

MAINE STATE LEGISLATURE

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Martin I. Eisenstein
Chairman



**NORTHERN NEW ENGLAND
PASSENGER RAIL AUTHORITY**

January 27, 2014

Senator Justin Alford
President of the Maine Senate
3 State House Station
Augusta, ME 04333-0003

RE: Statutory Citation P.L. 2011 c. 616: An Act to Implement the Recommendations of the Office of Program Evaluation and Government Accountability and the Government Oversight Committee Regarding Quasi-Independent State Entities

Dear Senator Alford:

Please find enclosed the Northern New England Passenger Rail Authority's annual report as required under section 12023 (2) "Ongoing Reports" of the Statutory Citation referenced above.

Required Reports:

- 2A. A list of all procurements exceeding \$10,000 in the preceding year (NNEPRA Fiscal Year 2013) for which competitive procurement was waived under the policies adopted pursuant to section 12022, subsection 3 - Attached.
- 2B. A list of all persons to which the entity made contributions greater than \$1,000 in the preceding year and the total amount contributed to each – Attached.
- 2C. There were no changes made to the written policies and procedures required by section 12022 or to the procedures used by the governing body to monitor compliance with those policies and procedures.

Sincerely,

Handwritten signature of Martin I. Eisenstein in black ink.

Mr. Martin Eisenstein
Chairman
Northern New England Passenger Rail Authority

Handwritten signature of Patricia Quinn in black ink.

Ms. Patricia Quinn
Executive Director
Northern New England Passenger Rail Authority



**NORTHERN NEW ENGLAND
PASSENGER RAIL AUTHORITY**

January 27, 2014

Representative Mark Eves
Speaker of the Maine House of Representatives
State House Room 300
2 State House Station
August, ME 04333-0002

RE: Statutory Citation P.L. 2011 c. 616: An Act to Implement the Recommendations of the Office of Program Evaluation and Government Accountability and the Government Oversight Committee Regarding Quasi-Independent State Entities

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Please find enclosed the Northern New England Passenger Rail Authority's annual report as required under section 12023 (2) "Ongoing Reports" of the Statutory Citation referenced above.

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Sincerely,

A handwritten signature in black ink, appearing to read "Martin I. Eisenstein", written over a horizontal line.

Mr. Martin Eisenstein
Chairman
Northern New England Passenger Rail Authority

A handwritten signature in black ink, appearing to read "Patricia Quinn", written over a horizontal line.

Ms. Patricia Quinn
Executive Director
Northern New England Passenger Rail Authority

Northern New England Passenger Rail Authority

§ 12023 Reports to the Legislature Subsection 2A

Procurements Exceeding \$10,000 - Competitive Procurement Waived

July 1, 2012 to June 30, 2013

Date	Vendor Name	Amount	Procurement Method	Funding Source
			(Justification is on file at NNEPRA's Office)	
7/12/12	Alstom Signaling, Inc.	\$ 21,164.00	Sole Source - Signal Mat'l's - Expansion Project	FRA HSIPR ARRA Grant
7/12/12	Western-Cullen-Hayes, Inc.	\$ 11,729.00	Sole Source - LED Lights - Expansion Project	FRA HSIPR ARRA Grant
7/12/12	PTMW, Inc	\$ 17,983.00	Sole Source - Bungalow - Expansion Project	FRA HSIPR ARRA Grant
7/18/12	Lindsay Transportation Solutions	\$ 23,236.00	Sole Source - Insulated Joints - Expansion Project	FRA HSIPR ARRA Grant
7/18/12	Invensys Rail Corp	\$ 19,913.60	Sole Source - Singal Mat'l's - Expansion Project	FRA HSIPR ARRA Grant
7/18/12	Seneca Railroad and Mining, Inc.	\$ 13,390.62	Sole Source - Singal Mat'l's - Expansion Project	FRA HSIPR ARRA Grant
8/1/12	GE Transportation Global Signaling, Inc.	\$ 76,542.56	Sole Source - Signal Mat'l's - Expansion Project	FRA HSIPR ARRA Grant
8/24/12	GE Transportation Global Signaling, Inc.	\$ 14,074.77	Sole Source - Signal Mat'l's - Expansion Project	FRA HSIPR ARRA Grant
9/4/12	Alstom Signaling, Inc.	\$ 23,805.00	Sole Source - Signal Mat'l's - Expansion Project	FRA HSIPR ARRA Grant
9/19/12	Progress Rail Services	\$ 13,240.00	Sole Source - Programming - Expansion Project	FRA HSIPR ARRA Grant
4/4/13	Center for Neighborhood Technologies	\$ 24,900.00	Sole Source - Economic Study - RCA Funds	NNEPRA Restricted Funds
4/12/13	Zagster, Inc.	\$ 15,700.00	Sole Source - Bike Share - RCA Funds	NNEPRA Restricted Funds

Northern New England Passenger Rail Authority

§ 12023 Reports to the Legislature Subsection 2B

Contributions Exceeding \$1,000

July 1, 2012 to June 30, 2013

Date	Trans Description	Over \$1,000 - Sponsorship	Over \$1,000 - Dues
9/13/12	TrainRiders/Northeast - 2012 Event Sponsorship	1,500.00	
6/3/13	Maine Development Foundation - Champion Circle Membership. Brand development with Maine Business Community.		5,000.00
12/1/12	CONEG Policy Research Center - Advisory Commission		2,500.00
8/21/12	Maine Development Foundation - MDF's 34th Annual Meeting	1,000.00	
1/3/13	Maine Office of Tourism - January 31, 2013 Governor's Conference on Tourism. Includes exhibit table, 1/2 page ad, 2 comp registrations.	1,000.00	