



## **132nd MAINE LEGISLATURE**

## FIRST SPECIAL SESSION-2025

Legislative Document

No. 1854

H.P. 1238

House of Representatives, April 30, 2025

An Act to Require the Board of Counseling Professionals Licensure, Board of Dental Practice, Board of Speech, Audiology and Hearing and Board of Occupational Therapy Practice to Obtain Fingerprintbased Federal Bureau of Investigation Criminal Background Checks for Initial Applicants and Licensees Seeking Compact Privileges

Submitted by the Department of Professional and Financial Regulation pursuant to Joint Rule 204.

Reference to the Committee on Health Coverage, Insurance and Financial Services suggested and ordered printed.

R(+ B. Hunt

ROBERT B. HUNT Clerk

Presented by Representative MATHIESON of Kittery.

| 1                    | Be it enacted by the People of the State of Maine as follows:  |
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| 2                    | Sec. 1. 25 MRSA §1542-A, sub-§1, ¶CC is enacted to read:   |
| 3<br>4<br>5<br>6     | <u>CC.</u> Who is an applicant for licensure as an occupational therapist or an occupational therapy assistant with the Board of Occupational Therapy Practice, or who is a licensed occupational therapist or a licensed occupational therapy assistant seeking a compact privilege, as required under Title 32, section 2279-A.            |
| 7                    | Sec. 2. 25 MRSA §1542-A, sub-§1, ¶DD is enacted to read:   |
| 8<br>9<br>10<br>11   | DD. Who is an applicant for licensure as a clinical professional counselor or a marriage and family therapist with the Board of Counseling Professionals Licensure, or who is a licensed clinical professional counselor or licensed marriage and family therapist seeking a compact privilege, as required under Title 32, section 13858-A. |
| 12                   | Sec. 3. 25 MRSA §1542-A, sub-§1, ¶EE is enacted to read:   |
| 13<br>14<br>15<br>16 | EE. Who is an applicant for licensure as an audiologist or a speech-language pathologist with the Board of Speech, Audiology and Hearing, or who is a licensed audiologist or licensed speech-language pathologist seeking a compact privilege, as required under Title 32, section 17301-A.   |
| 17                   | Sec. 4. 25 MRSA §1542-A, sub-§1, ¶FF is enacted to read:   |
| 18<br>19<br>20       | FF. Who is an applicant for licensure as a dentist or a dental hygienist with the Board of Dental Practice, or who is a licensed dentist or licensed dental hygienist seeking a compact privilege, as required under Title 32, section 18341-A.  |
| 21                   | Sec. 5. 25 MRSA §1542-A, sub-§3, ¶BB is enacted to read:   |
| 22<br>23<br>24<br>25 | BB. The State Police shall take or cause to be taken the fingerprints of the person named in subsection 1, paragraph CC at the request of that person or the Board of Occupational Therapy Practice and upon payment of the fee by that person as required by Title 32, section 2279-A.  |
| 26                   | Sec. 6. 25 MRSA §1542-A, sub-§3, ¶CC is enacted to read:   |
| 27<br>28<br>29<br>30 | <u>CC.</u> The State Police shall take or cause to be taken the fingerprints of the person named in subsection 1, paragraph DD at the request of that person or the Board of Counseling Professionals Licensure and upon payment of the fee by that person as required by Title 32, section 13858-A.   |
| 31                   | Sec. 7. 25 MRSA §1542-A, sub-§3, ¶DD is enacted to read:   |
| 32<br>33<br>34<br>35 | DD. The State Police shall take or cause to be taken the fingerprints of the person named in subsection 1, paragraph EE at the request of that person or the Board of Speech, Audiology and Hearing and upon payment of the fee by that person as required by Title 32, section 17301-A.   |
| 36                   | Sec. 8. 25 MRSA §1542-A, sub-§3, ¶EE is enacted to read:   |
| 37<br>38<br>39<br>40 | EE. The State Police shall take or cause to be taken the fingerprints of the person named in subsection 1, paragraph FF at the request of that person or the Board of Dental Practice and upon payment of the fee by that person as required by Title 32, section 18341-A.   |

| 1  | Sec. 9. 32 MRSA §2279-A is enacted to read:   |
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| 2  | §2279-A. Criminal history record information; fees  |
| 3<br>4<br>5<br>6<br>7<br>8<br>9<br>10                                      | <b>1. Background check.</b> The board shall request a background check for each person who submits an application for initial licensure or licensure by endorsement as an occupational therapist or an occupational therapy assistant under this chapter. The board shall request a background check for each licensed occupational therapist or licensed occupational therapy assistant who applies for an initial compact privilege and designates this State as the applicant's home state. The background check must include criminal history record information obtained from the Maine Criminal Justice Information System, established in Title 16, section 631, and the Federal Bureau of Investigation.  |
| 11<br>12<br>13   | A. The criminal history record information obtained from the Maine Criminal Justice<br>Information System must include public criminal history record information as defined<br>in Title 16, section 703, subsection 8.   |
| 14<br>15   | B. The criminal history record information obtained from the Federal Bureau of Investigation must include other state and national criminal history record information.   |
| 16<br>17<br>18<br>19<br>20<br>21<br>22<br>23<br>24<br>25<br>26<br>27<br>28 | C. An applicant or licensee shall submit to having fingerprints taken. The Department<br>of Public Safety, Bureau of State Police, upon payment by the applicant or licensee of<br>a fee established by the board, shall take or cause to be taken the applicant's or licensee's<br>fingerprints and shall forward the fingerprints to the Department of Public Safety,<br>Bureau of State Police, State Bureau of Identification so that the State Bureau of<br>Identification can conduct state and national criminal history record checks. Except for<br>the portion of the payment, if any, that constitutes the processing fee charged by the<br>Federal Bureau of Investigation, all money received by the Bureau of State Police for<br>purposes of this paragraph must be paid to the Treasurer of State. The money must be<br>applied to the expenses of administration incurred by the Department of Public Safety.<br>Any person who fails to transmit criminal fingerprint records to the State Bureau of<br>Identification pursuant to this paragraph is subject to the provisions of Title 25, section<br>1550.                            |
| 29<br>30<br>31<br>32<br>33<br>34<br>35<br>36<br>37<br>38<br>39             | <ul> <li>D. The subject of a Federal Bureau of Investigation criminal history record check may obtain a copy of the criminal history record check by following the procedures outlined in 28 Code of Federal Regulations, Sections 16.32 and 16.33. The subject of a state criminal history record check may inspect and review the criminal history record information pursuant to Title 16, section 709.</li> <li>E. State and federal criminal history record information of an applicant for an occupational therapist or occupational therapy assistant license may be used by the board for the purpose of screening the applicant. State and federal criminal history record information of a licensed occupational therapist or licensed occupational therapist or herapy assistant seeking an initial compact privilege may be used by the board for the purpose of taking disciplinary action against the licensee. A board action against an initial compact privilege may be used by the section against an initial compact privilege may be used by the board for the purpose of taking disciplinary action against the licensee.</li> </ul> |
| 40<br>41<br>42<br>43   | <ul> <li>applicant for licensure or a licensee under this subsection is subject to the provisions of Title 5, chapter 341.</li> <li>F. Information obtained pursuant to this subsection is confidential. The results of background checks received by the board are for official use only and may not be</li> </ul>   |

| 1<br>2   | disseminated to the Occupational Therapy Compact Commission established under section 2294 or to any other person.   |
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| 3<br>4<br>5<br>6<br>7<br>8   | G. An individual whose license has expired and who has not applied for renewal may request in writing that the Department of Public Safety, Bureau of State Police, State Bureau of Identification remove the individual's fingerprints from the bureau's fingerprint file. In response to a written request, the bureau shall remove the individual's fingerprints from the fingerprint file and provide written confirmation of that removal.  |
| 9<br>10<br>11<br>12  | 2. Rules. The board, following consultation with the Department of Public Safety,<br>Bureau of State Police, State Bureau of Identification, may adopt rules to implement this<br>section. Rules adopted pursuant to this subsection are routine technical rules as defined in<br><u>Title 5, chapter 375, subchapter 2-A.</u>   |
| 13   | Sec. 10. 32 MRSA §13858-A is enacted to read:  |
| 14   | <u>§13858-A.</u> Criminal history record information; fees   |
| 15<br>16<br>17<br>18<br>19<br>20<br>21<br>22                               | <b>1. Background check.</b> The board shall request a background check for each person who submits an application for initial licensure or licensure by endorsement as a clinical professional counselor or a marriage and family therapist under this chapter. The board shall request a background check for each licensed clinical professional counselor or licensed marriage and family therapist who applies for an initial compact privilege and designates this State as the applicant's home state. The background check must include criminal history record information obtained from the Maine Criminal Justice Information System, established in Title 16, section 631, and the Federal Bureau of Investigation.   |
| 23<br>24<br>25   | A. The criminal history record information obtained from the Maine Criminal Justice<br>Information System must include public criminal history record information as defined<br>in Title 16, section 703, subsection 8.  |
| 26<br>27   | B. The criminal history record information obtained from the Federal Bureau of Investigation must include other state and national criminal history record information.  |
| 28<br>29<br>30<br>31<br>32<br>33<br>34<br>35<br>36<br>37<br>38<br>39<br>40 | C. An applicant or licensee shall submit to having fingerprints taken. The Department<br>of Public Safety, Bureau of State Police, upon payment by the applicant or licensee of<br>a fee established by the board, shall take or cause to be taken the applicant's or licensee's<br>fingerprints and shall forward the fingerprints to the Department of Public Safety,<br>Bureau of State Police, State Bureau of Identification so that the State Bureau of<br>Identification can conduct state and national criminal history record checks. Except for<br>the portion of the payment, if any, that constitutes the processing fee charged by the<br>Federal Bureau of Investigation, all money received by the Bureau of State Police for<br>purposes of this paragraph must be paid to the Treasurer of State. The money must be<br>applied to the expenses of administration incurred by the Department of Public Safety.<br>Any person who fails to transmit criminal fingerprint records to the State Bureau of<br>Identification pursuant to this paragraph is subject to the provisions of Title 25, section<br>1550. |
| 41<br>42<br>43   | D. The subject of a Federal Bureau of Investigation criminal history record check may obtain a copy of the criminal history record check by following the procedures outlined in 28 Code of Federal Regulations, Sections 16.32 and 16.33. The subject of a state  |

| 1<br>2   | criminal history record check may inspect and review the criminal history record information pursuant to Title 16, section 709.   |
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| 3<br>4<br>5<br>6<br>7<br>8<br>9<br>10              | E. State and federal criminal history record information of an applicant for a clinical professional counselor license or a marriage and family therapist license may be used by the board for the purpose of screening the applicant. State and federal criminal history record information of a licensed clinical professional counselor or a licensed marriage and family therapist seeking an initial compact privilege may be used by the board for the purpose of taking disciplinary action against the licensee. A board action against an applicant for licensure or a licensee under this subsection is subject to the provisions of Title 5, chapter 341.  |
| 11<br>12<br>13<br>14                               | F. Information obtained pursuant to this subsection is confidential. The results of background checks received by the board are for official use only and may not be disseminated to the counseling compact commission established under section 18560 or to any other person.  |
| 15<br>16<br>17<br>18<br>19<br>20                   | G. An individual whose license has expired and who has not applied for renewal may request in writing that the Department of Public Safety, Bureau of State Police, State Bureau of Identification remove the individual's fingerprints from the bureau's fingerprint file. In response to a written request, the bureau shall remove the individual's fingerprints from the fingerprint file and provide written confirmation of that removal.   |
| 21<br>22<br>23<br>24                               | 2. Rules. The board, following consultation with the Department of Public Safety,<br>Bureau of State Police, State Bureau of Identification, may adopt rules to implement this<br>section. Rules adopted pursuant to this subsection are routine technical rules as defined in<br>Title 5, chapter 375, subchapter 2-A.   |
| 25   | Sec. 11. 32 MRSA §17301-A is enacted to read:   |
| 26<br>27<br>28<br>29<br>30<br>31<br>32<br>33<br>34 | §17301-A. Criminal history record information; fees <ol> <li>Background check. The board shall request a background check for each person who submits an application for initial licensure or licensure by endorsement as an audiologist or speech-language pathologist under this chapter. The board shall request a background check for each licensed audiologist or licensed speech-language pathologist who applies for an initial compact privilege and designates this State as the applicant's home state. The background check must include criminal history record information obtained from the Maine Criminal Justice Information System, established in Title 16, section 631, and the Federal Bureau of Investigation.</li> </ol> |
| 35<br>36<br>37                                     | A. The criminal history record information obtained from the Maine Criminal Justice<br>Information System must include public criminal history record information as defined<br>in Title 16, section 703, subsection 8.   |
| 38<br>39   | B. The criminal history record information obtained from the Federal Bureau of Investigation must include other state and national criminal history record information.   |
| 40<br>41<br>42<br>43<br>44                         | C. An applicant or licensee shall submit to having fingerprints taken. The Department<br>of Public Safety, Bureau of State Police, upon payment by the applicant or licensee of<br>a fee established by the board, shall take or cause to be taken the applicant's or licensee's<br>fingerprints and shall forward the fingerprints to the Department of Public Safety,<br>Bureau of State Police, State Bureau of Identification so that the State Bureau of   |

| 1<br>2<br>3<br>4<br>5<br>6<br>7<br>8   | Identification can conduct state and national criminal history record checks. Except for<br>the portion of the payment, if any, that constitutes the processing fee charged by the<br>Federal Bureau of Investigation, all money received by the Bureau of State Police for<br>purposes of this paragraph must be paid to the Treasurer of State. The money must be<br>applied to the expenses of administration incurred by the Department of Public Safety.<br>Any person who fails to transmit criminal fingerprint records to the State Bureau of<br>Identification pursuant to this paragraph is subject to the provisions of Title 25, section<br>1550.  |
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| 9<br>10<br>11<br>12  | D. The subject of a Federal Bureau of Investigation criminal history record check may<br>obtain a copy of the criminal history record check by following the procedures outlined<br>in 28 Code of Federal Regulations, Sections 16.32 and 16.33. The subject of a state<br>criminal history record check may inspect and review the criminal history record<br>information purposed to Title 16, partice 700   |
| 13<br>14<br>15<br>16<br>17<br>18<br>19<br>20<br>21   | <ul> <li>information pursuant to Title 16, section 709.</li> <li>E. State and federal criminal history record information of an applicant for an audiologist license or a speech-language pathologist license may be used by the board for the purpose of screening the applicant. State and federal criminal history record information of a licensed audiologist or licensed speech-language pathologist seeking an initial compact privilege may be used by the board for the purpose of taking disciplinary action against the licensee. A board action against an applicant for licensure or a licensee under this subsection is subject to the provisions of Title 5, chapter 341.</li> </ul>                |
| 22<br>23<br>24<br>25   | F. Information obtained pursuant to this subsection is confidential. The results of background checks received by the board are for official use only and may not be disseminated to the Audiology and Speech-Language Pathology Compact Commission established under section 17508 or to any other person.  |
| 26<br>27<br>28<br>29<br>30<br>31   | G. An individual whose license has expired and who has not applied for renewal may request in writing that the Department of Public Safety, Bureau of State Police, State Bureau of Identification remove the individual's fingerprints from the bureau's fingerprint file. In response to a written request, the bureau shall remove the individual's fingerprints from the fingerprint file and provide written confirmation of that removal.  |
| 32<br>33<br>34<br>35   | 2. Rules. The board, following consultation with the Department of Public Safety,<br>Bureau of State Police, State Bureau of Identification, may adopt rules to implement this<br>section. Rules adopted pursuant to this subsection are routine technical rules as defined in<br>Title 5, chapter 375, subchapter 2-A.  |
| 36   | Sec. 12. 32 MRSA §18341-A is enacted to read:  |
| <ol> <li>37</li> <li>38</li> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> </ol> | §18341-A. Criminal history record information; fees <ol> <li>Background check. The board shall request a background check for each person who submits an application for initial licensure or licensure by endorsement as a dentist or a dental hygienist under this chapter. The board shall request a background check for each licensed dentist or licensed dental hygienist who applies for an initial compact privilege and designates this State as the applicant's home state. The background check must include criminal history record information obtained from the Maine Criminal Justice Information System, established in Title 16, section 631, and the Federal Bureau of Investigation.</li> </ol> |

| 1                                | A. The criminal history record information obtained from the Maine Criminal Justice   |
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| 2                                | Information System must include public criminal history record information as defined   |
| 3                                | in Title 16, section 703, subsection 8.   |
| 4<br>5                           | B. The criminal history record information obtained from the Federal Bureau of Investigation must include other state and national criminal history record information.   |
| 6                                | C. An applicant or licensee shall submit to having fingerprints taken. The Department   |
| 7                                | of Public Safety, Bureau of State Police, upon payment by the applicant or licensee of  |
| 8                                | a fee established by the board, shall take or cause to be taken the applicant's or licensee's   |
| 9                                | fingerprints and shall forward the force prints to the Department of Public Safety  |
| 9                                | fingerprints and shall forward the fingerprints to the Department of Public Safety,   |
| 10                               | Bureau of State Police, State Bureau of Identification so that the State Bureau of  |
| 11                               | Identification can conduct state and national criminal history record checks. Except for  |
| 12                               | the portion of the payment, if any, that constitutes the processing fee charged by the  |
| 13                               | Federal Bureau of Investigation, all money received by the Bureau of State Police for   |
| 14                               | purposes of this paragraph must be paid to the Treasurer of State. The money must be  |
| 15                               | applied to the expenses of administration incurred by the Department of Public Safety.  |
| 16                               | Any person who fails to transmit criminal fingerprint records to the State Bureau of  |
| 17<br>18                         | Identification pursuant to this paragraph is subject to the provisions of Title 25, section 1550.   |
| 19<br>20<br>21<br>22<br>23       | D. The subject of a Federal Bureau of Investigation criminal history record check may obtain a copy of the criminal history record check by following the procedures outlined in 28 Code of Federal Regulations, Sections 16.32 and 16.33. The subject of a state criminal history record check may inspect and review the criminal history record information pursuant to Title 16, section 709.   |
| 24                               | E. State and federal criminal history record information of an applicant for a dentist  |
| 25                               | license or a dental hygienist license may be used by the board for the purpose of   |
| 26                               | screening the applicant. State and federal criminal history record information of a   |
| 27                               | licensed dentist or licensed dental hygienist seeking an initial compact privilege may  |
| 28                               | be used by the board for the purpose of taking disciplinary action against the licensee.  |
| 29                               | A board action against an applicant for licensure or a licensee under this subsection is  |
| 30                               | subject to the provisions of Title 5, chapter 341.  |
| 31<br>32<br>33<br>34             | F. Information obtained pursuant to this subsection is confidential. The results of background checks received by the board are for official use only and may not be disseminated to the dentist and dental hygienist compact commission established under section 18438 or to any other person.  |
| 35<br>36<br>37<br>38<br>39<br>40 | G. An individual whose license has expired and who has not applied for renewal may request in writing that the Department of Public Safety, Bureau of State Police, State Bureau of Identification remove the individual's fingerprints from the bureau's fingerprint file. In response to a written request, the bureau shall remove the individual's fingerprints from the fingerprint file and provide written confirmation of that removal. |
| 41                               | <b>2. Rules.</b> The board, following consultation with the Department of Public Safety,  |
| 42                               | Bureau of State Police, State Bureau of Identification, may adopt rules to implement this   |
| 43                               | section. Rules adopted pursuant to this subsection are routine technical rules as defined in  |
| 44                               | <u>Title 5</u> , chapter 375, subchapter 2-A.   |

| 1 | SUMMARY  |
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| 2 | This bill requires the Department of Professional and Financial Regulation, Office of    |
| 3 | Professional and Occupational Regulation, Board of Counseling Professionals Licensure,   |
| 4 | Board of Dental Practice, Board of Speech, Audiology and Hearing and Board of            |
| 5 | Occupational Therapy Practice to obtain national and state fingerprint-based criminal    |
| 6 | history record information for certain applicants for initial licensure and licensure by |
| 7 | endorsement by each board as well as for those licensees seeking a compact privilege who |
| 8 | have designated this State as the applicant's home state.                                |