
A-496	P.538	CA9107	Provides funding for new information technology system development and support	190,000	190,000
			Justification: Provides funding to support the development of new applications to support the mission of the agency		
A-494	P536	CA9108	Provides funding for the cost of radio support services to be provided by the Office of Information Technology.	62,800	69,600
			Justification: Provides funding for radio support services by the Office of Information Technology. This expenditure of funds is necessary to maintain our current antiguated environment and to support and maintain the new technolgoy being deployed in the advanced MSCommnet infrastructure. Effective support and maintenance protects the investment for years to come.		
			TOTAL	417,676	448,623

I support the requests for the Maine State Police. The initiatives I have highlighted above do not include deappropriation of general fund dollars under C-A-42 initiative ($1,496,000 FY08 and $800,000 FY09). This will be offset by direct appropriation requests under a separate initiative to the Statewide Radio Network Fund managed by DAFS. The fund was established some years ago to support development of a consolidated radio network. Details on that request will be presented during the DAFS presentation.

I am happy to answer specific questions at the hearing and will participate at work sessions.