LAWS

OF THE

STATE OF MAINE

AS PASSED BY THE

ONE HUNDRED AND THIRTY-SECOND LEGISLATURE

SECOND REGULAR SESSION
JANUARY 7, 2026 to APRIL 29, 2026

THE GENERAL EFFECTIVE DATE FOR
SECOND REGULAR SESSION
NONEMERGENCY LAWS IS
JULY 29, 2026

PUBLISHED BY THE REVISOR OF STATUTES
IN ACCORDANCE WITH THE MAINE REVISED STATUTES,
TITLE 3, SECTION 163-A, SUBSECTION 4.

Augusta, Maine
2026

Q. Prescribe, dispense or administer fluoride, silver diamine fluoride, antimicrobial solutions for mouth rinsing, topical anesthetics, other nonsystemic antimicrobial agents, desensitizing agents and resorbable antimicrobial agents; ~~and~~

Sec. 2. 32 MRSA §18375, sub-§1, ¶R, as enacted by PL 2023, c. 354, §8, is amended to read:

R. Perform dental hygiene assessment, dental hygiene diagnosis and dental hygiene treatment planning and implementation in the identification, prevention and management of oral disease~~.~~; and

Sec. 3. 32 MRSA §18375, sub-§1, ¶S is enacted to read:

S. Administer local anesthesia or nitrous oxide analgesia, as long as the independent practice dental hygienist has authority to administer the relevant medication pursuant to section 18345, subsection 2, paragraph D or E, respectively.

Emergency clause. In view of the emergency cited in the preamble, this legislation takes effect when approved.

Effective April 3, 2026.

CHAPTER 602

S.P. 846 - L.D. 2060

An Act to Make Technical Changes to the Maine Uniform Securities Act and to Clarify the Securities Administrator's Authority to Grant Licensing Exemptions for Broker-Dealers

Emergency preamble. Whereas, acts and resolves of the Legislature do not become effective until 90 days after adjournment unless enacted as emergencies; and

Whereas, this legislation makes technical changes to the Maine Uniform Securities Act to ensure its provisions are accurate and consistent; and

Whereas, this legislation also clarifies the scope of the authority of the Securities Administrator in the Department of Professional and Financial Regulation, Office of Securities to grant licensing exemptions to all broker-dealers wherever they are located; and

Whereas, immediate enactment of this legislation is necessary to provide clear authority for the administration and enforcement of the Maine Uniform Securities Act; and

Whereas, in the judgment of the Legislature, these facts create an emergency within the meaning of the Constitution of Maine and require the following legislation as immediately necessary for the preservation of the public peace, health and safety; now, therefore,

Be it enacted by the People of the State of Maine as follows:

Sec. 1. 32 MRSA §16202, sub-§26, as amended by PL 2019, c. 252, Pt. A, §1, is further amended to read:

26. Nonpublic offerings under ~~4(2)~~ Section 4(a)(2). A security offered in a nonpublic offering under Section ~~4(2)~~ 4(a)(2) of the federal Securities Act of 1933, 15 United States Code, Section ~~77d(2)~~ 77d(a)(2) if, no later than 15 days after the first sale in this State, a notice on "Form D," as promulgated by the Securities and Exchange Commission, is filed with the administrator together with a consent to service of process complying with section 16611, signed by the issuer, and the payment of a nonrefundable filing fee of $300 for each type or class of security sold. If the Form D includes a consent to service of process, a separate document need not be filed for this purpose, and if the consent to service of process on the Form D is executed in a manner accepted by the Securities and Exchange Commission, it is deemed to comply with the requirement in this section and section 16611, subsection 1 that the consent be signed. An additional nonrefundable late filing fee of $500 must be paid for a filing made 16 or more days after the first sale in this State.

Sec. 2. 32 MRSA §16401, sub-§2, as enacted by PL 2005, c. 65, Pt. A, §2, is amended to read:

2. Exemptions from licensing. The following persons are exempt from the licensing requirement of subsection 1:

A. A broker-dealer without a place of business in this State if its only transactions effected in this State are with:

(1) The issuer of the securities involved in the transactions;

(2) A broker-dealer licensed as a broker-dealer under this chapter or not required to be licensed as a broker-dealer under this chapter, except when the person is acting as a clearing broker-dealer;

(3) An institutional investor;

(4) A nonaffiliated federal covered investment adviser with investments under management in excess of $100,000,000 acting for the account of others pursuant to discretionary authority in a signed record;

(5) A bona fide preexisting customer whose principal place of residence is not in this State and the person is registered as a broker-dealer under the federal Securities Exchange Act of 1934 or not required to be registered under the

federal Securities Exchange Act of 1934 and is registered or licensed under the securities act of the state in which the customer maintains a principal place of residence; and

(6) A bona fide preexisting customer whose principal place of residence is in this State but was not present in this State when the customer relationship was established, if:

(a) The broker-dealer is registered under the federal Securities Exchange Act of 1934 or not required to be registered under the federal Securities Exchange Act of 1934 and is registered or licensed under the securities laws of the state in which the customer relationship was established and where the customer had maintained a principal place of residence; and

(b) Within 45 days after the customer's first transaction in this State, the person files an application for licensing as a broker-dealer in this State and no further transactions are effected until the license is effective. Any broker-dealer may seek an order granting a temporary exemption under ~~subparagraph (7)~~ paragraph C while the application is pending; ~~and~~

~~(7) Any other person exempted by rule adopted or order issued under this chapter; and~~

B. A person that deals solely in United States government securities and is supervised as a dealer in government securities by the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation or the United States Department of the Treasury, Office of Thrift Supervision or Comptroller of the Currency~~.~~; and

C. Any other person exempted by rule adopted or order issued under this chapter.

Sec. 3. 32 MRSA §16606, sub-§1, as enacted by PL 2005, c. 65, Pt. A, §2, is amended to read:

1. Public register of filings. Subject to state record-keeping requirements, the administrator shall maintain, or designate a person to maintain, records or a register of: applications for registration of securities; registration statements; notice filings; applications for ~~registration~~ licensure of broker-dealers, agents, investment advisers and investment adviser representatives; notice filings by federal covered investment advisers that are or have been effective under this chapter or the predecessor act; notices of claims of exemption from registration or notice filing requirements contained in a record; orders issued under this chapter or the predecessor act; and interpretative opinions or no action determinations issued under this chapter. Records may be maintained in computer or microform format or any other form of data storage, as long as the records are readily accessible.

Emergency clause. In view of the emergency cited in the preamble, this legislation takes effect when approved.

Effective April 3, 2026.

CHAPTER 603

H.P. 1389 - L.D. 2076

An Act to Amend the Laws Governing the Reporting of Incidents by Municipal Fire Chiefs

Emergency preamble. Whereas, acts and resolves of the Legislature do not become effective until 90 days after adjournment unless enacted as emergencies; and

Whereas, the United States Fire Administration within the United States Department of Homeland Security has implemented a new fire information and analytics reporting system; and

Whereas, the State's fire reporting system does not fulfill modern data analysis and decision support requirements of fire and emergency responders; and

Whereas, accurate incident reporting is essential for fire prevention, emergency planning, firefighter safety and federal grant eligibility; and

Whereas, in the judgment of the Legislature, these facts create an emergency within the meaning of the Constitution of Maine and require the following legislation as immediately necessary for the preservation of the public peace, health and safety; now, therefore,

Be it enacted by the People of the State of Maine as follows:

Sec. 1. 25 MRSA §2395, as amended by PL 2007, c. 82, §2, is further amended to read:

§2395. ~~Filing statement~~ Incident report of fire or other occurrence

The municipal fire chief or a designee shall submit to the State Fire Marshal an incident report for each response made, regardless of whether an actual fire occurred. ~~The~~ Until January 1, 2026, the report must be submitted in a manner consistent with a national fire incident reporting system or, as available, a national emergency response information system. On or after January 1, 2026, the report must be submitted in a manner consistent with a national emergency response information system or a successor system as determined by the United States Department of Homeland Security, Federal Emergency Management Agency, United States Fire Administration.